



**WWU**  
MÜNSTER



# How topological recursion organises quantum fields on noncommutative geometries

Raimar Wolkenhaar

living.knowledge

CRC 1442  
**GEOMETRY:  
DEFORMATIONS  
AND RIGIDITY**



**MM**  
Mathematics  
Münster  
Cluster of Excellence

- [von Neumann 32] axioms for **quantum mechanics**
- [Wightman, Gårding 50s] unique extension to **quantum fields**  
= unbounded operator valued distributions  $f \mapsto \Phi(f) : \mathcal{D} \rightarrow \mathcal{D} \subset \mathcal{H}$

## Wightman axioms (sketched, see [Streater, Wightman 64])

- **Relativistic invariance.** Poincaré group represented by unitary operators on  $\mathcal{H}$  under which field operators transform as  $U(a, L)\Phi(f)U(a, L)^* = S(a, L)\Phi(f^{a, L})$
- **Spectrum condition.** Joint spectrum of generators  $P_i$  of translations contained in forward lightcone, i.e.  $P_0^2 - \sum_{i=1}^{D-1} P_i^2 > 0$  and  $P^0 > 0$
- **Vacuum.** There is a cyclic and Poincaré-invariant vector  $\Omega \in \mathcal{D}$ .
- **Locality.**  $\Phi(f)\Phi(g) = \pm\Phi(g)\Phi(f)$  if  $f, g$  have causally disjoint support.

Reformulated by [Haag, Kastler 64] in terms of  $C^*$  and von Neumann algebras (today the preferred approach)

Consider Wightman distributions

$$(f_1, \dots, f_n) \mapsto W_n(f_1, \dots, f_n) := \langle \Omega, \Phi(f_1) \cdots \Phi(f_n) \Omega \rangle$$

Axioms for  $\Phi(f)$  induce axioms for  $W_n$ , and conversely. Moreover:

Theorem [Hall, Wightman 57], Bargmann, [Bros, Epstein, Glaser 67]

Wightman functions  $\langle \Omega, \Phi(x_1) \cdots \Phi(x_n) \Omega \rangle$  are **boundary values of holomorphic functions**.

- Their restriction to real subspace of **Euclidean points** (minus diagonals) defines **Schwinger functions**  $S_n$ .
- Schwinger functions inherit real analyticity, Euclidean invariance, complete symmetry and **reflection positivity**.

## Reflection positivity

Let  $(x^0, \vec{x})^\theta := (-x^0, \vec{x})$  be the reflection in  $\mathbb{R}^D$  at the plane  $x^0 = 0$ . Let  $f_n$  be a test function on  $(\mathbb{R}^D)^n \ni (x_1, \dots, x_n)$  whose support is contained in  $0 < x_1^0 < x_2^0 < \dots < x_n^0$ . Then for any tuple  $f_0, f_1, \dots, f_N$  of such test functions with ordered time support one has

$$\sum_{m,n=0}^N \int dx_1 \dots dx_m dy_1 \dots dy_n S_{m+n}(x_1, \dots, x_m, y_1, \dots, y_n) \overline{f_m(x_1^\theta, \dots, x_m^\theta)} f_n(y_1, \dots, y_n) \geq 0$$

- [Nelson 73] formulated axioms for random distributions where a **Markov property** was essential.
- [Osterwalder, Schrader 74] gave a first proof that the natural properties of Schwinger functions are also **sufficient to imply the Wightman axioms**.
- [Glaser 74] noticed a gap in the proof. [Osterwalder, Schrader 75] found a growth condition which together with their other axioms implies the Wightman axioms.
- Reflection positivity is related to the **Hausdorff-Bernstein-Widder theorem**.

Some axioms are automatic if  $S_n(f_1, \dots, f_n) = \int_{\mathcal{V}'} d\mu(\Phi) \Phi(f_1) \cdots \Phi(f_n)$  are moments of a **measure  $d\mu$  on distributions**, where  $\mathcal{V} = C_0^\infty(\mathbb{R}^D)$  or  $\mathcal{V} = \mathcal{S}(\mathbb{R}^D)$ .

Consider the Fourier transform  $\mathcal{Z}(f) := \int_{\mathcal{V}'} d\mu(\Phi) e^{i\Phi(f)}$

[Glimm, Jaffe 87] axioms for a probability measure of Euclidean quantum fields

- OS0 Analyticity.** For any  $f_1, \dots, f_N \in \mathcal{V}$ ,  $\mathbb{C}^N \ni (z_1, \dots, z_N) \mapsto \mathcal{Z}(z_1 f_1 + \cdots + z_N f_N)$  is holomorphic.
- OS1 Regularity.**  $|\mathcal{Z}(f)| \leq \exp(c_1 \|f\|_1 + c_p \|f\|_p^p)$  for some  $1 < p \leq 2$ .
- OS2 Euclidean invariance.**  $\mathcal{Z}(f) = \mathcal{Z}(f^{R,a})$  where  $f^{R,a}(x) = f(R^{-1}(x - a))$ .
- OS3 Reflection positivity.** For any real  $f_1, \dots, f_N \in \mathcal{V}$ , the  $N \times N$  matrix  $M_{ij} = \mathcal{Z}(f_i - f_j^\theta)$  is **positive semidefinite**, where  $f^\theta(x) := f(x^\theta)$ .
- OS4 Ergodicity.** The time translation subgroup acts ergodically on  $(\mathcal{V}', d\mu)$ .

## Theorem (Bochner, Minlos, Schur)

Any continuous inner product  $\langle \cdot, \cdot \rangle$  on a real nuclear vector space  $\mathcal{V}$  defines a unique probability measure  $d\mu_0$  on  $\mathcal{V}'$  with  $\exp(-\frac{1}{2}\langle f, f \rangle) = \int_{\mathcal{V}'} d\mu_0(\Phi) e^{i\Phi(f)}$ .

A measure of this type defines a **Gaußian field**  $\Phi \in \mathcal{V}'$ .

## Example

$\mathcal{V} = \mathcal{S}(\mathbb{R}^D)$  and  $\langle f, g \rangle = \int_{\mathbb{R}^{2D}} dx dy f(x)(-\Delta + m^2)^{-1}(x, y) g(y)$

- One would like to define interacting fields by a deformation  $d\mu(\Phi) := \frac{1}{Z} e^{-P(\Phi)} d\mu_0(\Phi)$  of the Gaußian measure  $d\mu_0$ , for  $P$  a polynomial of degree  $> 2$  bounded from below.
- This is **not** straightforward! At a technical level, a **product of distributions in  $P(\Phi)$  is not defined**.
- More concretely, various types of **divergences** occur whose treatment (**renormalisation**) requires a sophisticated analysis.

The construction of interacting measures succeeded in only a few cases, all in dimension  $< 4$ .

- A few exactly solvable 2D models, such as the [Thirring 58] model (fermions with quartic self-interaction) and the [Schwinger 62] model (QED in 2D).
- The  $\lambda\Phi_2^4$ -model in 2D,  $P(\Phi) = \frac{\lambda}{4!} \int_{\mathbb{R}^2} dx (\Phi(x))^4$ , first by hard work in relativistic formulation [Glimm, Jaffe 68–72].
- The  $P[\Phi]_2$  model, i.e. any polynomial interaction in 2D. First spectacular success of the Euclidean method [Simon 74].
- Many conformal field theories [Belavin, Polyakov, Zamolodchikov 84] in 2D.
- Gross-Neveu model in 2D by fermionic summation techniques [Gawędzki-Kupiainen 85, Feldman-Magnen-Rivasseau-Sénéor 86].
- The  $\lambda\Phi_3^4$ -model in 3D [Feldman, Osterwalder 76].  
Recently the target of spectacular developments in probability theory [Hairer 14; Gubinelli, Imkeller, Perkowski 15; Mourrat, Weber 17].

- [Landau, Abrikosov, Khalatnikov 54] gave a heuristic argument that **quantum electrodynamics cannot exist as a renormalised QFT**.

The problem is called **Landau ghost, triviality, positivity of  $\beta$ -function**.

- This was considered as death of QFT, rescued only by the discovery of **asymptotic freedom in non-Abelian Yang-Mills theory** by Gross, Politzer, Wilczek in 1973.
- [Aizenman 81] and [Fröhlich 82] gave a rigorous proof of triviality for the  $\lambda\Phi^4$ -model in  $D = 4 + \epsilon$  dimensions.
- Almost 40 years later, [Aizenman, Duminil-Copin 19] proved **triviality of  $\lambda\Phi^4$  in  $D = 4$** .

The situation of 4D QFT is disappointing

The only interacting model which seems to exist is **non-Abelian Yang-Mills theory**, but the proof is one of the **Millenium Prize** problems!

This motivates us to look at **QFT on noncommutative geometries**.



[Murray, von Neumann 36] introduced **operator algebras** and studied their properties. They defined the types of von Neumann factors.

