

# A solvable quantum field theory in 4 dimensions

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(based on joint work with Harald Grosse,  
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# Prehistory

## X<sup>th</sup> International Congress on Mathematical Physics Leipzig (1991)

one of the exciting topics: **understand the Standard Model of particle physics as a noncommutative geometry**

- Gerd's intuition: a kind of **Kaluza-Klein theory**<sup>1</sup>
- some student should work this out . . .
- intensive study of **noncommutative geometry** within the Leipzig Mathematical Physics group in 1993–1996
- also produced some results . . .

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<sup>1</sup>Yu.A.Kubyshin, J.M.Mourão, G.Rudolph, I.P.Volobuev: “Dimensional Reduction of Gauge Theories, Spontaneous Compactification and Model Building,” LNP 349 (1989)

# History I

... these results convinced the DAAD to finance a transfer to CPT Marseille (1998+1999):

- Nov. 97: Connes-Douglas-Schwarz paper about **compactification of M-theory on noncommutative tori**
- Thomas Krajewski: **Can we do quantum field theory on noncommutative spaces?**
- early 1999: achieve one-loop renormalisation of U(1)-Yang-Mills on noncommutative 4-torus
- subject receives enormous boost after Seiberg-Witten (1999) paper: models arise as **limits of string theory**

a problem arises: Minwalla, van Raamsdonk & Seiberg discover **UV/IR-mixing** (Dec. 1999)

# History II

after failed attempts in Vienna (2000+2001),  
solve UV/IR-mixing problem at MPI-MIS Leipzig (2002–...):

## Theorem [H. Grosse+RW, 2004]

- 1 4D scalar model on noncommutative Moyal space with harmonic propagation is **perturbatively renormalisable**
- 2 at one-loop there is **no Landau ghost**

## Theorem [Disertori-Gurau-Magnen-Rivasseau, 2006]

Adjust (in above model) **Planck volume = volume of universe**.  
Then the  **$\beta$ -function is zero** to all orders in perturbation theory.

immediate question: **Can we construct the model?**

... **YES** (in limit  $V_{\text{Planck}} = V_{\text{universe}} \rightarrow \infty$ )

To our big surprise, **Wightman axioms seem to be satisfied!**

# What is Quantum Field Theory?

- 1932: mathematical foundation of **quantum mechanics** [von Neumann]
- 1950's: unique extension to **quantum fields** [Wightman]  
Theorem: **vacuum expectation values of field operators** are boundary values of holomorphic functions
- their restriction to real subspace of **Euclidean points** (minus diagonals) defines **Schwinger functions**
- Schwinger functions inherit properties such as real analyticity, Euclidean invariance, complete symmetry and **reflection positivity**

Theorem [Osterwalder-Schrader, 1974]

These properties are sufficient to reconstruct Wightman theory!

# The anomalous dimension $\eta$

effective 2-point function in momentum space

$$\hat{S}_2(p) \propto \frac{1}{(p^2+m^2)^{1-\eta/2}}$$

- reflection positivity requires  $\eta \geq 0$
- ... but convergence in 4D needs  $\eta \leq 0$

proposed way out: require decay of effective vertex functions  
 $\hat{V}(p_i) \rightarrow 0$  for  $p_i \rightarrow \infty$  (asymptotic freedom,  $\beta < 0$ )

- tendency to produce infrared problems ( $\rightarrow$  confinement), no solution so far
- put models with  $\beta = 0$  back to agenda; maybe the convergence problem is simpler...

# Dismiss the measure approach

- successful 2D-approach [Glimm-Jaffe, Simon, ...] consists in **Feynman-Kac-type perturbation** of free measure  
already difficult: **renormalisation=normal ordering** destroys boundedness from below (can be repaired)
- renormalisation in 4D is more expensive:
  - (bare) anomalous dimension is in fact  $\eta = +\infty$
  - **subtraction may produce  $\eta_{ren} > 0$  for unstable  $\lambda < 0$**

## Message

Consider the possibility that **4D Schwinger functions do not arise from a measure** (for  $\beta = 0$ )!

(i.e. one cannot give a rigorous meaning to partition function)

# What else?

Take partition function as guiding principle to suggest quantum equations of motion (**Schwinger-Dyson equations**).

