

AN INTRODUCTION TO KK-THEORY

These are the lecture notes of Walther Paravicini in the Focused Semester 2009 in Münster; the notes were taken by Lin Shan.
In these notes, all C^* -algebras are complex algebras.

1. HILBERT MODULES AND ADJOINTABLE OPERATORS

Let B be a C^* -algebra.

DEFINITION 1. A (right) pre-Hilbert module E over B is a complex vector space E which is at the same time a (right) B -module compatible with the vector space structure of E and is equipped with a map

$$\langle \cdot, \cdot \rangle : E \times E \rightarrow B,$$

such that

- (1) $\langle \cdot, \cdot \rangle$ is sesquilinear (linear in the right component);
- (2) $\forall b \in B$ and $\forall e, f \in E$, $\langle e, fb \rangle = \langle e, f \rangle b$;
- (3) $\forall e, f \in E$, $\langle e, f \rangle^* = \langle f, e \rangle \in B$;
- (4) $\forall e \in E$, $\langle e, e \rangle \geq 0$ and $\langle e, e \rangle = 0$ if and only if $e = 0$.

Define $\|e\| = \sqrt{\langle e, e \rangle}$ for all $e \in E$. If E is complete with respect to this norm, then we call E a Hilbert B -module. E is called full if $\overline{\langle E, E \rangle} = B$.

Exercise 2. Show that $\|\cdot\|$ defines a norm on E .

Example 3.

- (1) If $B = \mathbb{C}$, then a Hilbert module over B is the same as a Hilbert space;
- (2) B itself is a B -module with the module action

$$e \cdot b = eb \quad \forall e, b \in B$$

and the inner product

$$\langle e, f \rangle = e^* f \in B \quad \forall e, f \in B;$$

- (3) More generally, any closed right ideal $I \leq B$ is a right Hilbert B -module;
- (4) Let $(E_i)_{i \in I}$ be a family of pre-Hilbert B -modules. Then the direct sum $\oplus_{i \in I} E_i$ is a pre-Hilbert B -module with the inner product

$$\langle (e_i), (f_i) \rangle = \sum_{i \in I} \langle e_i, f_i \rangle_{E_i}.$$

Because the completion of a pre-Hilbert B -module is a Hilbert B -module, we can form the completion of $\oplus_{i \in I} E_i$, and also call it $\oplus_{i \in I} E_i$;

- (5) In the above example, let $I = \mathbb{N}$ and $E_i = B$. Define $\mathbb{H}_B = \oplus_{i \in \mathbb{N}} B$ to be the Hilbert B -module.

Example 4. Define

$$\ell^2(\mathbb{N}, B) = \left\{ (b_i)_{i \in \mathbb{N}} \mid b_i \in B \ \forall i \in \mathbb{N} \text{ and } \sum_{i \in \mathbb{N}} \|b_i\|^2 < \infty \right\}.$$

Show that $\ell^2(\mathbb{N}, B) \subset \mathbb{H}_B$ and find an example such that $\ell^2(\mathbb{N}, B) \neq \mathbb{H}_B$.

LEMMA 5. *If E is a pre-Hilbert B -module, then for all $e, f \in E$*

$$\|e\| \|f\| \geq \|\langle e, f \rangle\|.$$

Proof. If $f \neq 0$, define $b = \frac{-\langle f, e \rangle}{\|f\|^2}$. Then the inequality follows from $\langle e + fb, e + fb \rangle \geq 0$. \square

REMARK 6. Let H be a Hilbert space and $T \in \mathcal{L}(H)$. Then T^* is the unique operator such that

$$\langle Tx, y \rangle = \langle x, T^*y \rangle$$

for all $x, y \in H$. Such T^* always exists and this star operator turns $\mathcal{L}(H)$ into a iC^* -algebra.

DEFINITION 7. Let E_B and F_B be Hilbert B -modules. Let T be a map from E to F . Then $T^* : F \rightarrow E$ is called the adjoint of T if for all $e \in E, f \in F$

$$\langle Te, f \rangle = \langle e, T^*f \rangle.$$

If such T^* exists, we call T adjointable. The set of all such operator is denoted by $\mathcal{L}(E, F)$.