- [Gelfand, Naimark 43] proved that **commutative  $C^*$ -algebras are isometrically isomorphic to  $C(X)$**  for a locally-compact Hausdorff space  $X$ .

Noncommutative geometry relaxes the commutativity assumption and studies general operator algebras.

- [Takesaki 70] worked out Tomita's theory of **modular automorphisms**. These allowed [Connes 73] to finish the classification of amenable von Neumann algebras.

**K-theory and various (co)homology theories** were extended to the noncommutative world.

- [Kasparov 80] introduced **KK-theory** as bivariant functor on  $C^*$ -algebras.
- [Connes 81] developed **cyclic cohomology** to achieve an index pairing with K-theory.

**Non-commutative differential geometry** as established research area [Connes 85].

- [Bellissard 86] understood the **integer quantum Hall effect** in terms of **K-theory**
- [Connes, Rieffel 87] defined **Yang-Mills for non-commutative two-tori**
- [Dubois-Violette, Kerner, Madore 90] worked out **noncommutative differential geometry of matrix algebras**
- [Connes 90] showed that noncommutative geometry on two points gives the **Higgs potential**; details worked out by [Connes, Lott 91]
- [Madore 91] proposed the **fuzzy sphere**, [Grosse, Madore 92] formulated a noncommutative version of the Schwinger model
- [Doplicher, Fredenhagen, Roberts 95] discussion (later more)
- [Filk 96] introduced **Feynman rules** for noncommutative quantum fields and showed that **planar diagrams have the same divergences**

- [Connes 96] introduced **spectral triples** and showed later that **commutative spectral triples are manifolds** [Connes 08]. Contributions by [Figueroa, Gracia-Bondía, Varilly 00] and [Rennie, Varilly 06].
- [Connes, Chamseddine 96] proposed the **spectral action principle as unification of gravity and standard model** of particle physics. Improvements by [Connes, Chamseddine, Marcolli 07] and [Connes, Chamseddine, van Suijlekom 13]
- [Kreimer 97] understood that the combinatorics of **renormalisation** in quantum field theory is encoded in the **antipode of a Hopf algebra**. It is closely related to a Hopf algebra which governs the local index formula [Connes, Moscovici 98] for foliations.

## Summary so far (and outlook)

In the first lecture we sketched the path to the **Euclidean formulation of quantum field theory**, described by a measure on a space of distributions. We also gave a few historical notes about noncommutative geometry.

We will now bring both fields together. It is **relatively straightforward to write down formal measures for Euclidean quantum fields on noncommutative spaces**.

- A free field measure only requires an inner product on test functions; no product.
- Interaction is encoded in a **product in a noncommutative algebra**, possibly with differential forms. This **gives up locality**, which we argue with Doplicher, Fredenhagen & Roberts as desired.
- In contrast to first expectation [Grosse, Klimčík, Prešnajder 95], the non-locality does not rule out divergences in Feynman graphs [Filk 96]. These can be treated by usual QFT methods, but **another problem was discovered from the string theory side**.

With Harald Grosse we understood that this problem just signals an incomplete model, which can be consistently completed. Surprisingly, this completion **improves the behaviour concerning trivality**.

[Doplicher, Fredenhagen, Roberts 95] combined an argument of [Wheeler 55] with noncommutative geometry (in particular the fuzzy sphere [Madore 91]).

- To resolve a structure of size  $\ell$ , a scattering experiment with wavelength  $\lambda < \ell$  is necessary. These waves carry a quantum-mechanical energy  $E = \frac{\hbar c}{\lambda} > \frac{\hbar c}{\ell}$ .
- Energy is a source of gravitational fields; the simplest type is the Schwarzschild solution with characteristic radius  $r_s = \frac{2GE}{c^4} > \frac{2G\hbar}{c^3\ell}$ .
- To avoid trapped surfaces (in the sense of Penrose), the structure to resolve must stay outside the Schwarzschild horizon,  $\ell > 2r_s > \frac{4G\hbar}{c^3\ell}$ .

Hence, **only structures larger than the Planck length**  $\ell_P = \sqrt{\frac{G\hbar}{c^3}} = 10^{-33}$  cm can be **meaningful** if quantum physics and general relativity are combined.

The detailed analysis of Doplicher, Fredenhagen, Roberts established uncertainty relations

$$\Delta x^0(\Delta x^1 + \Delta x^2 + \Delta x^3) \geq \ell_P^2 \text{ and } \Delta x^1\Delta x^2 + \Delta x^2\Delta x^3 + \Delta x^3\Delta x^1 \geq \ell_P^2.$$

They are induced by noncommutative coordinate operators  $\hat{x}^\mu = (\hat{x}^\mu)^*$  with

$$[[\hat{x}^\mu, \hat{x}^\nu], \hat{x}^\rho] = 0, \quad [\hat{x}_\mu, \hat{x}_\nu][\hat{x}^\mu, \hat{x}^\nu] = 0, \quad \left(\frac{1}{8}[\hat{x}^\mu, \hat{x}^\nu][\hat{x}^\rho, \hat{x}^\sigma]\epsilon_{\mu\nu\rho\sigma}\right)^2 = \ell_P^8.$$

- [Witten 86] discussed **Non-commutative geometry and string field theory**.
- [Banks, Fischler, Shenker, Susskind 97] and [Ishibashi, Kawai, Kitazawa, Tsuchiya 97] introduced two formulations of **M-theory as matrix models**.
- [Connes, Douglas, Schwarz 97] studied **compactifications of M-theory on noncommutative tori**.
- **Yang-Mills on noncommutative 4-torus is one-loop renormalisable** [Krajewski-W 99]. Similar results from Filk's rules [Martín, Sánchez-Ruiz 99].
- [Schomerus 99] discovered that **D-branes in flat background with constant magnetic field** have operator product expansion given by **noncommutative Moyal product**.
- [Seiberg, Witten 99] vastly extended Schomerus' ideas and found a **transformation between objects on noncommutative and commutative space**.
- [Minwalla, van Raamsdonk, Seiberg 99] discovered **UV/IR-mixing**. Thoroughly investigated by [Chepelev, Roiban 99].

A direct relativistic (with time) construction of true quantum fields on noncommutative spaces is much harder.

- [Doplicher, Fredenhagen, Roberts 95] outline a definition of quantum fields directly on non-local space-time.
- Further developed in [Bahns, Doplicher, Fredenhagen, Piacitelli 02], with emphasis on unitarity.
- *Free* Wightman functions on quantum space remain boundary values of holomorphic functions [Bahns 09], but these differ from the usual Euclidean approach (except for commutative time [Grosse, Lechner, Ludwig, Verch 11]).
- New achievements in perturbative algebraic quantum field theory [Doplicher, Morsella, Pinamonti 20].

There are thoughts that **time** should be introduced differently: **Noncommutative algebras come with a canonical time evolution** (Tomita-Takesaki theory). See [Connes, Rovelli 94]

To define interacting quantum fields one needs to **make sense of a product of distributions**.

If correctly implemented, the various **divergences of the naïve product** (of individual Feynman graphs [Filk], UV/IR-mixing [Minwalla, van Raamsdonk, Seiberg] and triviality [Landau; Aizenman]) **should all disappear**.

The only known strategy consists in **finite-dimensional approximations** of the problem, together with a careful limiting procedure.

Finite-dimensional approximations of noncommutative algebras are **matrix algebras**. E.g.

- **nuclear  $C^*$ -algebras** in which the identity map, as a completely positive map, approximately factors through matrix algebras;
- **AF-algebras**, which are inductive limit of sequences of matrix algebras.

These two classes of  $C^*$ -algebras are not appropriate for us; we need (smooth) **test functions**, equipped with a Fréchet topology. There are enough examples where Fréchet algebras have a matrix approximation.



Let  $\Theta = -\Theta^t$  be a skew-symmetric real  $D \times D$ -matrix. Then by

$$(f \star g)(x) := \int_{\mathbb{R}^D \times \mathbb{R}^D} \frac{dy dk}{(2\pi)^D} f(x + \frac{1}{2}\Theta k) g(x + y) e^{i\langle k, y \rangle}$$

an associative non-commutative product on Schwartz functions  $f, g \in \mathcal{S}(\mathbb{R}^D)$  is defined.

- Prototype example for **strict deformation quantisation by  $\mathbb{R}^D$ -actions** [Rieffel 93].
- Defining  $(\hat{x}^\mu f)(x) = (x^\mu \star f)(x)$  by obvious extension, then  $[\hat{x}^\mu, \hat{x}^\nu] = i\Theta^{\mu\nu}$  is central and a simple-minded implementation of [Doplicher, Fredenhagen, Roberts 95].
- $\overline{f \star g} = \bar{g} \star \bar{f}$ ,  $\int_{\mathbb{R}^D} dx (f \star g)(x) = \int_{\mathbb{R}^D} dx f(x)g(x)$ .