- old idea which usually leads nowhere
- our model on noncommutative space is essentially a **matrix model** which carries  **$U(\infty)$  group action**
- produces **infinite number of constraints**, leading to collapse of Schwinger-Dyson tower
- get **self-consistency equation for 2-point function alone** (similar to Thirring model),  
plus hierarchy of affine equations for higher  $N$ -point functions



# Regularisation of $\lambda\phi_4^4$ on noncommutative space

$$S[\phi] = \int_{\mathbb{R}^4} \frac{dx}{64\pi^2} \left( \frac{Z}{2} \phi \star (-\Delta + \Omega^2 (2\Theta^{-1}x)^2 + \mu_{bare}^2) \phi + \frac{\lambda Z^2}{4} \phi \star \phi \star \phi \star \phi \right) (x)$$

with **Moyal product**  $(f \star g)(x) = \int_{\mathbb{R}^4 \times \mathbb{R}^4} \frac{dy dk}{(2\pi)^4} f(x + \frac{1}{2}\Theta k) g(x + y) e^{i\langle k, y \rangle}$

has matrix basis  $\phi(x) = \sum_{\underline{m}, \underline{n} \in \mathbb{N}^2} \Phi_{\underline{m}\underline{n}} f_{m_1 n_1}(x^0, x^1) f_{m_2 n_2}(x^3, x^4)$

$$f_{mn}(y^0, y^1) = 2(-1)^m \sqrt{\frac{m!}{n!}} \left( \sqrt{\frac{2}{\theta}} y \right)^{n-m} L_m^{n-m} \left( \frac{2|y|^2}{\theta} \right) e^{-\frac{|y|^2}{\theta}}$$

satisfies  $f_{\underline{m}\underline{n}} \star f_{\underline{k}\underline{l}} = \delta_{\underline{n}\underline{k}} f_{\underline{m}\underline{l}}$  and  $\int \frac{dx}{64\pi^2} f_{\underline{m}\underline{n}}(x) = V \delta_{\underline{m}\underline{n}}$ , take **cut-off**  $\mathcal{N}$

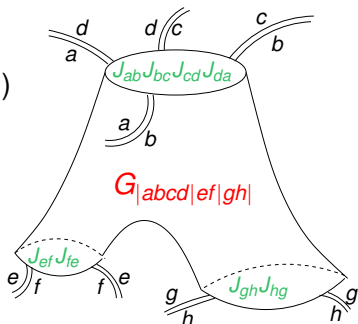
Simplification at  $\Omega = 1$ , describes  $V_{\text{Planck}} = V_{\text{universe}} = \left(\frac{\theta}{4}\right)^2$

$$S[\Phi] = V \left( \sum_{\underline{m}, \underline{n} \in \mathbb{N}_{\mathcal{N}}^2} Z E_{\underline{m}} \Phi_{\underline{m}\underline{n}} \Phi_{\underline{n}\underline{m}} + \frac{Z^2 \lambda}{4} \sum_{\underline{m}, \underline{n}, \underline{k}, \underline{l} \in \mathbb{N}_{\mathcal{N}}^2} \Phi_{\underline{m}\underline{n}} \Phi_{\underline{n}\underline{k}} \Phi_{\underline{k}\underline{l}} \Phi_{\underline{l}\underline{m}} \right)$$

$$E_{\underline{m}} = \frac{|\underline{m}|}{\sqrt{V}} + \frac{\mu_{bare}^2}{2}, \quad |\underline{m}| := m_1 + m_2 \leq \mathcal{N}$$

# Topological expansion

- Riemann surfaces with  $B$  boundary components (avoid genus expansion)
- $k^{\text{th}}$  boundary component carries a cycle  $\mathbf{J}_{p_1 \dots p_{N_k}}^{N_k} := \prod_{j=1}^{N_k} \mathbf{J}_{p_j p_{j+1}}$  of  $N_k$  external sources,  $N_k + 1 \equiv 1$



- expand  $\log \mathcal{Z}[\mathbf{J}] = \sum \frac{1}{S} V^{2-B} \mathbf{G}_{|p_1^1 \dots p_{N_1}^1| \dots |p_1^B \dots p_{N_B}^B|} \prod_{\beta=1}^B \mathbf{J}_{p_1^\beta \dots p_{N_\beta}^\beta}^{N_\beta}$  according to the cycle structure
- QFT of matrix models determines the **weights of Riemann surfaces** with **decorated boundary components** compatible with
  - 1 gluing (of fringes, not boundaries!)
  - 2 covariance (under  $\Phi \mapsto U^* \Phi U$ , which is not a symmetry!)