Exercise 8. Find an example such that a continuous linear map $T : E \rightarrow F$ is not adjointable.

PROPOSITION 9. *Let E, F be Hilbert B -modules, and let T be an adjointable map from E to F . Then*

- (1) T^* is unique, and T^* is also adjointable and $(T^*)^* = T$,
- (2) T is linear, B -linear and continuous,
- (3) $\|T\|^2 = \|T^*\|^2 = \|TT^*\| = \|T^*T\|$.

PROPOSITION 10. *Let E, F be Hilbert B -modules, then $\mathcal{L}(E) = \mathcal{L}(E, E)$ is a C^* -algebra and $\mathcal{L}(E, F)$ is a Banach space.*

DEFINITION 11. Let E, F be Hilbert B -modules. For all $e \in E, f \in F$, define

$$\theta_{f,e} : E \rightarrow F$$

by

$$\theta_{f,e}(e') = f\langle e, e' \rangle_E.$$

PROPOSITION 12. *In the above situation, we have*

- (1) $\theta_{f,e} \in \mathcal{L}(E, F)$ and $\theta_{f,e}^* = \theta_{e,f}$,
- (2) for all $T \in \mathcal{L}(F)$ and $S \in \mathcal{L}(E)$, we have

$$T \circ \theta_{f,e} = \theta_{Tf,e}, \quad \theta_{f,e} \circ S = \theta_{f,S^*e}.$$

DEFINITION 13. Define $\mathcal{K}(E, F) = \mathcal{K}_B(E, F)$ to be the closed linear span of $\{\theta_{f,e} \mid e \in E, f \in F\}$. Elements in $\mathcal{K}(E, F)$ is called compact operators.

PROPOSITION 14.

$$\begin{aligned}\mathcal{L}(F)\mathcal{K}(F, E) &= \mathcal{K}(F, E); \\ \mathcal{K}(E, F)\mathcal{L}(F) &= \mathcal{K}(E, F); \\ \mathcal{K}(E, F)^* &= \mathcal{K}(F, E).\end{aligned}$$

In particular, $\mathcal{K}(E) = \mathcal{K}(E, E)$ is a closed, *-closed two-sided ideal of $\mathcal{L}(E)$.

LEMMA 15. *Let E, F be Hilbert B -modules. Then*

$$\mathcal{K}(E, F) = \{T \in \mathcal{L}(E, F) | TT^* \in \mathcal{K}(F)\}.$$

Proof. “ \subset ” is obvious.

“ \supset ”: Let $(U_\lambda)_\lambda$ be a bounded approximate unit for $\mathcal{K}(F)$. Then using $U_\lambda = U_\lambda^*$,

$$\|U_\lambda T - T\|^2 = \|U_\lambda T T^* U_\lambda - U_\lambda T T^* - T T^* U_\lambda + T T^*\|.$$

Since $T T^* \in \mathcal{K}(F)$ implies $U_\lambda T \rightarrow T \in \mathcal{L}(E, F)$ and $U_\lambda T \in \mathcal{K}(E, F)$, we have $T \in \mathcal{K}(E, F)$. \square

Example 16.

- (1) Let $B = \mathbb{C}$, and let H be a Hilbert space. Then $\mathcal{K}(H)$ is the usual algebra of compact operators,
- (2) If B is arbitrary, and if you regard B as a Hilbert B -module, then $\mathcal{K}(B) = B$.

Proof. Define $\Phi : B \rightarrow \mathcal{L}(B)$ by $b(b') = bb'$ for all $b' \in B$. Then Φ is a *-homomorphism and $\Phi(b^*c) = \theta_{b,c}$ for all $b, c \in B$. So $\Phi(B \cdot B) \subset \mathcal{K}(B)$. But $B \cdot B = B$. \square

- (3) If $E = E_1 \oplus E_2$ and $F = F_1 \oplus F_2$, then

$$\mathcal{K}(E, F) = \bigoplus_{i=1,2} \bigoplus_{j=1,2} \mathcal{K}(E_i, F_j),$$

and every $T \in \mathcal{K}(E, F)$ can be expressed as a matrix

$$\begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix}.$$

- (4) As a consequence of above, we have $\mathcal{K}(B^m, B^n) = M_{m \times n}(B)$.