The inner product  $\langle f, g \rangle = \int_{\mathbb{R}^{2D}} dx dy f(x)(-\Delta + M^2)^{-1}(x, y) g(y)$  gives, as usual, rise to a Gauß measure  $d\mu_0$  on  $(\mathcal{S}(\mathbb{R}^D))'$  which now can be noncommutatively deformed to  $d\mu_\lambda(\Phi) := \frac{1}{Z} e^{-\frac{\lambda}{4} \int_{\mathbb{R}^D} dx (\Phi \star \Phi \star \Phi \star \Phi)(x)} d\mu_0(\Phi)$ . The resulting QFT suffers from UV/IR-mixing.

Let  $D = 2$  and  $\Theta = \begin{pmatrix} 0 & \theta \\ -\theta & 0 \end{pmatrix}$ . We recommend to check:

## Exercise

- 1 The Gaussian  $b_{00}(x) := 2e^{-\frac{\|x\|^2}{\theta}}$  is a projector,  $b_{00} \star b_{00} = b_{00}$ .
- 2 Let  $a := \frac{1}{\sqrt{2}}(x_1 + ix_2)$ ,  $\bar{a} := \frac{1}{\sqrt{2}}(x_1 - ix_2)$ . Then  $a \star b_{00} = 0 = b_{00} \star \bar{a}$  and  $[\bar{a}, a]_* = \theta$ .
- 3 Consequently,  $b_{mn} := \frac{1}{\sqrt{m!n!\theta^{m+n}}} \bar{a}^{\star m} \star b_{00} \star a^{\star n}$  satisfies  $b_{kl} \star b_{mn} = \delta_{lm} b_{kn}$ . Moreover,  $\int_{\mathbb{R}^2} dx b_{kl}(x) = 2\pi\theta\delta_{kl}$ .
- 4  $b_{mn}(x) = 2(-1)^m \sqrt{\frac{m!}{n!}} \left(\sqrt{\frac{2}{\theta}}(x_1 + ix_2)\right)^{n-m} L_m^{n-m}\left(\frac{2}{\theta}\|x\|^2\right) e^{-\frac{\|x\|^2}{\theta}}$  where  $L_m^\alpha$  are associate Laguerre polynomials.

Let  $\mathcal{A}_\theta = \{f = (f_{kl})_{k,l \in \mathbb{N}}\}$  be the vector space of infinite matrices, completed in the Fréchet topology induced by the family  $\langle f, g \rangle_m := \sum_{k,l \in \mathbb{N}} \theta^{2m} (k + \frac{1}{2})^m (l + \frac{1}{2})^m \overline{f_{kl}} g_{kl}$ .

Then  $\mathcal{A}_\theta \ni f \mapsto \sum_{k,l \in \mathbb{N}} f_{kl} b_{kl}$  is an **isomorphism of Fréchet algebras** between  $(\mathcal{A}_\theta, \cdot)$  and  $(\mathcal{S}(\mathbb{R}^2), \star)$  [Gracia-Bondía, Varilly 88].

## The $\lambda\Phi^{*4}$ -model in the matrix basis

- The isomorphism extends to  $\mathcal{S}(\mathbb{R}^D)$ , for  $D$  even, via  $b_{k_1 l_1}(x_1, x_2) \cdots b_{k_{D/2} l_{D/2}}(x_{D-1}, x_D)$ .  
Use bijection  $\pi : \mathbb{N}^{D/2} \rightarrow \mathbb{N}$ , e.g.  $\pi(k_2, k_2) = \frac{1}{2}(k_1+k_1)(k_1+k_1+1)+k_2$  [Cantor].
- Setting  $\Phi_{kl} := \Phi(b_{k_1 l_1} \otimes \cdots \otimes b_{k_{D/2} l_{D/2}}) \Big|_{k=\pi(k_1, \dots, k_{D/2}), l=\pi(l_1, \dots, l_{D/2})}$ , we turn the measure deformation into

$$d\mu_\lambda(\Phi) \mapsto \frac{1}{Z} e^{-\frac{\lambda}{4} \sqrt{\det(2\pi\Theta)} \sum_{k,l,m,n \in \mathbb{N}} \Phi_{kl} \Phi_{lm} \Phi_{mn} \Phi_{nk}} d\mu_0(\Phi).$$

We should also express the Gauß measure  $d\mu_0(\Phi)$  in the matrix basis. One first notices

$$\int_{\mathbb{R}^2} dx f(x) ((-\Delta + M^2)g)(x) = \sum_{k,l,m,n \in \mathbb{N}} \Delta_{kl;mn} f_{kl} g_{mn} \quad \text{where}$$

$$\Delta_{kl;mn} = (M^2 + \frac{2}{\theta}(m+n+2))\delta_{nk}\delta_{ml} - \frac{2}{\theta}\sqrt{kl}\delta_{n+1,k}\delta_{m+1,l} - \frac{2}{\theta}\sqrt{mn}\delta_{n-1,k}\delta_{m-1,l}$$

- The inner product to define  $d\mu_0$  needs the kernel of the inverse  $(-\Delta + M^2)^{-1}$ . Can be found by diagonalisation via Meixner polynomials [Grosse, W 04].
- Then **UV/IR-mixing traced back to off-diagonal terms too large.**

# The harmonic oscillator potential [Grosse, W 04]

Scaling down the off-diagonal term to cure the UV/IR-mixing problem amounts to introduce a **harmonic oscillator potential**. We thus arrive at the inner product (in  $D = 4$ )

$$\langle f, g \rangle := \int_{\mathbb{R}^4 \times \mathbb{R}^4} dx dy f(y) (-\Delta + \frac{4\Omega^2}{\theta^2} |x|^2 + M^2)^{-1}(y, x) g(x) = \sum_{\substack{k_1, k_2, \dots \\ n_1, n_2 = 0}}^{\infty} f_{\substack{k_1 l_1 \\ k_2 l_2}} g_{\substack{m_1 n_1 \\ m_2 n_2}} C_{\substack{k_1 l_1, m_1 n_1 \\ k_2 l_2, m_2 n_2}}$$

$$C_{\substack{k_1 l_1, m_1 n_1 \\ k_2 l_2, m_2 n_2}} = \frac{\theta}{2(1+\Omega)^2} \delta_{m_1+k_1, n_1+l_1} \delta_{m_2+k_2, n_2+l_2}$$

$$\times \sum_{v_1 = \frac{|m_1-l_1|}{2}}^{\frac{m_1+l_1}{2}} \sum_{v_2 = \frac{|m_2-l_2|}{2}}^{\frac{m_2+l_2}{2}} B\left(1 + \frac{M^2\theta}{8\Omega} + \frac{1}{2}(m_1+k_1+m_2+k_2) - v_1 - v_2, 1 + 2v_1 + 2v_2\right)$$

$$\times {}_2F_1\left(\begin{matrix} 1 + 2v_1 + 2v_2, \frac{M^2\theta}{8\Omega} - \frac{1}{2}(m_1+k_1+m_2+k_2) + v_1 + v_2 \\ 2 + \frac{M^2\theta}{8\Omega} + \frac{1}{2}(m_1+k_1+m_2+k_2) + v_1 + v_2 \end{matrix} \middle| \frac{(1-\Omega)^2}{(1+\Omega)^2}\right)$$

$$\times \prod_{i=1}^2 \left(\frac{1-\Omega}{1+\Omega}\right)^{2v_i} \sqrt{\binom{n_i}{v_i + \frac{n_i - k_i}{2}} \binom{k_i}{v_i + \frac{k_i - n_i}{2}} \binom{m_i}{v_i + \frac{m_i - l_i}{2}} \binom{l_i}{v_i + \frac{l_i - m_i}{2}}}.$$

Aim is to make sense of  $\frac{1}{Z} \sum_{p=0}^{\infty} \frac{1}{p!} \left( -\frac{\lambda}{4} \sqrt{\det(2\pi\Theta)} \sum_{k,l,m,n=0}^{\infty} \Phi_{kl}\Phi_{lm}\Phi_{mn}\Phi_{nk} \right)^p d\mu_0(\Phi)$   
for  $d\mu_0$  defined with inner product with kernel  $C$ .

- **Restrict everything to finite  $N \times N$ -matrices** and set  $\Phi_{mn} \mapsto \sqrt{Z}(N)\Phi_{mn}$ ,  $\lambda \mapsto \lambda(N)$   
 $M \mapsto M(N)$ ,  $\Omega \mapsto \Omega(N)$  (**regularisation**).
- One proves [Grosse, W 05] that  $\sqrt{Z}(N)$ ,  $\mu(N)$ ,  $\Omega(N)$ ,  $\lambda(N)$  can be found as formal power series in a (new) parameter  $\lambda$  such that **the measure exists as formal power series in  $\lambda$** .
- Moreover, at lowest order in  $\lambda$  one finds  $\frac{\lambda(N)}{\Omega^2(N)} = \text{const}$  and  $\lim_{N \rightarrow \infty} \Omega(N) = 1$  [Grosse W 04]. **This implies existence of  $\lim_{N \rightarrow \infty} \lambda(N)$** , i.e. **absence of the Landau ghost**.
- [Disertori, Rivasseau 06] proposed to look at  $\Omega \equiv 1$  independent of  $N$ . The inner product simplifies enormously, and they could check existence of  $\lim_{N \rightarrow \infty} \lambda(N)$  up to third order.
- [Disertori, Gurau, Magnen, Rivasseau 06] extended this result to **any order**. **Their method is (together with topological recursion) the key to a complete solution**.

