

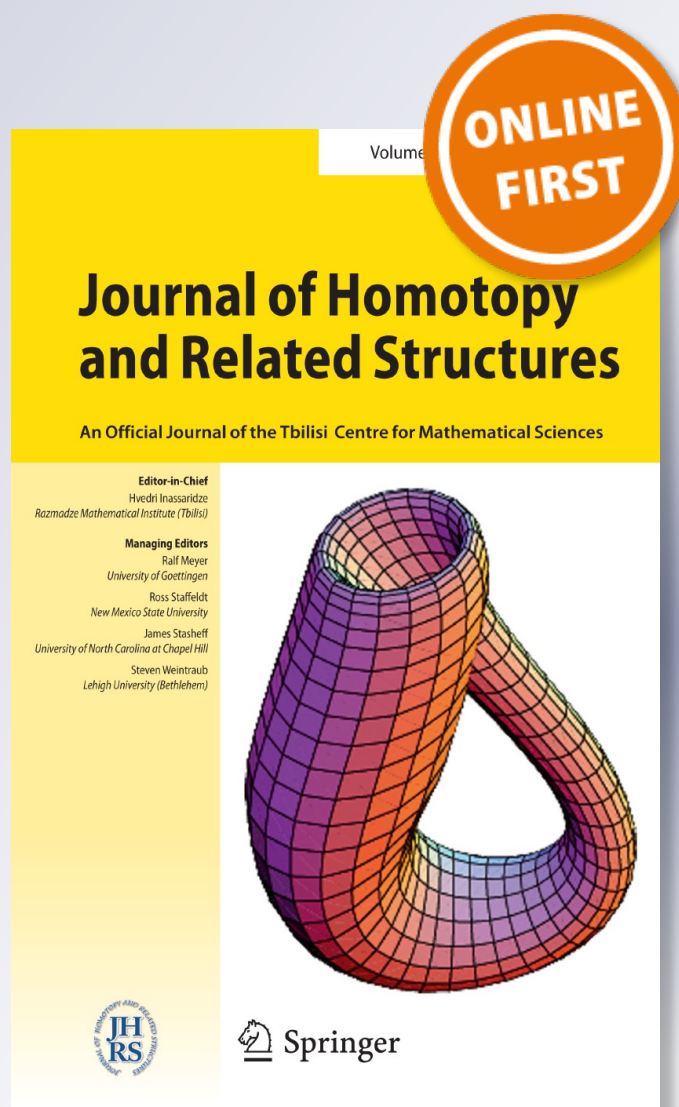
# *Algebraic $K$ -theory of the infinite place*

**Jakob Scholbach**

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# Algebraic $K$ -theory of the infinite place

Jakob Scholbach

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**Abstract** We show that the algebraic  $K$ -theory of generalized archimedean valuation rings occurring in Durov's compactification of the spectrum of a number ring is given by stable homotopy groups of certain classifying spaces. We also show that the “residue field at infinity” is badly behaved from a  $K$ -theoretic point of view.

**Keywords** Algebraic  $K$ -theory · Complexes of groups · Infinite place

## 1 Introduction

In number theory, it is a universal principle that the spectrum of  $\mathbb{Z}$  should be completed with an infinite prime. This is corroborated, for example, by Ostrowski's theorem, the product formula

$$\prod_{p \leq \infty} |x|_p = 1, \quad x \in \mathbb{Q}^\times,$$

the Hasse principle, Artin–Verdier duality, and functional equations of  $L$ -functions.

This “compactification”  $\widehat{\mathrm{Spec}} \mathbb{Z} := \mathrm{Spec} \mathbb{Z} \cup \{\infty\}$  was just a philosophical device until recently: Durov has proposed a rigorous framework which allows for a discussion of, say,  $\mathbb{Z}_{(\infty)}$ , the local ring of  $\widehat{\mathrm{Spec}} \mathbb{Z}$  at  $p = \infty$  [1]. The purpose of this work is to study the  $K$ -theory of the so-called generalized rings intervening at the infinite place.

Algebraic  $K$ -theory is a well-established, if difficult, invariant of arithmetical schemes. For example, the pole orders of the Dedekind  $\zeta$ -function  $\zeta_F(s)$  of a number

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J. Scholbach (✉)  
Mathematisches Institut, Universität Münster, Einsteinstr. 62, 48149 Münster, Germany  
e-mail: Jakob.Scholbach@uni-muenster.de

field  $F$  are expressible by the ranks of the  $K$ -theory groups of  $\mathcal{O}_F$ , the ring of integers. By definition,  $K$ -theory only depends on the category of projective modules over a ring. Therefore, this interacts nicely with Durov's theory of *generalized rings* which describes (actually: defines) such a ring  $R$  by defining its free modules. For example, the free  $\mathbb{Z}_{(\infty)}$ -module of rank  $n$  is defined as the  $n$ -dimensional octahedron, i.e.,

$$\mathbb{Z}_{(\infty)}(n) := \left\{ (x_1, \dots, x_n) \in \mathbb{Q}^n, \sum_i |x_i| \leq 1 \right\}.$$

The abstract theory of such modules is a priori more complicated than in the classical case since  $\mathbb{Z}_{(\infty)}$ -modules fail to build an abelian category. Nonetheless, using Waldhausen's  $S_\bullet$ -construction it is possible to study the *algebraic  $K$ -theory* of  $\mathbb{Z}_{(\infty)}$  and similar rings occurring for other number fields (Theorem 3.10, Definition 3.12).

**Theorem 3.14.** *The  $K$ -groups of  $\mathbb{Z}_{(\infty)}$  are given by*

$$K_i(\mathbb{Z}_{(\infty)}) = \pi_i^s(B\mu_2 \sqcup \{*\}, *) = \begin{cases} \mathbb{Z} & i = 0 \text{ (Durov[Dur, 10.4.19])} \\ \mathbb{Z}/2 \oplus \mu_2 & i = 1 \\ \text{a finite group} & i > 1. \end{cases}$$

The  $\mathbb{Z}/2$ -part in  $K_1$  stems from the first stable homotopy group  $\pi_1^s$ , while  $\mu_2 = \{\pm 1\}$  arises as the subgroup of  $\mathbb{Z}_{(\infty)}$  of elements of norm 1, i.e., the subgroup of (multiplicative) units of  $\mathbb{Z}_{(\infty)}$ . The finite  $K$ -group for  $i > 1$  is the abutment of an Atiyah–Hirzebruch spectral sequence.

This theorem is proven for more general generalized valuation rings including  $\mathcal{O}_{F(\sigma)}$ , the ring corresponding to an infinite place  $\sigma$  of a number field  $F$ . In this case the group  $\mu_2$  above is replaced by the group  $\{x \in F, |\sigma(x)| = 1\}$ . The basic point is this: the only admissible monomorphisms (i.e., the ones occurring in the  $S_\bullet$ -construction of  $K$ -theory)

$$\mathbb{Z}_{(\infty)}(1) = [-1, 1] \cap \mathbb{Q} \rightarrow \mathbb{Z}_{(\infty)}(2)$$

are given by mapping the interval to one of the two diagonals of the lozenge. Thereby, the Waldhausen category structure on free  $\mathbb{Z}_{(\infty)}$ -modules turns out to be equivalent to the one of finitely generated pointed  $\{\pm 1\}$ -sets, whose  $K$ -theory is well-known. In the course of the proof we also show that other plausible definitions, such as the  $S^{-1}$   $S$ -construction, the  $Q$ -construction, and the  $+$ -construction yield the same  $K$ -groups.

We finish this note by pointing out two  $K$ -theoretic differences of the infinite place: we show that  $K_0(\mathbb{F}_\infty) = 0$  (Proposition 4.2), as opposed to  $K_0(\mathbb{F}_p) = \mathbb{Z}$ . Also, the completions at infinity are not well-behaved from a  $K$ -theoretic viewpoint. These remarks raise the question whether the “local” ring  $\mathbb{Z}_{(\infty)}$  should be considered regular or, more precisely, whether

$$K_0(\mathbb{Z}_{(\infty)}) \rightarrow K'_0(\mathbb{Z}_{(\infty)}) := \mathbb{Z}[\text{finitely presented } \mathbb{Z}_{(\infty)}\text{-}\mathbf{Mod}]/\text{short exact sequences}$$

is an isomorphism. Unlike in the classical case, there does not seem to be an easy resolution argument in the context of Waldhausen categories. Another natural question is whether there is a Mayer–Vietoris sequence of the form

$$K_i(\widehat{\mathbb{Z}}) \rightarrow K_i(\mathbb{Z}) \oplus K_i(\mathbb{Z}_{(\infty)}) \rightarrow K_i(\mathbb{Q}) \rightarrow K_{i-1}(\widehat{\mathbb{Z}}),$$

where  $\widehat{\mathbb{Z}}$  is a generalized scheme obtained by glueing  $\mathrm{Spec} \mathbb{Z}$  and  $\mathrm{Spec} \mathbb{Z}_{(\infty)}$  along  $\mathrm{Spec} \mathbb{Q}$ . The usual proof of this sequence proceeds by the localization sequence, which is not available in our context.

Throughout the paper, we use the following *notation*:  $F$  is a number field with ring of integers  $\mathcal{O}_F$ . Finite primes of  $\mathcal{O}_F$  are denoted by  $\mathfrak{p}$ . We write  $\Sigma_F$  for the set of real and pairs of complex embeddings of  $F$ . The letter  $\sigma$  usually denotes an element of  $\Sigma_F$ . It is referred to as an infinite prime of  $\mathcal{O}_F$ .

## 2 Generalized rings

In a few brushstrokes, we recall the definition of generalized rings and their modules and some basic properties. Everything in this section is due to Durov. All references in brackets refer to [1], where a much more detailed discussion is found.

A monad in the category of sets is a functor  $R : \mathbf{Sets} \rightarrow \mathbf{Sets}$  together with natural transformations  $\mu : R \circ R \rightarrow R$  and  $\epsilon : \mathrm{Id} \rightarrow R$  required to satisfy an associativity and unitality axiom akin to the case of monoids. We will write  $R(n) := R(\{1, \dots, n\})$ . An  $R$ -module is a set  $X$  together with a morphism of monads  $R \rightarrow \mathrm{End}(X)$ , where the endomorphism monad  $\mathrm{End}(X)$  satisfies  $\mathrm{End}(X)(n) = \mathrm{Hom}_{\mathbf{Sets}}(X^n, X)$ . In other words,  $X$  is endowed with an action

$$R(n) \times X^n \rightarrow X$$

satisfying the usual associativity conditions. Thus,  $R(n)$  can be thought of as the  $n$ -ary operations (acting on any  $R$ -module).

**Definition 2.1** (Durov [5.1.6]) A *generalized ring* is a monad  $R$  in the category of sets satisfying two additional properties:

- $R$  is *algebraic*, i.e., it commutes with filtered colimits. Since every set is the filtered colimit of its finite subsets, this implies that  $R$  is determined by  $R(n)$  for  $n \geq 0$  [4.1.3].
- $R$  is *commutative*, i.e., for any  $t \in R(n)$ ,  $t' \in R(n')$ , any  $R$ -module  $X$  (it suffices to take  $X = R(n \times n')$ ) and  $A \in X^{n \times n'}$ , we have

$$t(t'(A)) = t'(t(A)),$$

where on the left hand side  $t'(A) \in X^n$  is obtained by letting  $\mathrm{act} \, t'$  on all rows of  $A$  and similarly (with columns) on the right hand side.