DEFINITION 17. If B is a C^* -algebra, then we define

$$M(B) = \mathcal{L}(B).$$

$M(B)$ is called the multiplier algebra of B . For example $M(C_0(X)) = C_b(X)$ if X is a locally compact space.

PROPOSITION 18. *If E is a Hilbert B -module, then*

$$M(\mathcal{K}(E)) = \mathcal{L}(E).$$

Sketch of proof. If $T \in \mathcal{L}(E)$, then $S \rightarrow TS$ defines an element $T \cdot \in M(\mathcal{K}(E)) = \mathcal{L}(\mathcal{K}(E))$. This defines a *-homomorphism $\Psi : \mathcal{L}(E) \rightarrow M(\mathcal{K}(E))$.

For $T \in \ker(\Psi)$: Let $e \in E$.

$$0 = \langle \Psi(T)(\theta_{e,Te})(Te), \Psi(T)(\theta_{e,Te})(Te) \rangle = \langle (T\theta_{e,Te})(Te), (T\theta_{e,Te})(Te) \rangle = \langle Te, Te \rangle^3$$

So $Te = 0$ for all $e \in E$. Hence $T = 0$ and Ψ is injective.

If $m \in M(\mathcal{K}(E))$ and $e \in E$, we define

$$T(e) = \lim_{\epsilon \rightarrow 0} m(\theta_{e,\epsilon})(e)((e, e) + \epsilon)^{-1}.$$

Then this is a well-defined element of $\mathcal{L}(E)$ and $\Psi(T) = m$. So Ψ is surjective. \square

DEFINITION 19. Let B, B' be C^* -algebras, and let $\psi : B \rightarrow B'$ be a $*$ -homomorphism. Let E_B is a Hilbert B -module and $E'_{B'}$ is a Hilbert B' -module. A homomorphism with coefficient map ψ from E_B to $E'_{B'}$ is a map $\Phi : E_B \rightarrow E'_{B'}$ such that

- (1) Φ is \mathbb{C} -linear,
- (2) $\Phi(eb) = \Phi(e)\psi(b)$ for all $e \in E_B$ and $b \in B$,
- (3) $\langle \Phi(e), \Phi(f) \rangle = \phi(\langle e, f \rangle) \in B'$ for all $e, f \in E_B$.

We denote such a map also by Φ_ψ by emphasizing ψ .

REMARK 20. From the definition, it follows that $\|\Phi(e)\| \leq \|e\|$ for all $e \in E_B$ and equality holds when ψ is injective.

REMARK 21. There is an obvious composition of homomorphisms with coefficient maps: for $\Phi_\psi : E_B \rightarrow E'_{B'}$ and $\Psi_\chi : E'_{B'} \rightarrow E''_{B''}$, we have a homomorphism

$$(\Psi \circ \Phi)_{\chi \circ \psi} : E_B \rightarrow E''_{B''}.$$

Also $(\text{Id}_E)_{\text{Id}_B} : E_B \rightarrow E_B$ is a homomorphism.

DEFINITION 22. Two Hilbert B -modules E_B and $E'_{B'}$ are called isomorphic if there is a homomorphism $\Phi_{\text{Id}_B} : E_B \rightarrow E'_{B'}$ which is bijective. Then $\Phi_{\text{Id}_B}^{-1} : E'_{B'} \rightarrow E_B$. Write $E_B \cong E'_{B'}$. Note that in this case, $\Phi_{\text{Id}_B} \in \mathcal{L}(E_B, E'_{B'})$ and $\Phi_{\text{Id}_B}^* = \Phi_{\text{Id}_B}^{-1}$.

DEFINITION 23. A C^* -algebra B is called σ -unital if there exists a countable bounded approximate unit.

DEFINITION 24. A positive element $h \in B$ is called strictly positive if $\phi(h) > 0$ for all states ϕ of B .

LEMMA 25. B is σ -unital if and only if B contains a strictly positive element.

LEMMA 26. A positive element $h \in B$ is strictly positive if and only if $\overline{hB} = B$.

LEMMA 27. Let E be a Hilbert B -module, and let $T \in \mathcal{L}(E)$ be positive. Then T is strictly positive if and only if $\overline{T(E)} = E$.