# Simplifications for $\Omega = 1$

Set  $\mathcal{N} := (\frac{\theta}{4})^{D/2}$ . We will soon arrive at an  $1/\mathcal{N}$ -expansion. Note that  $\mathcal{N}$  is not the size of matrices, it is the scale of noncommutativity!

The inner product on  $\mathcal{V} = \mathcal{A}_\theta$  specifies for  $\Omega = 1$  to

$$\langle f, g \rangle = \frac{1}{\mathcal{N}} \sum_{k,l=0}^{\infty} \frac{f_{kl} g_{lk}}{E_k + E_l}, \quad E_k = \frac{(k_1 + \dots + k_{D/2} + D/4)}{\mathcal{N}^{2/D}} + \frac{M^2}{2} \Big|_{(k_1, \dots, k_{D/2}) = \pi^{-1}(k)}$$

It gives rise to a unique measure  $d\tilde{\mu}_0$  on  $\mathcal{V}'$  with  $\int_{\mathcal{V}'} d\tilde{\mu}_0(\Phi) e^{i\Phi(f)} = \exp(-\frac{1}{2}\langle f, f \rangle)$  which we (formally) deform to

$$d\tilde{\mu}_\lambda(\Phi) = \frac{1}{Z} e^{-\frac{\lambda \mathcal{N}}{4} \text{Tr}(\Phi^4)} d\tilde{\mu}_0(\Phi)$$

where  $\text{Tr}(\Phi^4) := \sum_{k,l,m,n=0}^{\infty} \Phi(e_{kl})\Phi(e_{lm})\Phi(e_{mn})\Phi(e_{nk})$ .

For technical reasons we temporarily assume that all  $E_k$  are pairwise different.

$$\langle e_{k_1 l_1} \dots e_{k_n l_n} \rangle := \int_{\mathcal{V}'} d\mu_\lambda(\Phi) \prod_{i=1}^n \Phi(e_{k_i l_i}) = \frac{1}{i^n} \frac{\partial^n \mathcal{Z}(M)}{\partial f_{k_1 l_1} \dots \partial f_{k_n l_n}} \Big|_{f=0}, \quad \mathcal{Z}(f) := \int_{\mathcal{V}'} d\mu_\lambda(\Phi) e^{i\Phi(f)}$$

if  $f = \sum_{k,l=0}^{\infty} f_{kl} e_{kl}$  with respect to standard matrix basis  $(e_{kl})$ . These moments decompose into cumulants

$$\left\langle \prod_{i=1}^n e_{k_i l_i} \right\rangle = \sum_{\substack{\text{partitions} \\ \pi \text{ of } \{1, \dots, n\}}} \prod_{\text{blocks } \beta \in \pi} \left\langle \prod_{i \in \beta} e_{k_i l_i} \right\rangle_c.$$

They are only non-zero if  $n$  is even and every block  $\beta$  is of even length.

Take all  $k_i$  pairwise different. Then  $\langle e_{k_1 l_1} \dots e_{k_n l_n} \rangle_c$  is only non-zero if  $(l_1, \dots, l_n)$  is a permutation of  $(k_1, \dots, k_n)$ , and in this case the cumulant only depends on the *cycle type*:

$$N^{n_1 + \dots + n_b} \left\langle (e_{k_1^1 k_2^1} e_{k_2^1 k_3^1} \dots e_{k_{n_1}^1 k_1^1}) \dots (e_{k_1^b k_2^b} e_{k_2^b k_3^b} \dots e_{k_{n_b}^b k_1^b}) \right\rangle_c =: N^{2-b} G_{|k_1^1 \dots k_{n_1}^1| \dots |k_1^b \dots k_{n_b}^b|}.$$

## Lemma [Schürmann, W 19]

The Fourier transform  $\mathcal{Z}(f) := \int_{\mathcal{Y}} d\mu_\lambda(\Phi) e^{i\Phi(f)}$  of the measure satisfies

$$\begin{aligned} \textcircled{1} \quad \frac{1}{i} \frac{\partial \mathcal{Z}(f)}{\partial f_{ab}} &= \frac{if_{ba} \mathcal{Z}(f)}{\mathcal{N}(E_a + E_b)} - \frac{\lambda}{i^3(E_a + E_b)} \sum_{k,l=0}^{\infty} \frac{\partial^3 \mathcal{Z}(f)}{\partial f_{ak} \partial f_{kl} \partial f_{lb}} \\ \textcircled{2} \quad \frac{1}{\mathcal{N}} \frac{\partial \mathcal{Z}(f)}{\partial E_a} &= \sum_{k=0}^{\infty} \frac{\partial^2 \mathcal{Z}(f)}{\partial f_{ak} \partial f_{ka}} + \left( \frac{1}{\mathcal{N}} \sum_{k=0}^{\infty} G_{|ak|} + \frac{1}{\mathcal{N}^2} G_{|a|a|} \right) \mathcal{Z}(f) \end{aligned}$$

## Corollary (Ward-Takahashi identity)

$$\textcircled{3} \quad -\mathcal{N} \sum_{k=0}^{\infty} (E_a - E_b) \frac{\partial^2 \mathcal{Z}(f)}{\partial f_{ak} \partial f_{kb}} = \sum_{k=0}^{\infty} \left( f_{ka} \frac{\partial \mathcal{Z}(f)}{\partial f_{kb}} - f_{bk} \frac{\partial \mathcal{Z}(f)}{\partial f_{ak}} \right)$$

$\textcircled{3}$  was discovered in [Disertori, Gurau, Magnen, Rivasseau 06]. Alex Hock will prove it (for finite matrices) in his tutorial.



The equation of motion ❶ induces **Dyson-Schwinger equations** between moments. For  $n = 2$ :

$$\frac{1}{\mathcal{N}} G_{|ab|} := \langle e_{ab} e_{ba} \rangle \equiv - \frac{\partial^2 \mathcal{Z}(f)}{\partial f_{ba} \partial f_{ab}} \Big|_{f=0} = \frac{1}{\mathcal{N}(E_a + E_b)} - \frac{\lambda}{(E_a + E_b)} \sum_{k,l=0}^{\infty} \frac{\partial^4 \mathcal{Z}(f)}{\partial f_{lb} \partial f_{ba} \partial f_{ak} \partial f_{kl}} \Big|_{f=0}$$

It seems that the rhs will produce 4-point functions (in general,  $n$ -point function expressed in terms of  $n + 2$ -point functions). Using ❸ and ❷ one can avoid this:

$$\begin{aligned} (E_a + E_b) G_{|ab|} &= 1 + \lambda \sum_{\substack{l=0 \\ l \neq a}}^{\infty} \frac{\partial^2}{\partial f_{lb} \partial f_{ba}} \left[ \sum_{k=0}^{\infty} \frac{1}{E_a - E_l} \left( f_{ka} \frac{\partial \mathcal{Z}(f)}{\partial f_{kl}} - f_{lk} \frac{\partial \mathcal{Z}(f)}{\partial f_{ak}} \right) \right]_{f=0} \\ &\quad - \lambda \mathcal{N} \frac{\partial^2}{\partial f_{ab} \partial f_{ba}} \left[ \frac{1}{\mathcal{N}} \frac{\partial \mathcal{Z}(f)}{\partial E_a} - \left( \frac{1}{\mathcal{N}} \sum_{k=0}^{\infty} G_{|ak|} + \frac{1}{\mathcal{N}^2} G_{|a|a|} \right) \mathcal{Z}(f) \right]_{f=0} \\ &= 1 + \frac{\lambda}{\mathcal{N}} \sum_{\substack{k=0 \\ k \neq a}}^{\infty} \frac{G_{|kb|} - G_{|ab|}}{E_k - E_a} + \frac{\lambda}{\mathcal{N}^2} \frac{G_{|b|b|} - G_{|a|b|}}{E_b - E_a} - G_{|ab|} \left( \frac{\lambda}{\mathcal{N}} \sum_{k=0}^{\infty} G_{|ak|} + \frac{\lambda}{\mathcal{N}^2} G_{|a|a|} \right) + \frac{\lambda}{\mathcal{N}} \frac{\partial G_{|ab|}}{\partial E_a} \end{aligned}$$

- The dependence of  $G_{|ab|}$  on the matrix indices  $a, b$  is of the form of an **evaluation**  $G_{|ab|} = G(E_a, E_b)$  of a function  $G$  of two complex variables at  $E_a, E_b$ .
- $G(\zeta, \eta)$  still depends on summation variables  $E_k$ . Differentiating wrt some  $E_c$  is also an evaluation at  $E_c$  of another function  $-\mathcal{N} \frac{\partial}{\partial E_c} G(\zeta, \eta) = T(E_c || \zeta, \eta)$ .
- Similarly for  $G_{|a|b|} = G(E_a | E_b)$ .