For a unital associative ring  $R$  (in the sense of usual abstract algebra), let

$$R(S) := \bigoplus_{s \in S} R$$

be the free  $R$ -module of rank  $\sharp S$ , where  $S$  is any set. The addition and multiplication on  $R$  turn this into an (algebraic) monad which is commutative iff  $R = R(1)$  is [3.4.8]. Indeed, the required map

$$R(1) \times R(1) \rightarrow R(1) \quad (1)$$

is just the multiplication in  $R$ , while the addition is reformulated as

$$R(2) \times (R(1) \times R(1)) \rightarrow R(1), ((x_1, x_2), (y_1, y_2)) \mapsto \sum x_i y_i.$$

Note that (1) is required to exist for any monad, so multiplication is in a sense more fundamental than addition, which requires the particular element  $(1, 1) \in R(2)$  [3.4.9].

Reinterpreting a ring as a monad in this way defines a functor from commutative rings to generalized rings, which is easily seen to be fully faithful: given two classical rings  $R, R'$ , and a map of monads, i.e., a collection of maps  $R(n) = R^n \rightarrow R'(n) = R'^n$ , one checks that the maps for  $n \geq 2$  are determined by  $R \rightarrow R'$ . In the same vein,  $R$ -modules in the classical sense are equivalent to  $R$ -modules (in the generalized sense). Henceforth, we will therefore not distinguish between classical commutative rings and their associated generalized rings.

The initial generalized ring is the monad  $\mathbb{F}_0 : \mathbf{Sets} \rightarrow \mathbf{Sets}, M \mapsto M$ . Its modules are just the same as sets. The monad  $\mathbf{Sets} \ni M \mapsto M \sqcup \{*\}$  is denoted  $\mathbb{F}_1$ . Neither of these two generalized rings is induced by a classical ring. See Definition 3.2 for our main example of a non-classical ring.

Given a morphism  $\phi : R \rightarrow S$  of generalized rings, the forgetful functor  $\mathbf{Mod}(S) \rightarrow \mathbf{Mod}(R)$  between the module categories has a left adjoint  $\phi^* : \mathbf{Mod}(R) \rightarrow \mathbf{Mod}(S)$  called *base change*. We also denote it by  $- \otimes_R S$ . Being a left adjoint, this functor preserves colimits [4.6.19]. For example, for a generalized ring  $R$ , the unique map  $\mathbb{F}_0 \rightarrow R$  of generalized rings induces an adjunction

$$\mathbf{Sets} = \mathbf{Mod}(\mathbb{F}_0) \rightleftarrows \mathbf{Mod}(R) : \text{forget}$$

Its left adjoint is explicitly given by  $X \mapsto R(X)$ , the so-called *free  $R$ -module* on some set  $X$ . That is,

$$\mathrm{Hom}_{\mathbf{Mod}(R)}(R(X), M) = \mathrm{Hom}_{\mathbf{Sets}}(X, M),$$

as in the classical case.

Coequalizers and arbitrary coproducts exist in  $\mathbf{Mod}(R)$ , for any generalized ring  $R$  [4.6.17]. Therefore, arbitrary colimits exist. Base change functors  $\phi^*$  commute with coequalizers. Moreover, arbitrary limits exist in  $\mathbf{Mod}(R)$ , and commute with the forgetful functor  $\mathbf{Mod}(R) \rightarrow \mathbf{Sets}$  [4.6.1].

An  $R$ -module  $M$  is called *finitely generated* if there is a surjection  $R(n) \twoheadrightarrow M$  for some  $0 \leq n < \infty$  [4.6.9]. Unless the contrary is explicitly mentioned, all our modules are supposed to be finitely generated over the ground generalized ring in question. An  $R$ -module  $M$  is *projective* iff it is a retract of a free module, i.e., if there

are maps  $M \xrightarrow{i} R(n) \xrightarrow{p} M$  with  $pi = \text{id}_M$ . As in the classical case this is equivalent to the property that for any surjection of  $R$ -modules  $N \twoheadrightarrow N'$ ,  $\text{Hom}_{\mathbf{Mod}(R)}(M, N)$  maps onto  $\text{Hom}_{\mathbf{Mod}(R)}(M, N')$  [4.6.23]. The categories of (finitely generated) free and projective  $R$ -modules are denoted **Free**( $R$ ) and **Proj**( $R$ ), respectively.

As usual, an *ideal*  $I$  of  $R$  is a submodule of  $R(1)$ . A proper ideal  $I \subsetneq R(1)$  is called *prime* if  $R(1) \setminus I$  is multiplicatively closed [6.2.2].

### 3 Archimedean valuation rings

#### 3.1 Definitions

Let  $K$  be an integral domain equipped with a norm  $|\cdot| : K \rightarrow \mathbb{R}^{\geq 0}$ . We will write  $Q$  for the quotient field of  $K$ . We put  $E := \{x \in K, |x| = 1\}$ . We also write  $|x|$  for the  $L^1$ -norm on  $K^n$ , i.e.,  $|x| = \sum_i |x_i|$ . Throughout, we assume:

**Assumption 3.1** (A)  $|K^\times| = \{|k|, k \in K^\times\} \subset \mathbb{R}^{\geq 0}$  is dense.  
(B)  $E \subset K^\times$ .

**Definition 3.2** The (*generalized*) *valuation ring* associated to  $(K, |\cdot|)$  is the submonad  $\mathcal{O}$  of  $K$  given by

$$\mathcal{O}(S) := \left\{ x = (x_s) \in \bigoplus_{s \in S} K, |x| := \sum_{s \in S} |x_s| \leq 1 \right\}.$$

This is clearly algebraic. Moreover, the multiplication of the monad, i.e.,  $\mathcal{O} \circ \mathcal{O} \rightarrow \mathcal{O}$  is well-defined by restricting the one of  $K$  (and is therefore commutative):

$$\mathcal{O}(\mathcal{O}(n)) = \left\{ (y_x) \in \bigoplus_{x \in \mathcal{O}(n)} K, \sum_x |y_x| \leq 1 \right\} \rightarrow \mathcal{O}(n)$$

sends  $(y_x)$  to (the finite sum)  $\sum_x y_x \cdot x$ . A priori, this expression is an element of  $K^n$ , only, but is actually contained in  $\mathcal{O}(n)$  since

$$\left| \sum_x y_x \cdot x \right| \leq \left( \sum_x |y_x| \right) \cdot \sup |x| \leq 1.$$

In the case of an archimedean valuation, this definition of  $\mathcal{O}$  is the one of Durov [1, 5.7.13]. For non-archimedean valuations, Durov's original definition gives back the (generalized ring corresponding to the) ordinary ring  $\{x \in K, |x| \leq 1\}$  which is different from Definition 3.2 (see Example 3.4).

By definition, an  $\mathcal{O}$ -module  $M$  is therefore a set such that an expression  $\sum_{i=1}^n \lambda_i m_i$  is defined for  $n \geq 0$ ,  $m_i \in M$ ,  $\lambda_i \in K$  such that  $\sum |\lambda_i| \leq 1$ , obeying the usual laws of commutativity, associativity and distributivity. Maps  $f : M \rightarrow N$  of  $\mathcal{O}$ -modules are described similarly: they satisfy  $f(\sum_i \lambda_i m_i) = \sum_i \lambda_i f(m_i)$ . The set  $\{0\}$ , with its



obvious  $\mathcal{O}$ -module structure is both an initial and terminal  $\mathcal{O}$ -module. Given a map  $f : M' \rightarrow M$  of  $\mathcal{O}$ -modules, the (co)kernel is defined to be the (co)equalizer of the two morphisms  $f$  and  $M' \rightarrow 0 \rightarrow M$ . As was noted above, the forgetful functor  $\mathcal{O} - \mathbf{Mod} \rightarrow \mathbf{Sets}$  preserves limits, so the kernel  $\ker f$  is just  $f^{-1}(0)$ . The cokernel is described by the following proposition. Also see Remark 3.11 for an explicit example of a cokernel computation.

**Proposition 3.3** *Given a map  $f : M' \rightarrow M$  of  $\mathcal{O}$ -modules, the cokernel is given by*

$$\mathrm{coker}(f) = M / \sim, \quad (2)$$

where  $\sim$  is the equivalence relation generated by  $\sum_{i \in I} \lambda_i m_i \sim \sum_{i \in I} \lambda_i \tilde{m}_i$ , where  $I$  is any finite set,  $\lambda = (\lambda_i) \in \mathcal{O}(\natural I)$  and  $m_i, \tilde{m}_i \in M$  are such that either  $m_i = \tilde{m}_i$  or both  $m_i, \tilde{m}_i \in f(M') \subset M$ . This set is endowed with the  $\mathcal{O}$ -action via the natural projection  $\pi : M \rightarrow \mathrm{coker}(f)$ .

*Proof* This follows from the description of cokernels given in [1, 4.6.13]. It is also easy to check the universal property directly: we clearly have  $\pi \circ f = 0$ . Given a map  $t : M \rightarrow T$  of  $\mathcal{O}$ -modules such that  $tf = 0$ , we need to see that  $t$  factors uniquely through  $\mathrm{coker} f$ . The unicity of the factorization is clear since  $M \rightarrow \mathrm{coker} f$  is onto. The existence is equivalent to  $t(m_1) = t(m_2)$  whenever  $\pi(m_1) = \pi(m_2)$ . This is obvious from the definition of the equivalence relation  $\sim$  above.  $\square$

The base change functor resulting from the monomorphism  $\mathcal{O} \subset K$  of generalized rings is denoted

$$(-)_K : \mathbf{Mod}(\mathcal{O}) \rightarrow \mathbf{Mod}(K).$$

Actually, using Assumption 3.1, we may pick  $t \in K^\times$  such that  $|t| < 1$ . Then,  $K$  is the unary localization  $K = \mathcal{O}[1/t]$ . This is shown in [1, 6.1.23] for  $K = \mathbb{R}$ . The proof for a general domain is the same. Therefore  $K$  is flat over  $\mathcal{O}$ , so  $(-)_K$  preserves finite limits, in particular kernels [1, 6.1.2, 6.1.8]. Recall from p. 4 that  $(-)_K$  also preserves colimits, such as cokernels.

Let  $E(n) := \{x \in K(n) = K^n, |x| = 1\}$  be the “boundary” of  $\mathcal{O}(n)$ . (This is merely a collection of sets, not a monad.) We write  $\mathcal{O}$  for  $\mathcal{O}(1)$  and  $E$  for  $E(1)$ , if no confusion arises. In particular,  $x \in \mathcal{O}$  means  $x \in \mathcal{O}(1)$ . The  $i$ -th standard coordinate vector  $e_i = (0, \dots, 1, \dots, 0)$  is called a *basis vector* of  $\mathcal{O}(n)$  ( $1 \leq i \leq n$ ).