# Schwinger-Dyson equations

in a scaling limit  $V \rightarrow \infty$  and  $\frac{1}{V} \sum_{p \in \mathbb{N}_{\mathcal{N}}^2}$  finite, we have:

1. a closed non-linear equation for  $G_{|ab|}$

$$G_{|ab|} = \frac{1}{Z(E_{\underline{a}} + E_{\underline{b}})} - \frac{Z\lambda}{(E_{\underline{a}} + E_{\underline{b}})} \frac{1}{V} \sum_{p \in \mathbb{N}_{\mathcal{N}}^2} \left( G_{|ab|} G_{|ap|} - \frac{G_{|pb|} - G_{|ab|}}{Z(E_{\underline{p}} - E_{\underline{a}})} \right)$$

- limit  $\mathcal{N} \rightarrow \infty$  needs renormalisation!

2. affine equations for higher  $(N_1 + \dots + N_B)$ -point functions

- algebraic for  $\max(N_\beta) > 2$
- e.g.  $G_{|abcd|} = (-\lambda) \frac{G_{|ab|} G_{|cd|} - G_{|ad|} G_{|cb|}}{(E_{\underline{a}} - E_{\underline{c}})(E_{\underline{b}} - E_{\underline{d}})} \Rightarrow \beta\text{-function zero!}$
- complicated equation for  $G_{|ab|cd|}$ , makes model not completely trivial

# Integral equations

- thermodynamic limit  $\frac{\theta^2}{16} = V \rightarrow \infty$  turns discrete matrix indices into continuous variables  $a, b, \dots \in \mathbb{R}_+$  and sums into integrals
- Need energy cutoff  $a, b, \dots \in [0, \Lambda^2]$  and normalisation of lowest Taylor terms of 2-point function  $G_{|ab|} \mapsto G_{ab}$
- **Carleman-type singular integral equation** for  $G_{ab} - G_{a0}$

Theorem [H.Grosse+RW, 2012/13] (for  $\lambda < 0$ , using  $G_{b0} = G_{0b}$ )

Let  $\mathcal{H}_a^\Lambda(f) = \frac{1}{\pi} \mathcal{P} \int_0^{\Lambda^2} \frac{f(p) dp}{p-a}$  be the *finite Hilbert transform*. Then

$$G_{ab} = \frac{\sin(\tau_b(a))}{|\lambda|\pi a} e^{\text{sign}(\lambda)(\mathcal{H}_0^\Lambda[\tau_0(\bullet)] - \mathcal{H}_a^\Lambda[\tau_b(\bullet)])}$$

where  $\tau_b(a) := \arctan_{[0, \pi]} \left( \frac{|\lambda|\pi a}{b + \frac{1 + \lambda\pi a \mathcal{H}_a^\Lambda[G_{\bullet 0}]}{G_{a0}}} \right)$  and  $G_{a0}$  solution of

$$G_{b0} = G_{0b} = \frac{1}{1+b} \exp \left( -\lambda \int_0^b dt \int_0^{\Lambda^2} \frac{dp}{(\lambda\pi p)^2 + \left( t + \frac{1 + \lambda\pi p \mathcal{H}_p^\Lambda[G_{\bullet 0}]}{G_{p0}} \right)^2} \right)$$

# Discussion

together with explicit (but **complicated** for  $G_{ab|cd}$ ,  $G_{ab|cd|ef}$ , ...) formulae for higher correlation functions, we have **exact solution** of  $\lambda\phi_4^4$  on extreme Moyal space in terms of ( $\Lambda \rightarrow \infty$  now safe!)

$$G_{b0} = G_{0b} = \frac{1}{1+b} \exp \left( -\lambda \int_0^b dt \int_0^\infty \frac{dp}{(\lambda\pi p)^2 + \left( t + \frac{1+\lambda\pi p \mathcal{H}_p^\Lambda[G_{\bullet 0}]}{G_{p0}} \right)^2} \right)$$

## possible treatments

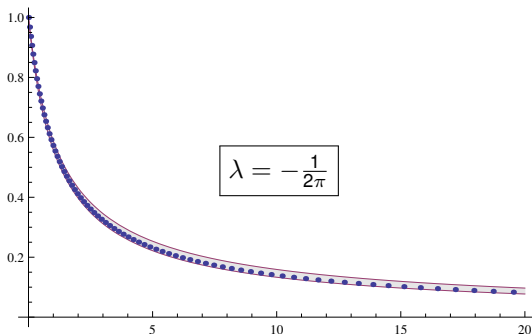
- 1 perturbative solution: **reproduces all Feynman graphs**, generates **polylogarithms and  $\zeta$ -functions**
- 2 iterative solution on computer: **nicely convergent**, find interesting phase structure
- 3 **rigorous existence proof** of a solution
- 4 work in progress: try to **guess the solution**; should give uniqueness as by-product