## Complexified Dyson-Schwinger equation

$$\begin{aligned}
 (\zeta + \eta)G(\zeta, \eta) &= 1 + \frac{\lambda}{\mathcal{N}} \sum_{k \in \mathbb{N}} \frac{G(E_k, \eta) - G(\zeta, \eta)}{E_k - \zeta} + \frac{\lambda}{\mathcal{N}^2} \frac{G(\eta | \eta) - G(\zeta | \eta)}{\eta - \zeta} \\
 &\quad - G(\zeta, \eta) \left( \frac{\lambda}{\mathcal{N}} \sum_{k \in \mathbb{N}} G(\zeta, E_k) + \frac{\lambda}{\mathcal{N}^2} G(\zeta | \zeta) \right) - \frac{\lambda}{\mathcal{N}^2} T(\zeta || \zeta, \eta)
 \end{aligned}$$

Alternatively, sum only over **pairwise different**  $e_k$  and include their **multiplicities**  $r_k$ :

$$\sum_{k \in \mathbb{N}} \frac{G(E_k, \eta) - G(\zeta, \eta)}{E_k - \zeta} = \sum_{k=0}^{\infty} r_k \frac{G(e_k, \eta) - G(\zeta, \eta)}{e_k - \zeta}, \quad \sum_{k \in \mathbb{N}} G(\zeta, E_k) = \sum_{k=0}^{\infty} r_k G(\zeta, e_k)$$

# The genus expansion

We approach the solution of such equations in a **formal genus expansion** (where  $\mathcal{N} := (\frac{\theta}{4})^{D/2}$ )

$$G(\zeta, \eta) = \sum_{g=0}^{\infty} \mathcal{N}^{-2g} G^{(g)}(\zeta, \eta),$$

$$G(\zeta|\eta) = \sum_{g=0}^{\infty} \mathcal{N}^{-2g} G^{(g)}(\zeta|\eta),$$

$$T(\xi||\zeta, \eta) = \sum_{g=0}^{\infty} \mathcal{N}^{-2g} T^{(g)}(\xi||\zeta, \eta)$$

together with the convention that  $\frac{1}{\mathcal{N}}$  in front of a summation is neutral.

Note that **these series have zero radius of convergence!** Making sense of them via Borel resummation is a main challenge for the future. Connects to **resurgence**.

## Theorem [Grosse, W 09]

The planar two-point function satisfies the closed non-linear equation

$$\left( \zeta + \eta + \frac{\lambda}{\mathcal{N}} \sum_{k \in \mathbb{N}} r_k G^{(0)}(\zeta, e_k) \right) G^{(0)}(\zeta, \eta) = 1 + \frac{\lambda}{\mathcal{N}} \sum_{k \in \mathbb{N}} r_k \frac{G^{(0)}(e_k, \eta) - G^{(0)}(\zeta, \eta)}{e_k - \zeta}$$

The Dyson-Schwinger equation needs a reinterpretation in view of quantum field theory.

- Recall  $e_k = \frac{k}{\mathcal{N}^{2/D}} + \frac{\tilde{M}^2}{2}$  and  $r_k = 1$  for  $D = 2$  and  $r_k = k + 1$  for  $D = 4$ .
- We restrict the sums to  $\sum_{k=0}^{\Lambda^2 \mathcal{N}^{2/D}}$ . The limit  $\Lambda^2 \rightarrow \infty$  can only exist if  $\tilde{M}(\Lambda)$  is a carefully adapted function of  $\Lambda$  and if  $G \mapsto Z(\Lambda)G$  is carefully rescaled.
- We better write  $e_k = \tilde{e}_k + \frac{\tilde{M}^2}{2}$ ,  $\zeta = \tilde{\zeta} + \frac{\tilde{M}^2}{2}$ ,  $\eta = \tilde{\eta} + \frac{\tilde{M}^2}{2}$  and  $G(\zeta, \eta) = \tilde{G}(\tilde{\zeta}, \tilde{\eta})$

The result is for  $D = 4$  (omitting the tilde)

$$\begin{aligned}
 & \left( \zeta + \eta + M^2(\Lambda) + \frac{\lambda}{\sqrt{\mathcal{N}}} \sum_{k=0}^{\Lambda^2 \sqrt{\mathcal{N}}} \frac{k+1}{\sqrt{\mathcal{N}}} Z(\Lambda) G^{(0)}\left(\zeta, \frac{k}{\sqrt{\mathcal{N}}}\right) \right) Z(\Lambda) G^{(0)}(\zeta, \eta) \\
 &= 1 + \frac{\lambda}{\sqrt{\mathcal{N}}} \sum_{k=0}^{\Lambda^2 \sqrt{\mathcal{N}}} \frac{k+1}{\sqrt{\mathcal{N}}} \frac{Z(\Lambda) \left( G^{(0)}\left(\frac{k}{\sqrt{\mathcal{N}}}, \eta\right) - G^{(0)}(\zeta, \eta) \right)}{\frac{k}{\sqrt{\mathcal{N}}} - \zeta}
 \end{aligned}$$

For  $\sqrt{\mathcal{N}} = \frac{\theta}{4}$  large enough, this is arbitrarily close to integral equation

$$\left( \zeta + \eta + M^2 + \lambda \int_0^{\Lambda^2} dt t Z G^{(0)}(\zeta, t) \right) Z G^{(0)}(\zeta, \eta) = 1 + \lambda \int_0^{\Lambda^2} dt t \frac{Z(G^{(0)}(t, \eta) - G^{(0)}(\zeta, \eta))}{t - \zeta}$$

We can arrange the two-point function of a large family of matrix and QFT models with quartic interaction into the integral equation

$$\left(\zeta + \eta + M^2 + \lambda \int_0^\infty dt \varrho_0(t) ZG^{(0)}(\zeta, t)\right) ZG^{(0)}(\zeta, \eta) = 1 + \lambda \int_0^\infty dt \varrho_0(t) \frac{Z(G^{(0)}(t, \eta) - G^{(0)}(\zeta, \eta))}{t - \zeta}$$

- large- $\theta$  4D Moyal:  $\varrho_0(t) = t\chi_{[0, \Lambda^2]}$  and  $M = M(\Lambda)$ ,  $Z = Z(\Lambda)$
- large- $\theta$  2D Moyal:  $\varrho_0(t) = \chi_{[0, \Lambda^2]}$  and  $M = M(\Lambda)$ ,  $Z = 1$
- $N \times N$  matrix model  $\varrho(t) = \frac{1}{N} \sum_{k=1}^d r_k \delta(t - e_k)$ ,  $r_1 + \dots + r_d = N$ ,  $M = 0$ ,  $Z = 1$

The spectral measure encodes a **spectral dimension**  $\delta := \inf(p : \int_0^\infty \frac{\varrho_0(t)}{(1+t)^{p/2}} < \infty)$

- In [Panzer, W 18] we solved the case  $\varrho_0(t) = 1$ . Key step was to extrapolate a computer algebra evaluation of iterated integrals.
- In [Grosse, Hock, W 19a] we succeeded in solving the integral equation for any Hölder-continuous measure  $\varrho_0$  of spectral dimension  $\delta < 6$ .

Theorem [Panzer-W 18 for  $\varrho_0 = 1$ , Grosse-Hock-W 19a]

① Ansatz  $G^{(0)}(\zeta, \eta) = \frac{e^{\mathcal{H}_\zeta[\tau_\eta(\bullet)]} \sin \tau_\eta(\zeta)}{Z \lambda \pi \varrho_0(\zeta)}$ ,  $\mathcal{H}_\zeta[f] := \frac{1}{\pi} \int_0^{\Lambda^2} \frac{dp f(p)}{p-\zeta}$  finite Hilbert transf.

②  $\tau_\eta(\zeta) = \text{Im} \log (\eta + I(\zeta + i\epsilon))$  with  $I(\zeta) = -R_D(-m^2 - R_D^{-1}(\zeta))$

③  $R_D(z) = z - \lambda(-z)^{D/2} \int_0^\infty \frac{dt \varrho_\lambda(t)}{(m^2 + t)^{D/2}(t + m^2 + z)}$   $D = 2[\frac{\delta}{2}]$

④  $\varrho_\lambda$  is implicit solution of  $\varrho_0(R_D(\zeta)) = \varrho_\lambda(\zeta)$ .