**Example 3.4** Let  $F$  be a number field with ring of integers  $\mathcal{O}_F$ . We fix a complex embedding  $\sigma : F \rightarrow \mathbb{C}$  and take the norm  $|\cdot|$  induced by  $\sigma$ . Let  $K$  be either  $\mathcal{O}_F[1/N]$  where  $N \in \mathbb{Z}$  has at least two distinct prime divisors, or  $F$ , or  $\widehat{F}^\sigma$ , the completion of  $F$  with respect to  $\sigma$ . The respective generalized valuation rings will be denoted  $\mathcal{O}_{F,1/N,(\sigma)}$ ,  $\mathcal{O}_{F,(\sigma)}$ , and  $\mathcal{O}_{F,\sigma}$ , respectively. For example,  $\mathcal{O}_{F,(\sigma)} = \mathcal{O}_{F,(\overline{\sigma})}$ . Assumption 3.1(A) is satisfied: for  $\mathcal{O}_F[1/N]$ , pick two distinct prime divisors  $p_1 \neq p_2$  of  $N$ . The elements  $p_1^{n_1} p_2^{n_2} \in K$  are invertible for any  $n_1, n_2 \in \mathbb{Z}$ . The subgroup  $\{\log(|p_1^{n_1} p_2^{n_2}|), n_i \in \mathbb{Z}\} \subset \mathbb{R}$  is dense: otherwise it was cyclic, in contradiction to the  $\mathbb{Q}$ -linear independence of  $\log p_1$  and  $\log p_2$  (Gelfand’s theorem).



As for Assumption 3.1(B), let  $x \in K$  with  $|x| = 1$ . If  $\sigma$  is a real embedding,  $x = \pm|x| = \pm 1$ . If  $\sigma$  is a complex embedding, let  $\bar{\sigma}$  be its complex conjugate and  $\bar{x} \in K$  be such that  $\sigma(\bar{x}) = \bar{\sigma}(x)$ . Then  $\sigma(x)\sigma(\bar{x}) = \sigma(x)\overline{\sigma(x)} = |\sigma(x)|^2 = 1$  implies  $x \in K^\times$ .

According to Durov,  $\mathcal{O}_{F,(\sigma)}$  is the replacement for infinite places of the local rings  $\mathcal{O}_{F(\mathfrak{p})}$  at finite places. However, the analogy is relatively loose, as is shown by the following two remarks: first, for  $p < \infty$ , let  $|x|_p := p^{-v_p(x)}$  for  $x \in \mathbb{Q}^\times$ . Then the generalized ring  $\mathbb{Z}_{|-|_p}$  (in the sense of Definition 3.2) maps injectively to the localization  $\mathbb{Z}_{(p)}$  of  $\mathbb{Z}$  at the prime ideal  $p$ , but the map is a bijection only in degrees  $\leq p$ . (Less importantly, Assumption 3.1(A) is not satisfied for  $\mathbb{Z}_{|-|_p}$ .)

Secondly, recall that the semilocalization  $\mathcal{O}_{F(\mathfrak{p}_1, \mathfrak{p}_2)} = \mathcal{O}_{F(\mathfrak{p}_1)} \cap \mathcal{O}_{F(\mathfrak{p}_2)}$  at two finite primes is one-dimensional. In analogy, pick two  $\sigma_1, \sigma_2 \in \Sigma_F$  and consider  $\mathcal{O} := \mathcal{O}_{(\sigma_1)} \cap \mathcal{O}_{(\sigma_2)} \subset F$ , i.e.,

$$\mathcal{O}(n) := \left\{ (x_1, \dots, x_n) \in F^n, \sum_k |\sigma_i(x_k)| \leq 1 \text{ for } i = 1, 2 \right\}.$$

Let  $\mathfrak{p}_i = \{x \in \mathcal{O}, |\sigma_i(x)| < 1\}$  and  $\mathfrak{p} := \{x \in \mathcal{O}, |\sigma_1(x)\sigma_2(x)| < 1\}$ . These are ideals: for example, for  $x = (x_j) \in \mathcal{O}(n)$ ,  $s_1, \dots, s_n \in \mathfrak{p}$ , we need to check  $\sum s_j x_j \in \mathfrak{p}$ : if, say,  $|\sigma_1(s_1)| < 1$  then

$$\left| \sigma_1 \left( \sum_j s_j x_j \right) \right| \leq \sum |\sigma_1(s_j)| |\sigma_1(x_j)| < \sum |\sigma_1(x_j)| \leq 1.$$

The complement  $\mathcal{O} \setminus \mathfrak{p} = \{x, |\sigma_1(x)| = |\sigma_2(x)| = 1\}$  is multiplicatively closed (and contains 1). We get a chain of prime ideals

$$0 \subsetneq \mathfrak{p}_1 \subset \mathfrak{p} \subsetneq \mathcal{O}.$$

The middle inclusion is, in general, strict, namely when  $F = \mathbb{Q}[t]/p(t)$  with some irreducible polynomial  $p(t)$  having zeros  $a_1, a_2 \in \mathbb{C}$  with  $|a_1| = 1$ ,  $|a_2| < 1$ . That is,  $\text{Spec } \mathcal{O}$  is not one-dimensional.

### 3.2 Projective and free $\mathcal{O}$ -modules

In this section we gather a few facts about projective and free  $\mathcal{O}$ -modules. We begin with a handy criterion for monomorphisms of certain  $\mathcal{O}$ -modules (Lemma 3.5). Lemma 3.6 concerns a particular unicity property of the basis vectors  $e_i = (0, \dots, 0, 1, 0, \dots, 0) \in \mathcal{O}(n)$ . This is used to prove Theorem 3.7: every projective  $\mathcal{O}$ -module is free, provided that the norm is archimedean. This improves a result of Durov which treats only the cases where  $\mathcal{O}$  is either the “unclompeted local ring” of a number ring at an infinite place  $\sigma$ ,  $\mathcal{O}_{F,(\sigma)}$ , in the case where  $\sigma$  is a real embedding or the “completed local ring”  $\mathcal{O}_{F,\sigma}$  for both real and complex places. Therefore, we only study the  $K$ -theory of free  $\mathcal{O}$ -modules in this paper (but see Remark 3.18). We also

use Lemma 3.6 to establish a highly combinatorial flavor of automorphisms of free  $\mathcal{O}$ -modules (Proposition 3.9), which will later give rise to the computation of higher  $K$ -theory of  $\mathcal{O}$ .

**Lemma 3.5** (compare [1, 2.8.3.]) *Let  $f : M' \rightarrow M$  be a map of  $\mathcal{O}$ -modules. We suppose both  $M'$  and  $M$  are submodules of free  $\mathcal{O}$ -modules. (For example, they might be projective.) Then the following are equivalent:*

- a)  $f_Q : M'_Q \rightarrow M_Q$  is injective, where  $Q$  is the quotient field of  $K$ ,
- b)  $f_K : M'_K \rightarrow M_K$  is injective,
- c)  $f$  is injective (as a map of sets),
- d)  $f$  is a monomorphism of  $\mathcal{O}$ -modules,

*Proof* Consider the diagram

$$\begin{array}{ccccc} M' & \hookrightarrow & M'_K & \hookrightarrow & M'_Q \\ \downarrow f & & \downarrow f_K & & \downarrow f_Q \\ M & \hookrightarrow & M_K & \hookrightarrow & M_Q \end{array}$$

Its horizontal maps are injective since both modules are submodules of free modules and, for these,  $\mathcal{O}(n) \subset K(n) = K^n \subset Q(n) = Q^n$ . This shows (a)  $\Rightarrow$  (b)  $\Rightarrow$  (c). (c) implies (d) since the forgetful functor  $\mathbf{Mod}(\mathcal{O}) \rightarrow \mathbf{Sets}$  is faithful. (d)  $\Rightarrow$  (b); by Assumption 3.1, we may pick  $t \in K^\times$  with  $|t| < 1$ . Any two element of  $M'_K$  are of the form  $m'_1/t^n, m'_2/t^n$ , where  $m'_1, m'_2 \in M'$  and  $n \geq 0$ . Suppose that  $f_K(m'_1/t^n) = f_K(m'_2/t^n)$  agrees with  $f_K(m'_2/t^n)$ . The multiplication with  $t^{-n}$  is injective on  $M'_K$ , since  $M'$  ( $M'_K$ ) is a submodule of a free  $\mathcal{O}$ - ( $K$ -, respectively) module. Thus  $f(m'_1) = f(m'_2)$  so the assumption (d) implies our claim. Finally (b)  $\Rightarrow$  (a) follows from the flatness of  $Q$  over  $K$ .  $\square$

The following lemma can be paraphrased by saying that the basis vectors  $e_i = (0, \dots, 1, \dots, 0) \in \mathcal{O}(n)$  cannot be generated as a nontrivial  $\mathcal{O}$ -linear combination of other elements of  $\mathcal{O}(n)$ .

**Lemma 3.6** *Suppose that  $K$  is a field (as opposed to a domain). Suppose further that*

$$e_i = \sum_{j=1}^m \lambda_j f_j \tag{3}$$

*with  $f_j \in \mathcal{O}(n)$  and  $(\lambda_j)_j \in \mathcal{O}(m)$ ,  $\lambda_j \neq 0$ . Then for each  $j$ ,  $f_j = \mu_j \cdot e_i$  with  $\mu_j \in E$ .*

*Proof* The proof proceeds by induction on  $m$ , the case  $m = 1$  being trivial.

Each  $f_j$  can be written as  $f_j = \sum_{l=1}^n \kappa_{jl} e_l$  with  $(\kappa_{jl})_l \in \mathcal{O}(n)$ . We get

$$1 = |e_i| \stackrel{(3)}{=} \left| \sum \lambda_j f_j \right| \leq \sum |\lambda_j| |f_j| \leq \sum |\lambda_j| \leq 1. \tag{4}$$

Therefore equality holds throughout. We have  $e_i = \sum_{j,l} \lambda_j \kappa_{jl} e_l$ . This  $K$ -linear relation between the basis vectors of  $K^n$  yields  $1 = \sum_j \lambda_j \kappa_{ji}$ . Hence

$$1 \leq \sum_j |\lambda_j \kappa_{ji}| \leq \underbrace{\left( \sum_j |\lambda_j| \right)}_{\stackrel{(4)}{=} 1} \cdot \max_j |\kappa_{ji}|.$$

On the other hand,  $|\kappa_{ji}| \leq 1$ , so there is some  $j_0$  such that  $|\kappa_{j_0 i}| = 1$ . Using  $\sum_l |\kappa_{j_0 l}| \leq 1$  we see  $\kappa_{j_0 l} = 0$  for all  $l \neq i$ , thus  $f_{j_0} = \kappa_{j_0 i} e_i$ . Put  $\mu_{j_0} := \kappa_{j_0 i} (\in E)$ , so

$$(1 - \lambda_{j_0} \mu_{j_0}) e_i = \sum_{j \neq j_0} \lambda_j f_j$$

holds. If  $|\lambda_{j_0} \mu_{j_0}| = 1$ , we are done since all other  $\lambda_j$ ,  $j \neq j_0$  must vanish in this case. If  $|\lambda_{j_0} \mu_{j_0}| < 1$ , then

$$e_i = \sum_{j \neq j_0} \frac{\lambda_j}{1 - \lambda_{j_0} \mu_{j_0}} f_j.$$

This finishes the induction step since the right hand side is actually an  $\mathcal{O}$ -linear combination of the  $f_j$ , for

$$\sum_{j \neq j_0} |\lambda_j| \stackrel{(4)}{=} 1 - |\lambda_{j_0}| = 1 - |\lambda_{j_0} \mu_{j_0}| \leq |1 - \lambda_{j_0} \mu_{j_0}|.$$

