

# YET ANOTHER CHARACTERIZATION OF REMARKABLE CARDINALS

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## 1. REMARKABLE GAMES

We present a characterization of remarkable cardinals in terms of the existence of winning strategies in a class of Ehrenfeucht-Fraïssé-like games. This is in the spirit of [1, section 4].

Let  $\kappa$  be an infinite cardinal. We consider two two-player games.

The first one will be denoted by  $G_\kappa^1$ :

$$\begin{array}{c|cccc} \text{I} & \alpha & x_0 & x_1 & \dots \\ \hline \text{II} & \lambda, \beta & y_0 & y_1 & \dots \end{array}$$

Rules:  $\alpha > \kappa$ ,  $\lambda < \beta < \kappa$ ,  $\{x_0, x_1, \dots\} \subset V_\beta$ ,  $\{y_0, y_1, \dots\} \subset V_\alpha$ ,  $x_k \in V_\lambda \implies y_k = x_k$ , and for every formula  $\varphi$  in the language of set theory and for all  $k < \omega$ ,

$$V_\beta \models \varphi(\lambda, x_0, \dots, x_{k-1}) \iff V_\alpha \models \varphi(\kappa, y_0, \dots, y_{k-1}).$$

II wins a run of  $G_\kappa^1$  if she is not the first one to break any rule.

The second one will be denoted by  $G_\kappa^{\text{crit}}$ :

$$\begin{array}{c|cccc} \text{I} & \alpha & X_0 & X_1 & \dots \\ \hline \text{II} & \lambda, \beta & j_0 & j_1 & \dots \end{array}$$

Rules:  $\alpha > \kappa$ ,  $\lambda < \beta < \kappa$ ,  $X_0 \subset X_1 \subset \dots \subset V_\beta$ ,  $j_0 \subset j_1 \subset \dots$ , and for all  $k < \omega$ :  $\text{Card}(X_k) \leq \lambda$ ,  $j_k: X_k \rightarrow V_\alpha$ ,  $j_k \upharpoonright X_k \cap V_\lambda = \text{id}$ , and for every formula  $\varphi$  in the language of set theory, for all  $n < \omega$ , and for all  $x_0, \dots, x_n \in X_k$ ,

$$V_\beta \models \varphi(\lambda, x_0, \dots, x_n) \iff V_\alpha \models \varphi(\kappa, j_k(x_0), \dots, j_k(x_n)).$$

II wins a run of  $G_\kappa^{\text{crit}}$  if she is not the first one to break any rule.

Both games  $G_\kappa^1$  and  $G_\kappa^{\text{crit}}$  are open, hence determined by the Gale-Stewart Theorem.

**Proposition 1.1.** *Let  $\kappa$  be a cardinal. The following are equivalent.*

- (1)  $\kappa$  is remarkable.
- (2) II has a winning strategy in  $G_\kappa^1$ .
- (3) II has a winning strategy in  $G_\kappa^{\text{crit}}$ .

*Proof.* (3) implies (2) is trivial.

Let's show (2) implies (1). Assume (2), and let  $\sigma$  be a winning strategy for player II, and suppose that  $G$  is  $\text{Coll}(\omega, < \kappa)$ -generic over  $V$ . Let  $\alpha > \kappa$ , and let  $\lambda$  and  $\beta$  be  $\sigma$ 's 1st reply in a play where  $I$ 's 1st move is  $\alpha$ . In  $V[G]$ , we fix an enumeration  $\{b_i \mid i < \omega\}$  of  $V_\beta$ . Notice that, in  $V[G]$ ,  $\sigma$  is still a winning strategy for player II, because the game is closed and there are no new finite sets in  $V[G]$ . So, by playing

according to  $\sigma$  against the moves  $b_n$  of player I given by this fixed enumeration, player II is easily seen to produce an elementary embedding  $j : V_\beta \rightarrow V_\alpha$ ,  $j \in V[G]$ ,  $\text{crit}(j) = \lambda$ , and  $j(\lambda) = \kappa$ . We verified (1).