- Proof: [Cauchy 1831] residue theorem, [Lagrange 1770] inversion theorem, [Bürmann 1799] formula
- $\varrho_0(t) \equiv 1$  (2D Moyal,  $m = 1$ ) in terms of Lambert-W satisfying  $W(z)e^{W(z)} = z$ :  
 $I(\zeta) := \lambda W_0\left(\frac{1}{\lambda} e^{\frac{1+\zeta}{\lambda}}\right) - \lambda \log\left(1 - \lambda W_0\left(\frac{1}{\lambda} e^{\frac{1+\zeta}{\lambda}}\right)\right)$

- $\varrho_\lambda(x) \equiv \varrho_0(R_4(x)) = R_4(x) = x - \lambda x^2 \int_0^\infty \frac{dt \varrho_\lambda(t)}{(m^2+t)^2(t+x)}$
- If  $\varrho_\lambda(t) \sim \varrho_0(t) = t$ , then  $R_4(x)$  bounded above. Consequently,  $R_4^{-1}$  would not be globally defined: **triviality!**
- Fredholm equation perturbatively solved by **iterated integrals**:  
Hyperlogarithms and  $\zeta(2n)$  which can be summed to

$$R_4(x) \equiv \varrho_\lambda(x) = x \cdot {}_2F_1\left(\alpha_\lambda, 1 - \alpha_\lambda \mid -\frac{x}{m^2}\right) \quad \alpha_\lambda = \begin{cases} \frac{\arcsin(\lambda\pi)}{\pi} & \text{for } |\lambda| \leq \frac{1}{\pi} \\ \frac{1}{2} + i \frac{\operatorname{arcosh}(\lambda\pi)}{\pi} & \text{for } \lambda \geq \frac{1}{\pi} \end{cases}$$

## Corollary

The interaction alters the spectral dimension to  $4 - 2 \frac{\arcsin(\lambda\pi)}{\pi}$  and thus avoids the triviality problem (in the planar sector).

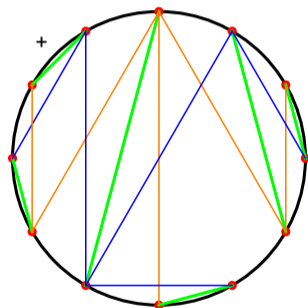
Gives non-perturbative integral representation for  $G^{(0)}(\xi, \eta)$ .

- A **Catalan tuple** is a tuple  $\tilde{p} = (p_0, p_1, \dots, p_k)$  with  $p_i \geq 0$ ,  $\sum_{j=0}^l p_j > l$  if  $l < k$  and  $\sum_{j=0}^k p_j = k$ . We let  $|\tilde{p}| = k$ .
- We call a collection  $\mathcal{T} = \langle \tilde{p}_0, \tilde{p}_1, \dots, \tilde{p}_{n+1} \rangle$  of Catalan tuples a **nested Catalan table** (of length  $n$ ) if its length tuple  $(|\tilde{p}_0| + 1, |\tilde{p}_1|, \dots, |\tilde{p}_{n+1}|)$  is itself a Catalan tuple.
- There are  $\frac{1}{n+1} \binom{3n+1}{n}$  nested Catalan tables of length  $n$ .

## Theorem [de Jong, Hock W 19]

The planar  $n$ -point function is a sum of terms of the form  $\frac{\pm G_{b_p b_q}^{(0)} \cdots G_{b_r b_s}^{(0)}}{(E_{b_t} - E_{b_u}) \cdots (E_{b_v} - E_{b_w})}$  which are in bijection with nested Catalan tables of length  $\frac{n-2}{2}$ .

- A green chord between  $a, b$  encodes a factor  $G_{|ab|}$ .
- An edge of blue or orange rooted plane tree from  $k$  to  $l$  encodes a factor  $\frac{1}{E_k - E_l}$ .
- There is a natural bijection between blue and orange rooted plane trees.





Consider the Gaussian measure  $d\mu_0$  on  $\mathcal{V}'$ , for  $\mathcal{V} = H_N$  Hermitean  $N \times N$ -matrices, induced by

$$\langle f, g \rangle = \frac{1}{N} \sum_{k,l=1}^N \frac{f_{kl} g_{lk}}{E_k + E_l}.$$

- Deforming it to  $d\mu_\kappa(\Phi) := \frac{1}{Z} e^{\frac{i}{6} N \text{Tr}(\Phi^3)} d\mu_0(\Phi)$  gives the [Kontsevich 92] model which generates intersection numbers of  $\psi$ - and  $\kappa$ -classes on the moduli space  $\overline{\mathcal{M}}_{g,n}$  of stable complex curves. Proves a conjecture by [Witten 90].
- This is a key example for topological recursion [Eynard, Orantin 07]. Its spectral curve is  $x(z) = z^2$ ,  $y(z) = -z + \frac{1}{N} \sum_{k=1}^N \frac{1}{\hat{E}_k(\hat{E}_k - z)}$  and  $\omega_{0,2}(z_1, z_2) = \frac{dz_1 dz_2}{(z_1 - z_2)^2}$  where  $\hat{E}_k = \sqrt{E_k^2 + c}$  and  $c = \frac{2}{N} \sum_{k=1}^N \frac{1}{\sqrt{E_k^2 + c}}$ .
- One can turn the Kontsevich model into a QFT on Moyal space (or other NCG) [Grosse, Steinacker 05/06], [Grosse, Sato, W 16], [Grosse, Hock, W 19c]. But  $d\mu_\kappa$  is not a valid measure.

We will now deform **the same**  $d\mu_0$  of the Kontsevich model by a quartic potential

$$d\mu_\lambda(\Phi) := \frac{1}{Z} e^{-\frac{\lambda N}{4} \text{Tr}(\Phi^4)} d\mu_0(\Phi).$$

- We called this the quartic Kontsevich model. There are another variations of the Kontsevich model, e.g. the family of **generalised Kontsevich models** which relate to **r-spin intersection numbers** [Belliard, Charbonnier, Eynard, Garcia-Falde 21].
- One can also take for  $\mathcal{V}$  **all complex  $N \times N$ -matrices** [Langmann, Szabo, Zarembo 03]. Very recently, [Hock, Branahl 22] proved that the **complex quartic model obeys topological recursion**, whereas the real model (discussed below) needs **blobbed TR**. They clearly located the differences responsible for the blobs.

Recall that the planar 2-point function of the real quartically deformed model satisfies

$$\left( \eta + \zeta + \frac{\lambda}{N} \sum_{k=1}^d r_k G^{(0)}(\zeta, e_k) + \frac{\lambda}{N} \sum_{k=1}^d \frac{r_k}{e_k - \zeta} \right) G^{(0)}(\zeta, \eta) = 1 + \frac{\lambda}{N} \sum_{k=1}^d r_k \frac{G^{(0)}(e_k, \eta)}{e_k - \zeta}$$

## 'Elementary' solution

Suppose there is a rational function  $R$  of degree  $d + 1$ , with simple pole at  $\infty$  of residue  $-1$  and

$$R(z) + \frac{\lambda}{N} \sum_{k=1}^d r_k G^{(0)}(R(z), e_k) + \frac{\lambda}{N} \sum_{k=1}^d \frac{r_k}{e_k - R(z)} = -R(-z)$$

Setting  $\zeta = R(z)$ ,  $\eta = R(w)$  and  $G^{(0)}(\zeta, \eta) = \mathcal{G}^{(0)}(z, w)$  and choosing  $\varepsilon_k \in R^{-1}(e_k)$ , the non-linear equation becomes

$$(R(w) - R(-z)) \mathcal{G}^{(0)}(z, w) = 1 + \frac{\lambda}{N} \sum_{k=1}^d r_k \frac{\mathcal{G}^{(0)}(\varepsilon_k, w)}{R(\varepsilon_k) - R(z)}$$

### Theorem [Schürmann, W 19]

①  $R(z) = z - \frac{\lambda}{N} \sum_{k=1}^d \frac{\varrho_k}{\varepsilon_k + z}$  where  $R(\varepsilon_k) = E_k$  and  $R'(\varepsilon_k) \varrho_k = r_k$ .