□

**Theorem 3.7** *Suppose that the norm  $|\cdot|$  giving rise to the generalized valuation ring  $\mathcal{O}$  is archimedean. Then every projective  $\mathcal{O}$ -module  $M$  is free.*

*Proof* Let  $K'$  be the completion (with respect to the norm  $|\cdot|$ ) of  $\mathcal{Q}$ , the quotient field of  $K$ . By Ostrowski's theorem, we have either  $K' = \mathbb{R}$  or  $K' = \mathbb{C}$  (with their usual norms). Let us write  $-': = - \otimes_{\mathcal{O}} \mathcal{O}'$ , where  $\mathcal{O}' := \mathcal{O}_{K'}$  is the generalized valuation ring belonging to  $K'$ . We consider the following maps of  $\mathcal{O}'$ -modules, where  $\mathcal{O}_i$  are certain free  $\mathcal{O}$ -modules that are defined in the course of the proof:

$$\mathcal{O}'_3 \rightarrow \mathcal{O}'_2 \rightarrow \mathcal{O}'_1 \xrightarrow{p'} M' \xrightarrow{\phi, \cong} \mathcal{O}'_0.$$

First,  $M'$  is a projective  $\mathcal{O}'$ -module: given a projector  $p : \mathcal{O}_1 := \mathcal{O}(n_1) \rightarrow \mathcal{O}(n_1)$  with  $M = \text{imp}$ , we get  $M' = \text{imp}'$ . By the afore-mentioned result of Durov [1, 10.4.2], there is an isomorphism of  $\mathcal{O}'$ -modules,  $\phi : M' \xrightarrow{\cong} \mathcal{O}'_0 := \mathcal{O}'(n_0)$ . The composition  $\phi \circ p'$  is surjective, so for any basis vector  $e_i \in \mathcal{O}'_0$  ( $1 \leq i \leq n_0$ ), there is some  $\mathcal{O}'$ -linear combination  $\sum_{j \leq n_1} \lambda_{ij} e_j$  mapping to  $e_i$  under  $\phi p'$ . Thus,  $\sum_j \lambda_{ij} \phi p'(e_j) = e_i$ . Therefore, by Lemma 3.6,  $\phi p'(e_j) \in E' \cdot e_i$  for each  $j$ . Here

$E' = \{x \in \mathcal{O}', |x| = 1\}$  (which is  $S^1 \subset \mathbb{C}$  or  $\{\pm 1\} \subset \mathbb{R}$  depending on  $K'$ ). We put  $\mathcal{O}_2 := \sqcup_{j_2 \in J_2} e_{j_2} \mathcal{O} = \mathcal{O}(J_2)$ , where the coproduct runs over

$$J_2 := \{1 \leq j_2 \leq n_1, \phi p'(e_{j_2}) \in E' e_i \text{ for some } i \leq n_0\}.$$

The inclusion  $J_2 \subset \{1, \dots, n_1\}$  induces a ( $\mathcal{O}$ -linear!) injection  $f_{21} : \mathcal{O}_2 \rightarrow \mathcal{O}_1$ .

According to the previous remark,  $\mathcal{O}'_2 \xrightarrow{\phi p' f'_{21}} \mathcal{O}'_1$  is surjective. Consider the map  $J_2 \rightarrow \{1, \dots, n_0\}$  which maps  $j_2$  to the (unique)  $i$  with  $e_i \in E' \phi p'(e_{j_2})$ . This map is onto. By Assumption 3.1, we may pick some  $J_3 \subset J_2$  on which it is a bijection. Let  $f_{32} : \mathcal{O}_3 := \sqcup_{j_3 \in J_3} e_{j_3} \mathcal{O} = \mathcal{O}(J_3) \rightarrow \mathcal{O}_2 = \mathcal{O}(J_2)$  be the map induced by

$J_3 \subset J_2$ . Set  $f_{31} = f_{21} \circ f_{32}$ . Then the composition  $\mathcal{O}'_3 \xrightarrow{f'_{31}} \mathcal{O}'_1 \xrightarrow{p'} M' \xrightarrow{\phi, \cong} \mathcal{O}'_0$  is an isomorphism of  $\mathcal{O}'$ -modules. Note that  $f_{31}$  and  $p$  are  $\mathcal{O}$ -linear maps, but  $\phi$  is defined over  $\mathcal{O}'$ , only. Writing  $v := p \circ f_{31}$ , we must show the implication

$$v' \text{ isomorphism} \Rightarrow v \text{ isomorphism}.$$

The elements  $m_j := p(e_j) \in M$ ,  $j \leq n_1$ , generate  $M$ . The map  $v' \otimes_{\mathcal{O}'} K' = v_Q \otimes_Q K'$  is an isomorphism of  $K'$ -vector spaces. The inclusion of the quotient field  $Q \rightarrow K'$  is fully faithful, so that  $v_Q$  is also an isomorphism. Hence there is some  $k_j = a_j/b_j \in Q \setminus \{0\}$  such that  $k_j m_j \in \text{im } v$ . According to Assumption 3.1, we can pick some  $N \in K^\times$  such that  $|a_j/N|, |b_j/N| \leq 1$  for all  $j$ . Then  $m_j a_j/N \in \text{im } v$ . Similarly, pick some  $t \in \mathcal{O}$  with  $0 < |t| \leq \min_j |a_j/N|$ . Then  $tM \subset \text{im } v$ .

To show the surjectivity of  $v$ , we fix  $m \in M$  and pick some  $o_3 \in \mathcal{O}_3$  with  $tm = v(o_3)$ . Since  $M \subset M'$  and  $v'$  is an isomorphism, there is a unique  $\tilde{o}'_3 \in \mathcal{O}'_3$  with  $v'(\tilde{o}'_3) = m$ . Hence  $v(o_3) = v'(o_3) = v'(t\tilde{o}'_3)$ , so that  $t\tilde{o}'_3 = o_3$ . In other words,  $o'_3 = t^{-1}o_3 \in \mathcal{O}'_3 \cap (\mathcal{O}_3)_K = \mathcal{O}_3$ . This shows the surjectivity of  $v$ . The injectivity of  $v$  is clear, since  $\mathcal{O}_3 \subset \mathcal{O}'_3$  and  $v'$  is injective. Consequently,  $v$  is an isomorphism.  $\square$

**Definition 3.8** Recall that  $\mathbf{Free}(\mathcal{O})$  is the category of (finitely generated) free  $\mathcal{O}$ -modules. In  $\mathbf{Free}(\mathcal{O})$  let *cofibrations* ( $\hookrightarrow$ ) be the monomorphisms whose cokernel (in the category of all  $\mathcal{O}$ -modules) lies in  $\mathbf{Free}(\mathcal{O})$ . Morphisms which are obtained as cokernels of cofibrations are called *fibrations* and denoted  $\twoheadrightarrow$ . Let *weak equivalences*  $\xrightarrow{\sim}$  be the isomorphisms.

**Proposition 3.9** Let  $f : M' \rightarrow M$  be a monomorphism of free  $\mathcal{O}$ -modules with projective cokernel  $M''$  (for example, a cofibration). Then there is a unique isomorphism  $\phi : M \cong M' \sqcup M''$  such that the following diagram is commutative

$$\begin{array}{ccccc} M' & \xrightarrow{f} & M & \xrightarrow{\pi} & M'' \\ \parallel & & \downarrow \phi & & \parallel \\ M' & \xrightarrow{\text{incl}} & M' \sqcup M'' & \xrightarrow{\text{proj}} & M'' \end{array} \quad (5)$$

*Proof* Let  $M' = \mathcal{O}(n')$ ,  $M = \mathcal{O}(n)$  and let  $f_i := f(e_i) \in M$ ,  $1 \leq i \leq n'$  be the images of the basis vectors.

We claim that  $f$  factors through  $\sqcup_{i \leq n, e_i \in f(M')} e_i \mathcal{O} = \mathcal{O}(\tilde{n}') \subset M = \mathcal{O}(n)$ , where  $\tilde{n}' := \sharp\{i \leq n, e_i \in f(M')\}$ . To show this, write  $f(M') \ni m' = \sum_{i \in I} \lambda_i e_i$ , where all  $\lambda_i \neq 0$  and the  $e_i$  are the basis vectors of  $M$ . Put

$$m' = \underbrace{\sum_{e_i \notin f(M')} \lambda_i e_i}_{=: m'_1} + \underbrace{\sum_{e_i \in f(M')} \lambda_i e_i}_{=: m'_2}.$$

By Assumption 3.1, we can pick some  $t \in K^\times$  such that  $|t| \leq 1/2$ . Then  $tm'_1 = tm' - tm'_2 \in f(M')$ . Let  $i$  be such that  $e_i \notin f(M')$ . We need to see  $\lambda_i = 0$ .

We write  $(-)_Q$  for the functor  $- \otimes_{\mathcal{O}} \mathcal{O}_Q$ , where  $\mathcal{O}_Q$  is the generalized valuation ring associated to the unique extension of the norm  $|\cdot|$  in  $K$  to the quotient field  $Q$  of  $K$ . The functor  $(-)_Q$  preserves colimits, in particular  $\text{coker}(f_Q) = (\text{coker } f)_Q$ . In addition,  $f_Q$  is a monomorphism by Lemma 3.5. The assumption  $e_i \notin f(M')$  implies  $e_i \notin f_Q(M'_Q)$ : suppose that  $e_i = \sum_{i' \leq n'} \kappa_{i'} f_{i'}$  where  $(\kappa_{i'}) \in \mathcal{O}_Q(n')$  and  $f_{i'} := f(e_{i'})$  are the images of the basis vectors of  $M'$ . By Lemma 3.6, we have  $f_{i'} = \epsilon_{i'} e_i$  for all  $i'$ , with some  $\epsilon_{i'} \in \mathcal{O}_Q$ ,  $|\epsilon_{i'}| = 1$ . But  $f_{i'}$  also lies in  $M$  (as opposed to  $M_Q$ ). Thus,  $\epsilon_{i'}$  must lie in  $\mathcal{O}$ , that is,  $e_i \in f(M')$ . Therefore, to prove the claim we may assume  $K$  is a field.

Now, by Lemma 3.6,  $e_i$  is not a non-trivial  $\mathcal{O}$ -linear combination of other elements of  $M$ . As  $e_i \notin f(M')$ , Proposition 3.3 implies

$$\pi^{-1}(\pi(e_i)) = \{e_i\}. \quad (6)$$

Fix a section  $\sigma : M'' \rightarrow M$  of  $\pi$ , which exists by the assumption that  $M''$  be projective. We obtain  $\sigma(\pi(e_i)) = e_i$ . Hence,

$$0 = \sigma(0_{M''}) = \sigma(\pi(tm'_1)) = \sum_{e_i \notin f(M')} t\lambda_i \sigma(\pi(e_i)) = \sum_{e_i \notin f(M')} t\lambda_i e_i,$$

so that  $\lambda_i = 0$ . The claim is shown.