Next, we show (1) implies (3). Let  $\alpha > \kappa$ . We may without loss of generality assume that  $\text{cf}(\alpha) > \kappa$ , so that  ${}^\kappa V_\alpha \subset V_\alpha$ . Again suppose that  $G$  is  $\text{Coll}(\omega, < \kappa)$ -generic over  $V$ . Then there are  $\lambda < \beta < \kappa$  such that in  $V[G]$  there is an elementary embedding  $j^+ : V_{\beta+1} \rightarrow V_{\alpha+1}$  such that  $\text{crit}(j) = \lambda$  and  $j(\lambda) = \kappa$ . By elementarity we will have that  $V_{\beta+1}$  knows that  $\text{cf}(\beta) > \lambda$ , so that setting  $j = j^+ \upharpoonright V_\beta$ ,  $j : V_\beta \rightarrow V_\alpha$  is an elementary embedding such that  $\text{crit}(j) = \lambda$ ,  $j(\lambda) = \kappa$ , and  ${}^\lambda V_\beta \cap V \subset V_\beta$ .

Let  $\tau$  be a  $\text{Coll}(\omega, < \kappa)$ -name for  $j$ . Let  $p \in G$ ,  $p \Vdash \tau$  is an elementary embedding from  $\check{V}_\beta$  to  $\check{V}_\alpha$  such that  $\text{crit}(\tau) = \check{\lambda}$  and  $\tau(\check{\lambda}) = \check{\kappa}$ . Let us look at the following auxiliary game  $G_\kappa^{\text{aux}}$ , played in  $V$ :

I	$X_0$	$X_1$	$\dots$
II	$p_0, j_0$	$p_1, j_1$	$\dots$

Rules:  $X_0 \subset X_1 \subset \dots \subset V_\beta$ ,  $p \geq p_0 \geq p_1 \dots$ , and for all  $k < \omega$ :  $p_k \Vdash \tau \upharpoonright \check{X}_k = \check{j}_k$ .

It is easy to see that II has winning strategy in  $G_\kappa^{\text{aux}}$ . Suppose II plays  $X_k$  in a play of  $G_\kappa^{\text{aux}}$  in her  $k^{\text{th}}$  move where all the rules are obeyed so far. Write  $q = p_{k-1}$  if  $k > 0$  and  $q = p$  if  $k = 0$ . Let  $G'$  be  $\text{Coll}(\omega, < \kappa)$ -generic over  $V$  such that  $q \in G'$ . Write  $j' = \tau^{G'}$ . We have that  $j' \upharpoonright X_k \in V$  by the Kunen argument: if  $f : \lambda \rightarrow X_k$  is surjective,  $f \in V$ , then  $f \in V_\beta$  and for all  $\xi < \lambda$ ,  $j'(f(\xi)) = j'(f)(\xi)$ . There is then some  $q' \leq q$  such that  $q' \Vdash \tau \upharpoonright \check{X}_k = (j' \upharpoonright X_k)$ , and we may let II reply to  $X_k$  by playing a pair  $p_k, j_k$  in a way that she keeps obeying the rules.

But any strategy  $\sigma^*$  for II in  $G_\kappa^{\text{aux}}$  easily yields a strategy for II in the original game  $G_\kappa^{\text{crit}}$  for a play where I's 1st move is  $\alpha$  and II's 1st reply is  $\lambda, \beta$ , and II then follows  $\sigma^*$  but hides her side moves  $p_0, p_1, \dots$   $\square$

#### REFERENCES

- [1] Bagaria, J., Gitman, V., and Schindler, R., *Generic Vopěnka's Principle, Remarkable cardinals, and the weak Proper Forcing Axiom*. Archive for Mathematical Logic, Volume 56, Issue 1-2, 2017, pp. 1-20.

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