DEFINITION 28. A Hilbert B -module E is called countably generated if there is a set $\{x_n : x_n \in E, \forall n \in \mathbb{N}\}$ such that the span of the set $\{x_n b : x_n \in E, b \in B, \forall n \in \mathbb{N}\}$ is dense in E .

We will show that E is countably generated if and only if $\mathcal{K}(E)$ is σ -unital. This is a consequence of the following important theorem.

THEOREM 29 (Kasparov's Stabilization Theorem). *If E is a countably generated Hilbert B -module, then*

$$E \oplus \mathbb{H}_B \cong \mathbb{H}_B.$$

Proof. Without loss of generality, we assume that B is unital. We want to define a unitary $V : \mathbb{H}_B \rightarrow E \oplus \mathbb{H}_B$.

Instead of defining V directly, we define $T \in \mathcal{L}(\mathbb{H}_B, E \oplus \mathbb{H}_B)$ such that T and $|T| = (T^*T)^{\frac{1}{2}}$ have dense range. Then the isometry V defined by $V(|T|(x)) = T(x)$

can be extended to an isometry from \mathbb{H}_B to $E \oplus \mathbb{H}_B$ with $\text{Range}(V) \supset \text{Range}(T)$ (which is dense, so V is a unitary).

Let ξ_n be the n -th standard basis vector in \mathbb{H}_B , and let (η_n) be a generating sequence of E such that for all $n \in \mathbb{N}$, $\{l \in \mathbb{N} \mid \eta_l = \eta_n\}$ is an infinite set. WLOG, we assume that $\|\eta_n\| \leq 1$ for all $n \in \mathbb{N}$. Define

$$T = \sum_k 2^{-k} \theta_{(\eta_k, 2^{-k} \xi_k), \xi_k}.$$

- (1) T has a dense range: Let $k \in \mathbb{N}$. Then for any $l \in \mathbb{N}$ with $\eta_k = \eta_l$, we have that $T(\xi_l) = 2^{-l}(\eta_k, 2^{-l} \xi_l)$, so

$$T(2^l \xi_l) = (\eta_k, 2^{-l}) \rightarrow (\eta_k, 0)$$

as $l \rightarrow \infty$. Hence $(\eta_k, 0) \in \overline{T(\mathbb{H}_B)}$, and also $2^l((\eta_k, 2^{-l} \xi_l) - (\eta_k, 0)) = (0, \xi_l) \in \overline{T(\mathbb{H}_B)}$;

- (2) T^*T has dense range:

$$\begin{aligned} T^*T &= \sum_{k,l} 2^{-k-l} \theta_{\xi_k, (\langle \eta_k, \eta_l \rangle + \langle 2^{-k} \xi_k, 2^{-l} \xi_l \rangle), \xi_l} \\ &= \sum_k 4^{-2k} \theta_{\xi_k, \xi_k} + \left(\sum_k 2^{-k} \theta_{(\eta_k, 0), \xi_k} \right)^* \left(\sum_k 2^{-k} \theta_{(\eta_k, 0), \xi_k} \right) \\ &\geq \sum_k 4^{-2k} \theta_{\xi_k, \xi_k} \stackrel{\text{def}}{=} S. \end{aligned}$$

S is positive and has dense range, so it is strictly positive in $\mathcal{K}(\mathbb{H}_B)$. Hence T^*T is strictly positive in $\mathcal{K}(H)$ and has dense range;

- (3) $|T|$ has dense range because $\text{Range}(|T|) \supset \text{Range}(T^*T)$. □

COROLLARY 30. E_B is countably generated if and only if $\mathcal{K}(E)$ is σ -unital.

Proof.