By the claim,  $f$  induces a bijection  $\tilde{f} : M' = \mathcal{O}(n') \rightarrow \mathcal{O}(\tilde{n}')$ , which gives rise to a bijection  $K^{n'} \rightarrow K^{\tilde{n}'}$ . This shows  $\tilde{n}' = n'$ . We conclude that the basis vectors  $e_i \in M'$  get mapped under  $f$  to  $\epsilon_i e_{J(i)}$  where  $\epsilon_i \in E$  and  $J : \{1, \dots, n'\} \rightarrow \{1, \dots, n\}$  is an injective set map. In fact, suppose  $\tilde{f}^{-1}(e_i) = \sum_{j \in J} \lambda_{ij} e_j$  with  $(\lambda_{ij}) \in \mathcal{O}(J)$  with all  $\lambda_{ij} \neq 0$ . Equivalently,  $\sum \lambda_{ij} \tilde{f}(e_j) = e_i$ . Therefore, by Lemma 3.6 (applied with  $Q$  instead of  $K$ ),  $\tilde{f}_Q(e_j) \in E_Q \cdot e_i$  for all  $j$ , where  $E_Q = \{q \in Q, |q| = 1\}$ . Since  $\tilde{f}$  and therefore, by Lemma 3.5,  $\tilde{f}_Q$  is injective, this implies that only one summand appears in this sum, i.e.,  $\tilde{f}(e_j) = \lambda_{ij}^{-1} e_i$  for some  $j \in J$ . A priori,  $\lambda_{ij}^{-1}$  only lies in  $Q$ , but  $\tilde{f}(e_j) \in \mathcal{O}(n')$  shows that  $\epsilon_i := \lambda_{ij}^{-1} \in \mathcal{O}$ , hence in  $E$ .

By Assumption 3.1,  $\epsilon_i \in E$  is a unit in  $K$ . We can therefore define  $\phi' : \mathcal{O}(n') \rightarrow M'$  by mapping the basis vectors  $e_i$  of  $\mathcal{O}(n')$  (which correspond, in the above notation, to the basis vectors  $e_{J(i)}$  of  $M$ ) to  $\epsilon_i^{-1} e_i$ . Also, let  $\phi'' : \mathcal{O}(n - n') \subset M \rightarrow M''$  be the

map which sends the remaining basis vectors  $e_{j'}$  for  $j' \notin \text{im } J$  to  $\pi(e_{j'})$ . Put

$$\phi := \phi' \sqcup \phi'' : M = \mathcal{O}(n) = \mathcal{O}(n') \sqcup \mathcal{O}(n - n') \rightarrow M' \sqcup M''.$$

Both  $\phi'$  and  $\phi''$  are onto, hence so is  $\phi$ . This follows from the construction of coproducts of modules over generalized rings [1, 4.6.15]. (Also see [1, 10.4.7] for an explicit description of the coproduct for modules over archimedean valuation rings.) Alternatively, the surjective maps  $\phi'$  and  $\phi''$  are epimorphisms of  $\mathcal{O}$ -modules. Hence their coproduct  $\phi$  is an epimorphism. As  $M' \sqcup M''$  is projective,  $\phi$  has a section, so it is also surjective. The map  $\phi$  is injective, as can be seen by checking the definition or using Lemma 3.5(b)  $\Rightarrow$  (c). Hence  $\phi$  is an isomorphism.

We finally show the unicity of  $\phi$  or, in other words, that there are no non-trivial automorphism of cofiber sequences

$$0 \rightarrow M' \rightarrowtail M \twoheadrightarrow M'' \rightarrow 0.$$

Suppose  $\tilde{\phi}$  is another isomorphism fitting into (5). We replace  $\phi$  by  $\tilde{\phi}\phi^{-1}$  and  $\tilde{\phi}$  by  $\text{id}_M$  and assume  $f$  is the standard inclusion  $M' \rightarrow M = M' \sqcup M''$  and  $\pi$  is the standard projection onto  $M''$ . Applying the base change functor  $(-)_\mathcal{O}$  (see above), we may assume that  $K$  is a field. Then  $M''_K$  is a free  $K$ -module, so the endomorphism  $\phi_K : M_K \rightarrow M_K$  is given by a matrix

$$B = \begin{pmatrix} \text{Id}_{M'} & A \\ 0 & \text{Id}_{M''} \end{pmatrix},$$

where  $A$  is the matrix corresponding to the map  $M''_K \rightarrow M'_K$  (of free  $K$ -modules). On the other hand,  $\phi$  is a map of free  $\mathcal{O}$ -modules, so every column in  $B$  is in  $\mathcal{O}(n)$ . This forces  $A = 0$ , so that  $\phi = \text{id}_M$ .  $\square$

**Theorem 3.10** *The category  $(\mathbf{Free}(\mathcal{O}), \rightarrowtail, \twoheadrightarrow)$  defined in 3.8 is a Waldhausen category.*

*Proof* The only non-trivial thing to show is the stability of cofibrations under cobase-change. By Proposition 3.9, a cofibration sequence  $M' \rightarrowtail M \xrightarrow{\pi} M''$  in  $\mathbf{Free}(\mathcal{O})$  is isomorphic to  $M' \rightarrowtail M' \sqcup M'' \twoheadrightarrow M''$ . Hence, given any map  $f : M' \rightarrow \tilde{M}'$ , the pushout of  $\iota$  along  $f$ ,  $\tilde{M}' \rightarrow \tilde{M}' \sqcup_{M'} M$  is isomorphic to  $\tilde{M}' \rightarrow \tilde{M}' \sqcup M''$  which is a monomorphism with cokernel  $M''$ .  $\square$

**Remark 3.11** Mahanta uses split monomorphisms as cofibrations in the category of finitely generated modules over a fixed  $\mathbb{F}_1$ -algebra (i.e., pointed monoid) to define  $G$ -(a.k.a.  $K'$ )-theory of such algebras [3]. In  $\mathbf{Free}(\mathcal{O})$ , we have seen that all cofibrations are split, but not conversely: the cokernel of the split monomorphism  $\varphi : \mathbb{Z}_\infty(1) \rightarrow \mathbb{Z}_\infty(2)$ ,  $e_1 \mapsto \frac{e_1}{2} + \frac{e_2}{2}$  is not free. This follows either from Proposition 3.9 or by an explicit computation, using Proposition 3.3. Indeed, two elements  $x_i e_1 + y_i e_2 \in \mathbb{Z}_\infty(2)$  ( $i = 1, 2$ ) are identified in  $\text{coker } \varphi$  iff  $|y_1 - x_1| = |y_2 - x_2| < 1$ . On  $\text{coker } \varphi$ , multiplication with  $1/2$  is therefore not injective. Thus  $\text{coker } \varphi$  is not a submodule of a free  $\mathbb{Z}_\infty$ -module, in particular it is not projective.

### 3.3 $K$ -theory

In this subsection, we compute the  $K$ -theory of the generalized valuation ring  $\mathcal{O}$  (Definition 3.2) or, more precisely, of the category of free  $\mathcal{O}$ -modules. By Theorem 3.7, every projective  $\mathcal{O}$ -module is free, provided that the norm is archimedean.

We define the  $K$ -theory using Waldhausen's  $S_\bullet$ -construction, which has the advantage of being immediately applicable (Theorem 3.10). Other constructions, such as Quillen's  $Q$ -construction can also be applied (slightly modified, since  $\mathcal{O}$ -modules do not form an exact category). The resulting  $K$ -groups do not depend on the choice of the construction.

Recall the definition of  $K$ -theory of a Waldhausen category  $\mathcal{C}$  (see e.g. [7, Section IV.8] for more details). We always assume that the weak equivalences of  $\mathcal{C}$  are its isomorphisms. The category  $S_n\mathcal{C}$  consists of diagrams

$$\begin{array}{ccccccc}
 0 = A_{00} & \longrightarrow & A_{01} & \longrightarrow & A_{02} & \longrightarrow & \cdots \longrightarrow A_{0n} \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 = A_{11} & \longrightarrow & A_{12} & \longrightarrow & \cdots \longrightarrow A_{1n} \\
 & & & & \downarrow & & \downarrow \\
 & & & & 0 = A_{22} & \longrightarrow & \cdots \longrightarrow A_{2n} \\
 & & & & & & \downarrow \\
 & & & & & & \vdots \\
 & & & & & & \downarrow \\
 & & & & & & A_{n-1,n}
 \end{array} \quad (7)$$

such that  $A_{i,j} \twoheadrightarrow A_{i,k} \twoheadrightarrow A_{j,k}$  is a cofibration sequence. Varying  $n$  yields a simplicial category  $S_\bullet\mathcal{C}$ . The subcategory of isomorphisms is denoted  $wS_\bullet\mathcal{C}$ . Applying the classifying space construction of a category yields a pointed bisimplicial set  $S(\mathcal{C})_{n,m} := B_m wS_n\mathcal{C}$ . For example,  $S(\mathcal{C})_{n,0} = \text{Obj}(S_n\mathcal{C})$ . The  $K$ -theory of  $\mathcal{C}$  is defined as

$$K_i(\mathcal{C}) := \pi_{i+1}d(B_* wS_\bullet\mathcal{C}),$$

where  $d(-)$  is the diagonal of a bisimplicial set.

By Theorem 3.10, we are ready to define the *algebraic  $K$ -theory* of  $\mathcal{O}$ . More precisely, we consider the Waldhausen category of (finitely generated) free  $\mathcal{O}$ -modules, which is the same as projective  $\mathcal{O}$ -modules in all cases of interest by Theorem 3.7.

#### Definition 3.12

$$K_i(\mathcal{O}) := K_i(\mathbf{Free}(\mathcal{O})) = \pi_{i+1}(dBwS_\bullet\mathbf{Free}(\mathcal{O})), \quad i \geq 0.$$



**Lemma 3.13** *Given two normed domains and a ring homomorphism  $f : K \rightarrow K'$  between them satisfying  $|f(x)| = |x|$  (so that  $f$  restricts to a map  $f : \mathcal{O} \rightarrow \mathcal{O}'$ ), the functor  $f^* : \mathbf{Free}(\mathcal{O}) \rightarrow \mathbf{Free}(\mathcal{O}')$ ,  $M \mapsto M \otimes_{\mathcal{O}} \mathcal{O}'$  is (Waldhausen-)exact and therefore induces a functorial map*

$$f^* : K_i(\mathcal{O}) \rightarrow K_i(\mathcal{O}').$$

*Proof* As pointed out at p. 4,  $f^* : \mathbf{Mod}(\mathcal{O}) \rightarrow \mathbf{Mod}(\mathcal{O}')$  preserves cokernels. Secondly, tensoring with  $\mathcal{O}'$  preserves cofibrations since a map  $M \rightarrow M'$  of free (or projective)  $\mathcal{O}$ -modules is a monomorphism iff  $M_Q \rightarrow M'_Q$  is one (where  $Q$  is the quotient field of  $K$ , Lemma 3.5) and the statement is true for  $Q$ -modules: the map  $Q \rightarrow Q'$  is injective since  $|f(1)| = |1| = 1$  and therefore flat.  $\square$

The group  $K_0(\mathcal{O})$  is the free abelian group generated by the isomorphisms classes of free  $\mathcal{O}$ -modules modulo the relations

$$[\mathcal{O}(n') \sqcup \mathcal{O}(n'')] = [\mathcal{O}(n')] + [\mathcal{O}(n'')].$$

Indeed, any cofiber sequence satisfies additivity of the ranks of the involved free modules, as one sees by tensoring the sequence with the quotient field  $Q$  of  $K$ . Therefore,  $K_0(\mathcal{O}) = \mathbb{Z}$ .