②  $\mathcal{G}^{(0)}(z, w) = \frac{P(R(z), R(w))}{(R(z) - R(-w))(R(w) - R(-z))}$  where

$$P(R(z), R(w)) = \frac{\prod_{u \in R^{-1}(\{w\})} (R(z) - R(-u))}{\prod_{k=1}^d (R(z) - R(\varepsilon_k))} \equiv P(R(w), R(z))$$

Recall the complexified Dyson-Schwinger equation

$$\begin{aligned}
 (\zeta + \eta)G(\zeta, \eta) &= 1 + \frac{\lambda}{N} \sum_{k=1}^N \frac{G(E_k, \eta) - G(\zeta, \eta)}{E_k - \zeta} + \frac{\lambda}{N^2} \frac{G(\eta|\eta) - G(\zeta|\eta)}{\eta - \zeta} \\
 &\quad - G(\zeta, \eta) \left( \frac{\lambda}{N} \sum_{k=1}^N G(\zeta, E_k) + \frac{\lambda}{N^2} G(\zeta|\zeta) \right) - \frac{\lambda}{N^2} T(\zeta|\zeta, \eta)
 \end{aligned}$$

where  $T(E_a|\zeta, \eta) := -N \frac{\partial}{\partial E_a} G(\zeta, \eta)$ . To get an equation for  $T(\xi|\zeta, \eta)$  we differentiate again, and so on. Let  $I = (\zeta_1, \dots, \zeta_n)$  and  $T(\emptyset|\xi, \eta) = G(\xi, \eta)$ ,  $T(\emptyset|\xi|\eta) = G(\xi|\eta)$ .

## Definition

- $T(\zeta, I|\xi, \eta)$  is the complexification of  $T(E_a, I|\xi, \eta) := -N \frac{\partial}{\partial E_a} T(I|\xi, \eta)$
- $T(\zeta, I|\xi|\eta)$  is the complexification of  $T(E_a, I|\xi|\eta) := -N \frac{\partial}{\partial E_a} T(I|\xi|\eta)$
- $\tilde{\Omega}_1(\zeta) := \frac{\lambda}{N} \sum_{k=1}^N G(\zeta, E_k) + \frac{\lambda}{N^2} G(\zeta|\zeta)$
- $\tilde{\Omega}_{n+1}(\zeta, I)$  is the complexification of  $\tilde{\Omega}_{n+1}(E_a, I) := -N \frac{\partial}{\partial E_a} \tilde{\Omega}_n(I) + \frac{\delta_{n,1}}{(E_a - \zeta_1)^2}$

# System of Dyson-Schwinger equations I

Apply the change of variables via  $R$  encoded in the 2-point function:

$$\begin{aligned}\tilde{\Omega}_n(R(z_1), \dots, R(z_n)) &=: \Omega_n(z_1, \dots, z_n), \\ T(R(z_1), \dots, R(z_n) \| R(w_1), R(w_2)) &=: \mathcal{T}(z_1, \dots, z_n \| w_1, w_2), \\ T(R(z_1), \dots, R(z_n) \| R(w_1) | R(w_2)) &=: \mathcal{T}(z_1, \dots, z_n \| w_1 | w_2)\end{aligned}$$

and the formal genus expansion  $\Omega_n(I) = \sum_{g=0}^{\infty} N^{-2g} \Omega_n^{(g)}(I)$  etc.

## Equation (I)

$$\begin{aligned}& (R(w) - R(-z)) \mathcal{T}^{(g)}(I \| z, w) - \frac{\lambda}{N} \sum_{k=1}^d \frac{r_k \mathcal{T}^{(g)}(I \| \varepsilon_k, w)}{R(\varepsilon_k) - R(z)} \\ &= \delta_{0,m} \delta_{g,0} - \lambda \left\{ \sum_{\substack{l_1 \uplus l_2 = I, g_1 + g_2 = g \\ (g_1, l_1) \neq (0, \emptyset)}} \Omega_{|l_1|+1}^{(g_1)}(l_1, z) \mathcal{T}^{(g_2)}(l_2 \| z, w) + \mathcal{T}^{(g-1)}(I, z \| z, w) \right. \\ & \left. + \sum_{i=1}^m \frac{\partial}{\partial R(u_i)} \frac{\mathcal{T}^{(g)}(I \setminus u_i \| u_i, w)}{R(u_i) - R(z)} + \frac{\mathcal{T}^{(g-1)}(I \| z | w) - \mathcal{T}^{(g-1)}(I \| w | w)}{R(w) - R(z)} \right\}\end{aligned}$$

## Equation (II)

$$\begin{aligned}
 & (R(z) - R(-z))\mathcal{T}^{(g)}(I||z|w|) - \frac{\lambda}{N} \sum_{k=1}^d r_k \frac{\mathcal{T}^{(g)}(I||\varepsilon_k|w|)}{R(\varepsilon_k) - R(z)} \\
 &= -\lambda \left\{ \sum_{\substack{l_1 \uplus l_2 = I, g_1 + g_2 = g \\ (l_1, g_1) \neq (\emptyset, 0)}} \Omega_{|l_1|+1}^{(g_1)}(l_1, z) \mathcal{T}^{(g_2)}(l_2||z|w|) + \mathcal{T}^{(g-1)}(I, z||z|w|) \right. \\
 & \left. + \sum_{i=1}^m \frac{\partial}{\partial R(u_i)} \frac{\mathcal{T}^{(g)}(I \setminus u_i||u_i|w|)}{R(u_i) - R(z)} + \frac{\mathcal{T}^{(g)}(I||z, w|) - \mathcal{T}^{(g)}(I||w, w|)}{R(w) - R(z)} \right\}
 \end{aligned}$$

## Equation (III)

$$\begin{aligned}
 & R'(z)\mathfrak{G}_0(z)\Omega_{|I|+1}^{(g)}(I, z) - \frac{\lambda}{N^2} \sum_{n,k=1}^d r_n r_k \frac{\mathcal{T}^{(g)}(I||\varepsilon_k, \varepsilon_n|)}{(R(\varepsilon_k) - R(z))(R(\varepsilon_n) - R(-z))} \\
 &= \frac{\delta_{g,0}\delta_{|I|,1}}{(R(z) - R(u_1))^2} - \sum_{\substack{l_1 \uplus l_2 = I, g_1 + g_2 = g \\ (l_1, g_1) \neq (\emptyset, 0) \neq (l_2, g_2)}} \Omega_{|l_1|+1}^{(g_1)}(l_1, z) \frac{\lambda}{N} \sum_{n=1}^d r_n \frac{\mathcal{T}^{(g_2)}(l_2||z, \varepsilon_n|)}{R(\varepsilon_n) - R(-z)} \\
 &- \sum_{j=1}^m \frac{\partial}{\partial R(u_j)} \frac{\frac{\lambda}{N} \sum_{n=1}^d r_n \frac{\mathcal{T}^{(g)}(I \setminus u_j || u_j, \varepsilon_n|)}{R(\varepsilon_n) - R(-z)}}{R(u_j) - R(z)} - \frac{\lambda}{N} \sum_{n=1}^d r_n \frac{\mathcal{T}^{(g-1)}(I, z || z, \varepsilon_n|)}{R(\varepsilon_n) - R(-z)} + \mathcal{T}^{(g-1)}(I || z | z |) \\
 &- \frac{\lambda}{N} \sum_{n=1}^d r_n \frac{\mathcal{T}^{(g-1)}(I || z | \varepsilon_n|) - \mathcal{T}^{(g-1)}(I || \varepsilon_n | \varepsilon_n|)}{(R(\varepsilon_n) - R(z))(R(\varepsilon_n) - R(-z))} - \sum_{j=1}^m \frac{\partial}{\partial R(u_j)} \mathcal{T}^{(g)}(I \setminus u_j || u_j, z |),
 \end{aligned}$$

where  $\mathfrak{G}_0(z) := \text{Res}_{v \rightarrow -z} \mathcal{G}^{(0)}(z, v) dv$ .

# Dyson-Schwinger equation for $\Omega_2^{(0)}(u, z)$

$$\begin{aligned}
 & \Omega_2^{(0)}(u, z)R'(z)\mathfrak{G}_0(z) - \frac{\lambda}{N^2} \sum_{n,k=1}^d \frac{r_k r_n \mathcal{T}^{(0)}(u|\varepsilon_k, \varepsilon_n|)}{(R(\varepsilon_k) - R(z))(R(\varepsilon_n) - R(-z))} \\
 &= -\frac{\partial}{\partial R(u)} (\mathcal{G}^{(0)}(u, z) + \mathcal{G}^{(0)}(u, -z))
 \end{aligned}$$

- Seems to need  $\mathcal{T}^{(0)}(u|\varepsilon_k, \varepsilon_n|)$  which itself needs  $\Omega_2^{(0)}$ .
- But poles separate by partial fraction decomposition

$$\mathcal{G}^{(0)}(z, u) = \frac{\mathfrak{G}_0(z)}{u+z} + \frac{\lambda^2}{N^2} \sum_{k,l,m,n=1}^d \frac{C_{k,l}^{m,n}}{(z + \hat{\varepsilon}_l^n)(z - \hat{\varepsilon}_k^m)(u - \hat{\varepsilon}_l^n)}$$

## Proposition

$$\Omega_2^{(0)}(u, z) = \frac{1}{R'(u)R'(z)} \left( \frac{1}{(u-z)^2} + \frac{1}{(u+z)^2} \right)$$

One recognises the **Bergman kernel** of topological recursion!