# Fixed point theorem

## Theorem [H.Grosse+RW, 2015]

Let  $-\frac{1}{6} \leq \lambda \leq 0$ . Then the equation has a  $C_0^1$ -solution

$$\frac{1}{(1+b)^{1-|\lambda|}} \leq G_{0b} \leq \frac{1}{(1+b)^{1-\frac{|\lambda|}{1-2|\lambda|}}}$$



Proof via **Schauder fixed point theorem**.

This involves **continuity and compactness** of a certain operator (in norm topology)

# From matrix model to Schwinger functions on $\mathbb{R}^4$

reverting harmonic oscillator basis  $\blacktriangleright$ ,  $1 + \mathcal{Y} := -\frac{dG_{0b}}{db} \Big|_{b=0}$

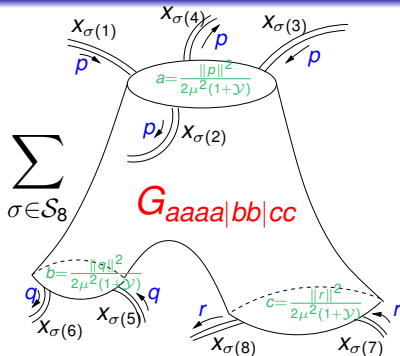
Theorem [HG+RW, 2013]: *connected* Schwinger functions

$$\begin{aligned}
 & S_C(\mu X_1, \dots, \mu X_N) \\
 &= \frac{1}{64\pi^2} \sum_{\substack{N_1 + \dots + N_B = N \\ N_\beta \text{ even}}} \sum_{\sigma \in S_N} \left( \prod_{\beta=1}^B \frac{4^{N_\beta}}{N_\beta} \int_{\mathbb{R}^4} \frac{d^4 p_\beta}{4\pi^2 \mu^4} e^{i \left\langle \frac{p_\beta}{\mu}, \sum_{i=1}^{N_\beta} (-1)^{i-1} \mu X_{\sigma(N_1 + \dots + N_{\beta-1} + i)} \right\rangle} \right) \\
 & \quad \times \mathbf{G} \underbrace{\left( \frac{\|p_1\|^2}{2\mu^2(1+\mathcal{Y})}, \dots, \frac{\|p_1\|^2}{2\mu^2(1+\mathcal{Y})} \right)}_{N_1} \dots \underbrace{\left( \frac{\|p_B\|^2}{2\mu^2(1+\mathcal{Y})}, \dots, \frac{\|p_B\|^2}{2\mu^2(1+\mathcal{Y})} \right)}_{N_B}
 \end{aligned}$$

**confinement of noncommutativity**: have internal interaction of matrices; commutative subsector propagates to outside world

- Schwinger functions are symmetric and **invariant under full Euclidean group** (completely unexpected for NCQFT!)
- remains: **reflection positivity**
- finally: is it **non-trivial**?

# Connected (4+2+2)-point function



- 1 no clustering
  - 2 particle scattering without momentum exchange
- in 4D a sign of **triviality** (mind assumptions!)
  - familiar in 2D models with **factorising S-matrix**
  - a consequence of **integrability** [Moser, 1975] & [Kulish, 1976]

## road to non-triviality (proposed by J. Schlemmer)

- if our Schwinger functions do *not* arise from a measure, there is no reason they satisfy **Nelson-Symanzik positivity**
- ... but the free field is Nelson-Symanzik positive, so our **Schwinger functions should not be trivial**



# Osterwalder-Schrader reflection positivity

Proposition [H. Grosse+RW, 2013]

$S(x_1, x_2)$  is reflection positive iff  $a \mapsto G_{aa}$  is a **Stieltjes function**,

$$G_{aa} = \int_0^\infty \frac{\rho(t) dt}{a+t}, \quad \rho - \text{positive measure}$$

**excluded for any  $\lambda > 0$**  (unless rescued by winding number)

- purely real conditions [Widder, 1938]:

$$L_{k,t}[f(\bullet)] := \frac{(-t)^{k-1}}{c_k} \frac{d^{2k-1}}{dt^{2k-1}} (t^k f(t)) \geq 0, \quad c_{k>1} = k!(k-2)! \quad c_1=1$$