We now turn to higher  $K$ -theory of  $\mathcal{O}$ . Recall that  $E := \{x \in \mathcal{O}, |x| = 1\}$  is the subgroup of norm one elements. Let us write  $\mathrm{GL}_n(\mathcal{O}) := \mathrm{Aut}_{\mathcal{O}}(\mathcal{O}(n))$ . According to Proposition 3.9,

$$\mathrm{GL}_n(\mathcal{O}) = E \wr S_n = E^n \rtimes S_n, \quad (8)$$

where the symmetric group  $S_n$  acts on  $E^n$  by permutations. For  $E = \mu_2 = \{\pm 1\}$ , this group is known as the *hyperoctahedral group*. As usual, we write

$$\mathrm{GL}(\mathcal{O}) := \varinjlim_n \mathrm{GL}_n(\mathcal{O})$$

for the infinite linear group, where the transition maps are induced by  $\mathrm{GL}_n(\mathcal{O}(n)) \ni f \mapsto f \sqcup \mathrm{id}_{\mathcal{O}}$ . For any group  $G$ , let  $G_{\mathrm{ab}} = G/[G, G]$  be its abelianization. We write  $\pi_i^s(-)$  for the stable homotopy groups of a space and abbreviate  $\pi_i^s := \pi_i^s(S^0)$ .

**Theorem 3.14** *Let  $\mathcal{O}$  be a generalized valuation ring as defined in 3.2. Then for  $i \geq 0$ , there is an isomorphism*

$$K_i(\mathcal{O}) \cong \pi_i^s(BE_+, *),$$

where the right hand side denotes the  $i$ -th stable homotopy group of the classifying space of  $E$  (viewed as a discrete group), with a disjoint base point  $*$ . For a map  $f$  as in Lemma 3.13, this isomorphism identifies  $f^*$  in  $K$ -theory with the map on stable homotopy groups induced by  $E(\mathcal{O}) \rightarrow E(\mathcal{O}')$ .

For  $i = 1, 2$  we get

$$\begin{aligned} K_1(\mathcal{O}) &= \mathrm{GL}(\mathcal{O})_{\mathrm{ab}} = E \times \mathbb{Z}/2 \\ K_2(\mathcal{O}) &= \varinjlim_n H_2([\mathrm{GL}_n(\mathcal{O}), \mathrm{GL}_n(\mathcal{O})], \mathbb{Z}) \end{aligned} \quad (9)$$

where the right hand side in (9) is group homology with  $\mathbb{Z}$ -coefficients.

Before proving the theorem, we first discuss our main example, when  $\mathcal{O}$  comes from an infinite place of a number field, as in Example 3.4. Then, we prove a preliminary lemma.

**Example 3.15** Let us consider a number field  $F$  with the norm induced by some complex embedding  $\sigma \in \Sigma_F$  (see p. 3 for notation). The torsion subgroup  $E_{\mathrm{tor}}$  of  $E := \{x \in F^\times, |x| = 1\}$  agrees with the finite group  $\mu_F$  of roots of unity. The exact localization sequence involving all finite primes of  $\mathcal{O}_F$ ,

$$1 \rightarrow \mathcal{O}_F^\times \rightarrow F^\times \rightarrow L := \ker(\oplus_{\mathfrak{p} < \infty} \mathbb{Z} \rightarrow \mathrm{cl}(F)) \rightarrow 0,$$

shows  $F^\times / \mu_F \cong \mathcal{O}_F^\times / \mu_F \oplus L$ . Hence it is free abelian by Dirichlet's unit theorem. Thus

$$E \subset \mu_F \oplus \mathbb{Z}^{r_1+r_2-1} \oplus L,$$

where  $r_1$  and  $r_2$  are the numbers of real and pairs of complex embeddings. Therefore,  $E = \mu_F \oplus \mathbb{Z}^S$ , where  $S := \mathrm{rk} E$  is at most countably infinite. Of course,  $E = \{\pm 1\}$  whenever  $\sigma$  is a real embedding, but also, for example, for any complex embedding of  $F = \mathbb{Q}[\sqrt[3]{2}]$ . For  $F = \mathbb{Q}[\sqrt{-1}]$ ,  $E$  is the (countably) infinitely generated group of pythagorean triples [2] (see also [8] for a description of the group structure of pythagorean triples in more general number fields).

The group  $\mu_F$  is cyclic of order  $w$ , so the long exact sequence of group homology,

$$H_i(\mu_F, \mathbb{Z}) \xrightarrow{\cdot n} H_i(\mu_F, \mathbb{Z}) \rightarrow H_i(\mu_F, \mathbb{Z}/n) \rightarrow H_{i-1}(\mu_F, \mathbb{Z}),$$

together with the Atiyah–Hirzebruch spectral sequence

$$H_p(\mu_F, \pi_q^s) = H_p(B\mu_F, \pi_q^s) \Rightarrow \pi_{p+q}^s(B\mu_F) = \pi_{p+q}^s((B\mu_F)_+, *)$$

yield at least for small  $p$  and  $q$  explicit bounds on  $\pi_{p+q}^s((B\mu_F)_+, *)$ : the  $E^2$ -page reads

$q \uparrow$				
2	$\pi_2^s = \mathbb{Z}/2$	$\mathbb{Z}/w'$	$\mathbb{Z}/w'$	
1	$\pi_1^s = \mathbb{Z}/2$	$\mu_F/2 = \mathbb{Z}/w'$	$\mathbb{Z}/w'$	
0	$\mathbb{Z}$	$\mu_F = \mathbb{Z}/w$	0	
	0	1	2	$p \rightarrow$

where  $w' = (2, w)$ . In general,  $\pi_{p+q}^s((B\mu_F)_+, *)$  is finite for  $p + q > 0$ . For  $i > 0$ ,

$$\begin{aligned} K_i(\mathcal{O}_{F\sigma}) &= K_i(\mathcal{O}_{F(\sigma)}) \\ &= \pi_i^s(B(\mu_F \oplus \mathbb{Z}^{\oplus S})_+, *) \\ &= \pi_i^s\left((B\mu_F)_+ \vee \bigvee_S S^1, *\right) \\ &= \pi_i^s(B\mu_F) \oplus \bigoplus_S \pi_{i-1}^s. \end{aligned}$$

In particular

$$\begin{aligned} K_1(\mathcal{O}_{F(\sigma)}) &= \mathbb{Z}/2 \oplus \mu_F \oplus \mathbb{Z}^{\oplus S}, \\ K_2(\mathcal{O}_{F(\sigma)}) &= G \oplus (\mathbb{Z}/2)^{\oplus S}, \end{aligned}$$

where  $G$  is a finite (abelian) group which is filtered by a filtration whose graded pieces are subquotients of  $\mathbb{Z}/2$  and  $\mathbb{Z}/w'$ . (Determining  $G$  would require studying the differentials of the spectral sequence).

**Lemma 3.16** *The map*

$$\mathrm{GL}(\mathcal{O})_{\mathrm{ab}} \rightarrow E \times \mathbb{Z}/2, (\epsilon, \sigma) \mapsto \left( \prod_{i=1}^{\infty} \epsilon_i, \mathrm{parity}(\sigma) \right)$$

*is an isomorphism. Here the representation of elements of  $\mathrm{GL}(\mathcal{O})$  is as in (8). The group  $[\mathrm{GL}(\mathcal{O}), \mathrm{GL}(\mathcal{O})]$  is perfect.*

*Proof* For  $i \geq 1$  and  $\epsilon \in E$ , let  $\epsilon_i = (1, \dots, 1, \epsilon, 1, \dots) \in E \times E \times \dots$  be the vector with  $\epsilon$  at the  $i$ -th spot. Let  $\sigma_i = (i, i+1) \in S_n$  be the permutation swapping the  $i$ -th and  $i+1$ -st letter. The  $\epsilon_i$  and  $\sigma_i$ , for  $i \geq 1$  and  $\epsilon \in E$ , generate  $G := \mathrm{GL}(\mathcal{O})$  as we have seen in the proof of Proposition 3.9. In  $G$ , we have relations  $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$ , which implies  $\sigma_i = \sigma_{i+1}$  in  $G_{\mathrm{ab}}$ . Moreover, in  $G$  we have the relation  $\epsilon_i \sigma_i = \sigma_{i+1} \epsilon_{i+1}$ , so that we get  $\epsilon_i = \epsilon_{i+1}$  in  $G_{\mathrm{ab}}$ . This shows the first claim.

The perfectness of  $[\mathrm{GL}(\mathcal{O}), \mathrm{GL}(\mathcal{O})]$  is a special case of [6, Prop. 3], for example. Alternatively, the above implies that  $H := [\mathrm{Aut}(\mathcal{O}(n)), \mathrm{Aut}(\mathcal{O}(n))]$  is given by  $H = L \rtimes A_n$ , where the alternating group  $A_n$  acts on  $L := \ker(\prod_{i=1}^n E \rightarrow E, (\epsilon^1, \dots, \epsilon^n) \mapsto \prod \epsilon^i) (\cong E^{n-1})$  by restricting the  $S_n$ -action on  $E^n$ . Now, the perfectness of  $A_n$  for  $n \geq 5$  and a simple explicit computation shows  $H_{\mathrm{ab}} = 1$  for  $n \geq 5$ .  $\square$

We now prove Theorem 3.14. This theorem is actually an immediate consequence of Proposition 3.9, together with well-known facts about  $K$ -theory of  $G$ -sets, where  $G$  is some group [7, Ex. IV.8.9]. For example, the  $K$ -theory of the Waldhausen category of finite pointed sets (which would correspond to the impossible case  $E = 1$ ) is

$$K_i(\mathbb{F}_1) := K_i((\text{finite pointed sets}, \text{ injections}, \text{ bijections})) = \pi_i^s,$$

the stable homotopy groups of spheres. More generally, for some (discrete) group  $G$ , the  $K$ -theory of the category  $\mathbf{Free}(G)$  of finitely generated (i.e., only finitely many orbits) pointed  $G$ -sets on which the  $G$ -action is fixed-point free, together with bijections as weak equivalences and injections as cofibrations, is known to be the stable homotopy group of  $(BG)_+$ . By Proposition 3.9, the canonical functor

$$\mathbf{Free}(E) \rightarrow \mathbf{Free}(\mathcal{O}), (E^X) \sqcup \{*\} \mapsto \mathcal{O}(X)$$

induces an equivalence of the categories of cofibrations and therefore an isomorphism of  $K$ -theory. For the convenience of the reader, we recall the necessary arguments, which also includes showing that other definitions of higher  $K$ -theory (of free  $\mathcal{O}$ -modules) yield the same  $K$ -groups.