Set  $\omega_{g,m}(z_1, \dots, z_m) = \lambda^{2-2g-m} \Omega_m^{(g)}(z_1, \dots, z_m) \prod_{k=1}^m dR(z_k)$ . A lengthy calculation gives:

$$\begin{aligned}
 \omega_{0,3}(u_1, u_2, z) &= - \sum_{i=1}^{2d} \frac{\left(\frac{1}{(u_1-\beta_i)^2} + \frac{1}{(u_1+\beta_i)^2}\right) \left(\frac{1}{(u_2-\beta_i)^2} + \frac{1}{(u_2+\beta_i)^2}\right) du_1 du_2 dz}{R'(-\beta_i)R''(\beta_i)(z-\beta_i)^2} \\
 &\quad + \left[ d_{u_1} \left( \frac{\omega_{0,2}(u_2, u_1)}{(dR)(u_1)} \frac{dz}{R'(-u_1)(z+u_1)^2} \right) + u_1 \leftrightarrow u_2 \right] \\
 \omega_{1,1}(z) &= \sum_{i=1}^{2d} \frac{dz}{R'(-\beta_i)R''(\beta_i)} \left\{ -\frac{1}{8(z-\beta_i)^4} + \frac{\frac{1}{24}x_{1,i}}{(z-\beta_i)^3} + \frac{(x_{2,i} + y_{2,i} - x_{1,i}y_{1,i} - x_{1,i}^2 - \frac{6}{\beta_i^2})}{48(z-\beta_i)^2} \right. \\
 &\quad \left. - \frac{dz}{8(R'(0))^2 z^3} + \frac{R''(0)dz}{16(R'(0))^3 z^2} \right\}
 \end{aligned}$$

where  $\beta_{1,\dots,2d}$  solve  $dR(\beta_i) = 0$  (ramification pnts),  $x_{n,i} := \frac{R^{(n+2)}(\beta_i)}{R''(\beta_i)}$ ,  $y_{n,i} := \frac{(-1)^n R^{(n+1)}(-\beta_i)}{R'(-\beta_i)}$

## Observation

The **blue** terms correspond to topological recursion for  $x(z) = R(z)$  &  $y(z) = -R(-z)$ , the **magenta** terms signal an extension to **blobbed topological recursion** [Borot-Shadrin 15].

# Conjecture: NC $\lambda\Phi^4$ -model obeys BTR!

When trying to prove the conjecture for  $g = 0$  we noticed surprising identities between  $\omega_{0,m+1}(u_1, \dots, u_m, -z)$  and  $\omega_{0,k+1}(u_1, \dots, u_k, z)$ . They were generalised in [Hock 22] to a general approach to the  $x$ - $y$  symmetry in TR.

## Definition

Let  $x : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  be a ramified covering with ramification points  $\beta_1, \dots, \beta_r$ . For a **global involution**  $\iota : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ , which neither fixes nor permutes the  $\beta_i$ , let  $y(z) := -x(\iota z)$ . Then a family  $\{\omega_{0,n}\}_{n \geq 2}$  of meromorphic differentials is introduced by

$$\omega_{0,2}(w, z) = \frac{1}{2} \frac{dw dz}{(w - z)^2} + \frac{1}{2} \frac{d(\iota w) d(\iota z)}{(\iota w - \iota z)^2} - \frac{1}{2} \frac{dw d(\iota z)}{(w - \iota z)^2} - \frac{1}{2} \frac{d(\iota w) dz}{(\iota w - z)^2}$$

and for  $m \geq 2$  by **the involution identity** (here  $l := \{u_1, \dots, u_m\}$ )

$$\omega_{0,m+1}(l, z) + \omega_{0,m+1}(l, \iota z) = \sum_{s=2}^m \sum_{l_1 \uplus \dots \uplus l_s = l} \frac{1}{s} \operatorname{Res}_{w \rightarrow z} \left( \frac{dy(z) dx(w)}{(y(z) - y(w))^s} \prod_{i=1}^s \frac{\omega_{0,|l_i|+1}(l_i, w)}{dx(w)} \right).$$

## Theorem [Hock-W 21]

The involution identity has the unique solution

$$\begin{aligned}
 \omega_{0,m+1}(l, z) = & \sum_{i=1}^r \operatorname{Res}_{q \rightarrow \beta_i} K_i(z, q) \sum_{l_1 \uplus l_2 = l} \omega_{0,|l_1|+1}(l_1, q) \omega_{0,|l_2|+1}(l_2, \sigma_i(q)) \\
 & - \sum_{k=1}^m d_{u_k} \left[ \operatorname{Res}_{q \rightarrow \iota u_k} \sum_{l_1 \uplus l_2 = l} \tilde{K}(z, q, u_k) d_{u_k}^{-1} (\omega_{0,|l_1|+1}(l_1, q) \omega_{0,|l_2|+1}(l_2, q)) \right].
 \end{aligned}$$

(for  $m \geq 2$ ). Here  $\sigma_i$  is the local Galois involution near  $\beta_i$ , i.e.  $x(z) = x(\sigma_i(z))$ ,  $\sigma_i(\beta_i) = \beta_i$ ,  $\sigma_i \neq \text{id}$ . The recursion kernels are given by

$$K_i(z, q) := \frac{\frac{1}{2} \left( \frac{dz}{z-q} - \frac{dz}{z-\sigma_i(q)} \right)}{dx(\sigma_i(q))(y(q) - y(\sigma_i(q)))}, \quad \tilde{K}(z, q, u) := \frac{\frac{1}{2} \left( \frac{d(\iota z)}{\iota z - \iota q} - \frac{d(\iota z)}{\iota z - u} \right)}{dx(q)(y(q) - y(\iota u))}.$$

For the choice  $\iota z = -z$  and  $x(z) = R(z) := z - \frac{\lambda}{N} \sum_{k=1}^d \frac{\rho_k}{\varepsilon_k + z}$ , the solution of the involution identity coincides with the solution of the system for  $(\Omega_n^{(0)}, \mathcal{T}^{(0)})$  found in [BHW 20].

The best strategy seems to prove that the Dyson-Schwinger equations imply **linear** and **quadratic** loop equations [Borot, Eynard, Orantin 13]

$$\sum_{j=0}^d \omega_{g,|l|+1}(l, \hat{z}^j) = f_1(R(z); l)$$

$$\sum_{\substack{j,k=0 \\ j \neq k}}^d \left( \omega_{g-1,|l|+2}(l, \hat{z}^j, \hat{z}^k) + \sum_{\substack{g_1+g_2=g \\ l_1 \uplus l_2=l}} \omega_{g_1,|l_1|+1}(l_1, \hat{z}^j) \omega_{g_2,|l_2|+1}(l_2, \hat{z}^k) \right) = f_2(R(z); l)$$

where  $f_1, f_2$  are *holomorphic* at ramification points of  $R$  and  $R^{-1}(\{R(z)\}) = \{\hat{z}^0 \equiv z, \hat{z}^1, \dots, \hat{z}^d\}$ .

- Blobbed TR is the general solution of such loop equations [Borot, Shadrin 15]. To reduce to TR one needs more.
- In our case  $f_1, f_2$  are of particular structure which completely fixes the poles at  $z = -u_k$  and  $z = 0$ .
- Work in progress: extension to higher  $g$  (kernel  $K_0$  for pole at  $z = 0$  already found).

Eynard proved in 2011 a general formula which expresses  $\omega_{g,n}$  for *any* spectral curve  $(x : \Sigma \rightarrow \Sigma_0, \omega_{0,1}, \omega_{0,2})$  with simple ramification points in terms of **intersection numbers of  $\psi$ - and  $\kappa$ -classes on several copies of  $\overline{\mathcal{M}}_{g,n}$** .

- Extensions to higher-order ramifications are known.
- According to [Borot, Shadrin 15], Eynard's formula survives with some modifications to blobbed TR.

Thus, **expressing our  $\omega_{g,n}$  in terms of intersection numbers is an achievable goal in very near future.**

- This expression can be interesting (like the ELSV formula) or not.
- We hope it captures aspects of the **involution  $z \mapsto -z$**  which plays a decisive rôle in the residue formula.

Consider  $\tau(\{t_i\}) = N^2 \mathcal{F}^{(0)} + \mathcal{F}^{(1)} + \sum_{g=2}^{\infty} N^{2-2g} \omega_{g,0}$

- In the [Kontsevich 92] model, for  $t_i = -\frac{(2i-1)!!}{N} \text{Tr}(E^{-2i-1})$ ,  $\tau$  satisfies the Hirota bilinear PDE of the KdV-hierarchy.
- [Eynard, Orantin 07] describe how to obtain from any spectral curve of TR a formal Hirota equation (order by order in  $1/N^2$ ).
- Whether or not integrability extends to blobbed TR is not known.
- We remain optimistic for our case because the recursion formula with residue kernel is very close to TR.
- $\mathcal{F}^{(0)}$  and  $\mathcal{F}^{(1)}$  [Branahl, Hock 21] have been found.