→ lowest orders verified numerically

- complex conditions [Krein]:

- 1  $f(t) \geq 0$  for  $t > 0$
- 2  $f : \mathbb{C} \setminus ]-\infty, 0] \rightarrow \mathbb{C}$  holomorphic
- 3  $\text{Im}(f(x+iy)) < 0$  for  $y > 0$  (anti-Herglotz)

→ verified on subset  $x > -\frac{4}{5}$  of  $\mathbb{C}$

# Reflection positivity simplifies the problem

if  $G_{x0}$  is Stieltjes, then Hilbert transform can be avoided:

$$\frac{G_{xy}}{G_{x0}} = \exp \left( -\frac{1}{\pi} \int_1^\infty \frac{dt}{t+x} \arctan \left( \frac{y \operatorname{Im}(G_{-(t+i\epsilon),0})}{1 - \lambda t \int_0^\infty ds \frac{G_{s0}}{t+s} + y \operatorname{Re}(G_{-(t+i\epsilon),0})} \right) \right)$$

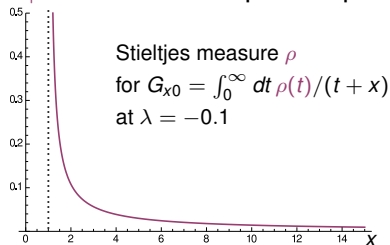
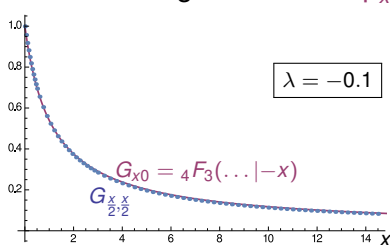
Which class of functions has desired positivity+holomorphicity and manageable integral transforms?

hypergeometric functions  $G_{x0} = {}_nF_{n-1} \left( \begin{matrix} a, b_1, \dots, b_{n-1} \\ c_1, \dots, c_{n-1} \end{matrix} \middle| -x \right)$  if  $a \in [0, 1]$  and  $c_i > b_i > a$

- holomorphicity at  $y > 0$ : determine  $a, b_i, c_i$  by  $G_{0y}^{(k)} = G_{y0}^{(k)}$
- find:  $a = 1 + \frac{1}{\pi} \arcsin(\lambda\pi)$ ,  $\prod_{i=1}^n \frac{c_i-1}{b_i-1} = \frac{\arcsin(\lambda\pi)}{\lambda\pi}$
- **critical coupling constant is  $\lambda_c = -\frac{1}{\pi} = -0.3183\dots$**
- also take  $c_1 = 2$  (nicer Stieltjes and Hilbert transforms)

# Källén-Lehmann spectrum

- matching conditions for  $G_{x,0} = {}_4F_3(\dots | -x)$  at one point  $x$  result in global error  $\sup_x |\dots| \approx 10^{-8}$  in fixed point equation



**reflection positivity** equivalent to existence of a **blue curve** on the right whose Stieltjes transform is  $G_{\frac{x}{2}, \frac{x}{2}}$  on the left

- measure for  $G_{x,0}$  (and almost surely for  $G_{\frac{x}{2}, \frac{x}{2}}$ ) has mass gap  $[0, 1[$ , **but no further gap** (remnant of UV/IR-mixing)
- absence of the second gap (usually  $]1, 4[$ ) **circumvents triviality theorems**

# Summary

- 1 regularisation on extreme noncommutative space defines 4D-QFT that is **exactly solvable** in terms of fixed point problem
  - theory defined by quantum equations of motion (= Schwinger-Dyson equations), **not by a measure**
  - **existence proved** within small region
  - **phase transitions and critical phenomena**
- 2 projection to **Schwinger functions for scalar field on  $\mathbb{R}^4$**   
**= confinement of noncommutativity**
  - **full Euclidean symmetry** (completely unexpected)
  - **no momentum exchange** (close to triviality),  
**possibly a consequence of integrability**
  - numerical approach with tiny error: leaves no doubt that  
**Schwinger 2-point function is reflection positive for  $-\frac{1}{\pi} < \lambda \leq 0$**
- 3 ready to embark on higher Schwinger functions

@ audience:

Thanks for listening!  
If you like, please join us.

@ Gerd:

Take advantage of your free time to progress with your favourite Mathematical Physics problem.

*Happy retirement!*