*Proof* Let  $Q\mathbf{Free}(\mathcal{O})$  be Quillen's  $Q$ -construction, i.e., the category whose objects are the ones of  $\mathbf{Free}(\mathcal{O})$  and

$$\mathrm{Hom}_{Q\mathbf{Free}(\mathcal{O})}(A, B) := \{A \leftarrow A' \rightarrow B\} / \sim,$$

where two such roofs are identified if there is an isomorphism between them which is the identity on  $A$  and  $B$ . It forms a category whose composition is given by the composite roof defined by the cartesian diagram

$$\begin{array}{ccccc} & & A'' := A' \times_B B' & & \\ & \swarrow & & \searrow & \\ & A' & & B' & \\ & \swarrow & & \searrow & \\ A & & B & & C. \end{array}$$

Here, we use that  $A''$  exists (in  $\mathbf{Free}(\mathcal{O})$ ) since it is the kernel of the composite  $B' \rightarrow B \rightarrow B/A'$ , which is split by Proposition 3.9. The subcategory  $S := \mathrm{Iso}(\mathbf{Free}(\mathcal{O}))$  of  $\mathbf{Free}(\mathcal{O})$  consisting of isomorphisms only is a monoidal category under the coproduct. Hence  $S^{-1}S$  is defined. We claim

$$\Omega B Q\mathbf{Free}(\mathcal{O}) = B(S^{-1}S).$$

Indeed, the proof of [7, Theorem IV.7.1] carries over: the extension category  $\mathcal{E}\mathbf{Free}(\mathcal{O})$  is defined as in *loc. cit.* and comes with a functor  $t : \mathcal{E}\mathbf{Free}(\mathcal{O}) \rightarrow Q\mathbf{Free}(\mathcal{O})$ ,  $(A \rightarrow B \rightarrow C) \mapsto C$ . The fiber  $\mathcal{E}_C := t^{-1}C$  ( $C \in \mathbf{Free}(\mathcal{O})$ ) consists of sequences  $A \rightarrow B \rightarrow C$ . The functor

$$\phi : S \rightarrow \mathcal{E}_C, \quad A \mapsto A \rightarrow A \sqcup C \rightarrow C$$

induces a homotopy equivalence  $B(S^{-1}S) \rightarrow B(S^{-1}\mathcal{E}_C)$  in the classical case of an exact category (instead of  $\mathbf{Free}(\mathcal{O})$ ). In our situation,  $\phi$  is an equivalence of categories

since any extension in  $\mathbf{Free}(\mathcal{O})$  splits *uniquely* (Proposition 3.9). Thus [7, Theorem IV.4.10] gives

$$BQ\mathbf{Free}(\mathcal{O}) = K_0(S) \times BGL(\mathcal{O})^+,$$

where the right hand side is the  $+$ -construction with respect to the perfect normal subgroup  $[GL(\mathcal{O}), GL(\mathcal{O})]$  (Lemma 3.16). In the same vein, Waldhausen's comparison of the  $Q$ -construction and his  $S_\bullet$ -construction carries over:  $d(BwS_\bullet\mathbf{Free}(\mathcal{O}))$  is weakly equivalent to  $BQ\mathbf{Free}(\mathcal{O})$ .

Finally, by the Barratt–Priddy theorem (see e.g. [5, Th. 3.6])

$$\pi_i(BGL(\mathcal{O})^+) \cong \pi_i^s(BE_+, *).$$

The identification of the low-degree  $K$ -groups is the standard calculation of the  $S^{-1}S$ -construction [7, IV.4.8.1, IV.4.10].  $\square$

*Remark 3.17* The calculation of  $K_1(\mathcal{O})$  could also be done using the description of  $K_1$  of a Waldhausen category due to Muro and Tonks [4].

*Remark 3.18* Recall that for an (ordinary) ring  $R$  the following two properties of an  $R$ -module  $M$  are equivalent: (i) it is projective, (ii) there is another projective module  $M'$  such that  $M \sqcup M'$  is free. I have not been able to show the corresponding statement for projective  $\mathcal{O}$ -modules. For example, for a projector  $p : \mathcal{O}(n) \rightarrow \mathcal{O}(n)$  with  $M = \text{imp}$ , it is *not* true that the canonical map

$$\phi : M \sqcup \ker p \rightarrow \mathcal{O}(n)$$

is an isomorphism of  $\mathcal{O}$ -modules: for  $n = 2$  and the projector  $p$  given by the matrix

$$\begin{pmatrix} 1/2 & 1/2 \\ 1/2 & 1/2 \end{pmatrix},$$

$\ker p$  is the free  $\mathcal{O}$ -module of rank 1, generated by  $(e_1 - e_2)/2 \in \mathcal{O}(2)$ . In this case,  $\phi$  induces an isomorphism of  $M \sqcup \ker p$  with the free  $\mathcal{O}$ -module of rank 2 generated by  $(e_1 \pm e_2)/2$ , but not with  $\mathcal{O}(2) = (e_1, e_2)$ . The analogous statement of Proposition 3.9 for cofibrations of projective  $\mathcal{O}$ -modules, as well as the computation of  $K_i(\mathbf{Proj}(\mathcal{O}))$  for  $i > 0$  (using Waldhausen's cofinality theorem) would carry over verbatim if the above statement about projective  $\mathcal{O}$ -modules holds. However, the distinction between projective and free modules is only relevant for non-archimedean valuations, by Theorem 3.7.

## 4 The residue field at infinity

We finish this work by noting two differences (as far as  $K$ -theory is concerned) to the case of classical rings, namely the  $K$ -theory of the residue “field” at infinity, and the

behavior with respect to completion. For simplicity, we restrict our attention to the case  $F = \mathbb{Q}$ .

Let  $p < \infty$  be a (rational) prime with residue field  $\mathbb{F}_p$ . There is a long exact sequence

$$K_n(\mathbb{F}_p) \rightarrow K_n(\mathbb{Z}_{(p)}) \rightarrow K_n(\mathbb{Q}) \xrightarrow{\delta} K_{n-1}(\mathbb{F}_p)$$

which stems from the fact that  $\mathbb{Z}_{(p)}$  (the localization of  $\mathbb{Z}$  at the prime ideal  $(p)$ ) is a Noetherian regular local ring of dimension one. Moreover, for  $n = 1$  the map  $\delta$  is the  $p$ -adic valuation  $v_p : \mathbb{Q}^\times \rightarrow \mathbb{Z}$ . The situation is less formidable at the infinite places, as we will now see. The (generalized) valuation ring  $\mathbb{Z}_{(\infty)}$  (Definition 3.2) is *not* Noetherian: ascending chains of ideals need not terminate. Indeed, consider a finitely generated ideal  $I = (m_1, \dots, m_n) \subset \mathbb{Z}_{(\infty)}$ . Then  $|I| = \{|m|, m \in I\} = [0, \max_i |m_i|] \cap |\mathbb{Z}_{(\infty)}|$ . In particular, an ideal of the form  $\{x \in \mathbb{Z}_{(\infty)}, |x| < \lambda\}$ ,  $\lambda \leq 1$  is not finitely generated, since  $|\mathbb{Z}_{(\infty)}|$  is dense in  $[0, 1]$ . This should be compared with the well-known fact that the valuation ring of a non-archimedean field is noetherian iff the field is trivially or discretely valued.

**Definition 4.1** [1, 4.8.13] Put  $\mathbb{F}_\infty := \mathbb{Z}_{(\infty)} / \widetilde{\mathbb{Z}_{(\infty)}}$ , where  $\widetilde{\mathbb{Z}_{(\infty)}}$  is the submonad given by

$$\widetilde{\mathbb{Z}_{(\infty)}}(n) = \{x \in \mathbb{Q}^n, |x| < 1\}.$$

We refer to *loc. cit.* for the general definition of strict quotients of generalized rings by appropriate relations. For us, it is enough to note that every element of  $\mathbb{Z}_{(\infty)}(n)$  is uniquely represented by  $z = \sum_{i \in I} \lambda_i \epsilon_i e_i$ , where  $I \subset \{1, \dots, n\}$ ,  $0 < \lambda_i \leq 1$ ,  $\sum \lambda_i \leq 1$ ,  $\epsilon_i \in E_{\mathbb{Z}_{(\infty)}} = \{\pm 1\}$ , and  $e_i$  is the standard basis vector. Two elements  $z, z' \in \mathbb{Z}_{(\infty)}(n)$  get identified in  $\mathbb{F}_\infty(n)$  (Notation:  $z \equiv z'$ ) iff

$$|z| < 1 \quad \text{and} \quad |z'| < 1 \tag{10}$$

or

$$|z| = |z'| = 1, \quad I_z = I_{z'}, \quad \text{and} \quad \epsilon_{i,z} = \epsilon_{i,z'} \quad \text{for all } i \in I_z. \tag{11}$$

That is, as a set  $\mathbb{F}_\infty(n)$  consists of the faces of the  $n$ -dimensional octahedron. Again, 0 is the initial and terminal  $\mathbb{F}_\infty$ -module, so we can speak about (co)kernels.

As usual, we put

$$K_0(\mathbb{F}_\infty) := \left( \bigoplus_{M \in \mathbf{Free}(\mathbb{F}_\infty)/Iso} \mathbb{Z} \right) / [M] = [M'] + [M''],$$

with a relation for each monomorphism  $M' \rightarrow M$  in  $\mathbf{Free}(\mathbb{F}_\infty)$  such that its cokernel  $M''$  (computed in  $\mathbf{Mod}(\mathbb{F}_\infty)$ ) lies in  $\mathbf{Free}(\mathbb{F}_\infty)$ . Similarly, we define  $K_0^{\mathbf{Proj}}(\mathbb{F}_\infty)$  using projective  $\mathbb{F}_\infty$ -modules. Using the above, one sees that  $\mathbb{F}_\infty$  is not finitely presented as

a  $\mathbb{Z}_{(\infty)}$ -module. Thus, one should not expect a natural map  $i_* : K_0(\mathbb{F}_\infty) \rightarrow K_0(\mathbb{Z}_{(\infty)})$ . Actually,  $K$ -theory of  $\mathbb{F}_\infty$ -modules behaves badly in the sense of the following proposition:

**Proposition 4.2**  $K_0^{\text{Proj}}(\mathbb{F}_\infty) = 0$ ,  $K_0(\mathbb{F}_\infty) = \mathbb{Z}$ . In particular, there is no exact localization sequence (regardless of the maps involved)

$$\begin{aligned} K_1(\mathbb{Z}_{(\infty)}) &= \mathbb{Z}/2 \times \{\pm 1\} \rightarrow K_1(\mathbb{Q}) = \mathbb{Q}^\times \rightarrow K_0(\mathbb{F}_\infty) \rightarrow K_0(\mathbb{Z}_{(\infty)}) \\ &= \mathbb{Z} \rightarrow K_0(\mathbb{Q}) = \mathbb{Z}, \end{aligned}$$

or similarly with  $K_0^{\text{Proj}}(\mathbb{F}_\infty)$  instead.

*Proof* We first show that any projective  $\mathbb{F}_\infty$ -module  $M$  which is generated by  $n$  elements contains  $\mathbb{F}_\infty$  as a submodule, such that the cokernel is a projective  $\mathbb{F}_\infty$ -module generated by  $n - 1$  elements. This implies that  $K_0^{\text{Proj}}(\mathbb{F}_\infty)$  is generated by  $[\mathbb{F}_\infty]$  (which is obvious for  $K_0(\mathbb{F}_\infty)$ ).

The projective module  $M$  is specified by a projector  $\pi : \mathbb{F}_\infty(n) \rightarrow \mathbb{F}_\infty(n)$  with  $M = \pi(\mathbb{F}_\infty(n))$ . Let  $a_i := \pi(e_i) \in \mathbb{F}_\infty(n)$ . We pick  $a_{ij} \in [-1, 1] \subset \mathbb{R}$  such that  $a_i \equiv \sum_{j \in J_i} a_{ij} e_j$  with  $a_{ij} \neq 0$  for all  $j \in J_i$ . Set  $A := (a_{ij}) \in \mathbb{R}^{n \times n}$ . We may assume that the number  $n$  of generators of  $M$  is minimal, i.e., there is no surjection  $p' : \mathbb{F}_\infty(n') \rightarrow M$  with  $n' < n$ . Indeed, if there is such a surjection, it has a section  $\sigma' : M \rightarrow \mathbb{F}_\infty(n')$  since  $M$  is projective, and  $\pi' := \sigma' p'$  would again be a projector.

The minimality of  $n$  implies that  $a_i \neq a_j$  for all  $i \neq j$ . Otherwise, the restriction of  $\pi$  to  $\mathbb{F}_\infty(n \setminus \{i\}) \subset \mathbb{F}_\infty(n)$  would be surjective. Similarly, the minimality implies  $a_i \neq 0 \in \mathbb{F}_\infty(n)$  for all  $i$ . Also, put  $B = (b_{ij}) := A^2 \in \mathbb{R}^{n \times n}$ . Using  $(b_{ij})_j \equiv \pi(a_i) \equiv a_i \neq 0 \in \mathbb{F}_\infty(n)$ , we obtain  $\sum_j |b_{ij}| = 1$  and  $\sum_j |a_{ij}| = 1$  by (10).

The minimality of  $n$  implies  $i \in J_i$  or equivalently,  $a_{ii} \neq 0$ : otherwise  $a_i \equiv \pi(a_i) \equiv \sum_{j \in J_i \setminus \{i\}} a_{ij} a_j$  would be an  $\mathbb{F}_\infty$ -linear combination of the remaining columns of  $A$ . For every  $i \leq n$ ,

$$\begin{aligned} 1 &= \sum_j |b_{ij}| = \sum_j \left| \sum_k a_{ik} a_{kj} \right| \\ &\leq \sum_j \sum_k |a_{ik}| |a_{kj}| = \sum_k |a_{ik}| \underbrace{\left( \sum_j |a_{kj}| \right)}_{=1} \\ &= 1, \end{aligned}$$

so equality holds. In particular, the terms  $\text{sgn}(a_{ik} a_{kj})$  are either all (for arbitrary  $i, j, k \leq n$ ) non-negative or non-positive. Picking  $k = j := i$ , we see that they are non-negative, since  $\text{sgn}(a_{ii}^2) > 0$ , for  $a_{ii} \neq 0$ .

Let  $I^> := \{i, a_{ii} > 0\}$  and likewise with  $I^<$ . Then  $I^> \sqcup I^< = \{1, \dots, n\}$ . Moreover, for  $i \in I^>$  and  $j \in I^<$ ,  $a_{ii} a_{ij} \geq 0$  and  $a_{ij} a_{jj} \geq 0$  imply  $a_{ij} = 0$ . In other words, the matrix  $A$  decomposes as a direct sum matrix  $A^> \sqcup A^<$ , where  $A^>$  and  $A^<$  are the submatrices of  $A$  consisting of the rows and columns with indices in  $I^>$  and



$I^<$ , respectively. We may therefore assume  $A = A^>$ , say. For  $i \in I^>$ , and any  $j$ ,  $a_{ii}a_{ij} \geq 0$  implies  $a_{ij} \geq 0$ , i.e., the entries of  $A$  are all non-negative.

Fix some  $i \leq n$ . As  $\pi$  is a projector,  $a_i \equiv \pi(a_i)$ , i.e.,

$$a_i \equiv \sum_{j \in J_i} a_{ij} e_j \equiv \sum a_{ij} \pi(e_j) \equiv \sum_{j \in J_i, k \in J_j} a_{ij} a_{jk} e_k \in \mathbb{F}_\infty(n).$$

By (10), (11), this implies  $\text{sgn}(a_{ik}) = \text{sgn}(\sum_j a_{ij} a_{jk})$ , which gives

$$J_i = \cup_{j \in J_i} J_j. \quad (12)$$

Indeed, “ $\subset$ ” is easy to see without using the non-negativity of the entries. Conversely, for  $k \notin J_i$ ,  $\sum_j a_{ij} a_{jk} = 0$ . Since all  $a_{**} \geq 0$ , this implies  $a_{jk} = 0$  for all  $j \in J_i$ , i.e.,  $k \notin \cup_{j \in J_i} J_j$ .

Now, pick some  $i \leq n$  such that  $J_i$  is maximal, i.e., not contained in any other  $J_j$ ,  $i \neq j$ . Then  $i \notin J_j$  for any  $i \neq j$  by (12). In other words, the  $i$ -th row only contains a single non-zero entry. For simplicity of notation, we may suppose  $i = 1$ .

Consider the diagram

$$\begin{array}{ccccc} \mathbb{F}_\infty & \xrightarrow{\iota} & \mathbb{F}_\infty(n) & \xrightarrow{\rho} & \mathbb{F}_\infty(n-1) \\ \parallel & & \downarrow & & \downarrow \\ \mathbb{F}_\infty & \longrightarrow & M & \twoheadrightarrow & M' \end{array}$$

where  $\rho$  is the projection onto the last  $n - 1$  coordinates,  $\iota$  is the injection in the first coordinate. The lower left-hand map is a monomorphism since the first row of  $A$  is nonzero. Its cokernel  $M'$  is the projective module determined by the matrix  $(a_{ij})_{2 \leq i, j \leq n}$ . This exact sequence shows that  $K_0^{\text{Proj}}(\mathbb{F}_\infty)$  is generated by  $[\mathbb{F}_\infty]$ .

On the other hand, consider the projective  $\mathbb{F}_\infty$ -module  $P$  defined by the projector  $\begin{pmatrix} 1/2 & 0 \\ 1/2 & 1 \end{pmatrix}$  [1, 10.4.20]. It consists of 5 elements and can be visualized as

$$P = \begin{array}{c} \bullet \\ | \\ \bullet \\ | \\ \bullet \end{array} \subset \mathbb{F}_\infty(2) = \begin{array}{ccccc} & \bullet & & \bullet & \\ & / \quad \backslash & & / \quad \backslash & \\ \bullet & & \bullet & & \bullet \\ & \backslash \quad / & & \backslash \quad / & \\ & \bullet & & \bullet & \end{array}.$$

The composition  $\mathbb{F}_\infty \xrightarrow{(1/2, 1/2)} \mathbb{F}_\infty(2) \twoheadrightarrow P$  is a monomorphism with cokernel  $\mathbb{F}_\infty$ . The pictured inclusion  $P \rightarrow \mathbb{F}_\infty(2)$  has cokernel  $\mathbb{F}_\infty$ , spanned by  $e_1$ . This shows that  $[\mathbb{F}_\infty(2)] = 2[\mathbb{F}_\infty] = [P] + [\mathbb{F}_\infty] = 3[\mathbb{F}_\infty]$ . Hence  $K_0^{\text{Proj}}(\mathbb{F}_\infty) = 0$ .

Finally, we have to show  $K_0(\mathbb{F}_\infty) = \mathbb{Z}$ . For this, consider a cofiber sequence

$$\mathbb{F}_\infty(n') \xrightarrow{i} \mathbb{F}_\infty(n) \xrightarrow{p} \mathbb{F}_\infty(n'').$$

We have to show  $n = n' + n''$ . Pick a section  $\sigma$  of  $p$ . The natural map  $i \sqcup \sigma : \mathbb{F}_\infty(n') \sqcup \mathbb{F}_\infty(n'') \rightarrow \mathbb{F}_\infty(n)$  is injective, as one easily shows. Thus  $n' + n'' \leq n$  for cardinality reasons. Conversely, for any basis vector  $e_i \in \mathbb{F}_\infty(n) \setminus \text{imi}$ ,  $p^{-1}(p(e_i)) = \{e_i\}$ , as one shows in the same way as for  $\mathbb{Z}_\infty$ -modules, cf. (6). Thus  $\sigma(p(e_i)) = e_i$ , so there are at most  $n''$  such basis vectors by the injectivity of  $\sigma$ . Moreover, at most  $n'$  of the basis vectors  $e_i$  of  $\mathbb{F}_\infty(n)$  are in  $\text{imi}$  by the injectivity of  $i$ . This shows  $n' + n'' \geq n$ .  $\square$

**Remark 4.3** For  $p \leq \infty$ , let  $\text{Fib}$  be the homotopy fiber of  $\Omega K(\mathbb{Z}_{(p)}) \rightarrow \Omega K(\mathbb{Q})$  and  $\widehat{\text{Fib}}$  the one of  $\Omega K(\mathbb{Z}_p) \rightarrow \Omega K(\mathbb{Q}_p)$ . The localization sequence for  $K$ -theory shows in case  $p < \infty$  that  $\text{Fib}$  and  $\widehat{\text{Fib}}$  are homotopy equivalent (and given by  $K(\mathbb{F}_p)$ ). Here  $\Omega$  is the loop space and  $K(-)$  is a space (or spectrum) computing  $K$ -theory, for example the  $S_\bullet$ -construction. However, for  $p = \infty$ , we have

$$\begin{array}{ccccccc} \pi_1(\text{Fib}) & \longrightarrow & K_1(\mathbb{Z}_{(\infty)}) & \longrightarrow & K_1(\mathbb{Q}) = \mathbb{Q}^\times & \longrightarrow & \pi_0(\text{Fib}) \longrightarrow 0 \\ & & \parallel & & \downarrow \subsetneq & & \\ \pi_1(\widehat{\text{Fib}}) & \longrightarrow & \underbrace{K_1(\mathbb{Z}_\infty)}_{(\mathbb{Z}/2)^{\oplus 2}} & \longrightarrow & K_1(\mathbb{R}) = \mathbb{R}^\times & \longrightarrow & \pi_0(\widehat{\text{Fib}}) \longrightarrow 0, \end{array}$$

so that  $\pi_0(\text{Fib}) \subsetneq \pi_0(\widehat{\text{Fib}})$ .

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