- (1) If B is unital and $E = \mathbb{H}_B$. Let ξ_i be the standard i -th basis vector in \mathbb{H}_B . Then

$$h = \sum_i 2^{-i} \theta_{\xi_i, \xi_i}$$

is strictly positive in $\mathcal{K}(E)$ since it has dense range;

- (2) If B is unital and $E = P\mathbb{H}_B$ for some $P \in \mathcal{L}(\mathbb{H}_B)$ with $P^* = P = P^2$. (This is almost generic by the above theorem.) Then

$$PhP = \sum_i 2^{-i} \theta_{P\xi_i, P\xi_i}$$

is strictly positive in $\mathcal{K}(E)$;

- (3) B is countable generated if and only if B^+ is countably generated. So $\mathcal{K}_{B^+}(E)$ is σ -unital if and only if $\mathcal{K}_B(E)$ is σ -unital since $\mathcal{K}_{B^+}(E) = \mathcal{K}_B(E)$. □

DEFINITION 31. Let B, C be C^* -algebras, and let E_B and F_C be Hilbert B, C -modules respectively and let $\phi : B \rightarrow \mathcal{L}(F_C)$ be a $*$ -homomorphism. On $E \otimes_{\text{alg}} F \times E \otimes_{\text{alg}} F$, define

$$\langle e \otimes f, e' \otimes f' \rangle = \langle f, \phi(\langle e, e' \rangle) f' \rangle \in C.$$

This defines a C -valued bilinear map. Define $N = \{t \in E \otimes_{alg} F \mid \langle t, t \rangle = 0\}$. Then $\langle \cdot, \cdot \rangle$ defines an inner product on $E \otimes_{alg} F/N$ which turns it to be a pre-Hilbert C -module.

The completion is called the inner tensor product of E and F and is denoted by $E \otimes_B F$ or $E \otimes_\phi F$.

LEMMA 32. *Let E_{1B}, E_{2B} and F_C be Hilbert B, C module respectively, and let $\phi : B \rightarrow \mathcal{L}(F)$ be a $*$ -homomorphism. Let $T \in \mathcal{L}(E_1, E_2)$. Then $e_1 \otimes f \rightarrow T(e_1) \otimes f$ defines a map $T \otimes 1 \in \mathcal{L}(E_1 \otimes_B F, E_2 \otimes_B F)$ such that $(T \otimes 1)^* = T^* \otimes 1$ and $\|T \otimes 1\| \leq \|T\|$. If $\phi(B) \subset \mathcal{K}(F)$, then $T \in \mathcal{K}(E_1, E_2)$ implies $T \otimes 1 \in \mathcal{K}(E_1 \otimes F, E_2 \otimes F)$.*

Proof. We only prove the last assertion here. The map $T \rightarrow T \otimes 1$ is linear and contractive from $\mathcal{L}(E_1, E_2)$ to $\mathcal{L}(E_1 \otimes F, E_2 \otimes F)$. So it suffices to consider T of the form θ_{e_2, e_1} with $e_1 \in E_1$ and $e_2 \in E_2$. Because $E_2 = E_2 \cdot B$, it suffices to consider $\theta_{e_2 b, e_1}$ with $b \in B$. Now for all $e'_1 \otimes f \in E_1 \otimes F$,

$$\begin{aligned} (\theta_{e_2 b, e_1} \otimes 1)(e'_1 \otimes f) &= \theta_{e_2 b, e_1}(e'_1) \otimes f \\ &= e_2 b(e_1, e'_1) \otimes f \\ &= e_2 \otimes \phi(b)\phi(\langle e_1, e'_1 \rangle) f \\ &= (M_{e_2} \circ \phi(b) \circ N_{e_1})(e'_1 \otimes f), \end{aligned}$$

where $M_{e_2} : F \rightarrow E_2 \otimes_B F$ by $f' \rightarrow e_2 \otimes f'$ and $N_{e_1} : E_1 \otimes_B F \rightarrow F$ by $e'_1 \otimes f' \rightarrow \phi(\langle e_1, e'_1 \rangle) f'$. Because $M_{e_2} \in \mathcal{L}(F, E_2 \otimes_B F)$, $N_{e_1} \in \mathcal{L}(E_1 \otimes_B F, F)$ and $\phi(b) \in \mathcal{K}(F)$, we have $\theta_{e_2 b, e_1} \otimes 1 \in \mathcal{K}(E_1 \otimes F, E_2 \otimes F)$. \square

LEMMA 33. *Let B and C be C^* -algebras, and let $\phi : B \rightarrow C$ be a $*$ -homomorphism. Define $\tilde{\phi} : B \rightarrow \mathcal{L}(C) = M(C)$ by $b \rightarrow (c \rightarrow \phi(b)c)$. Then $\tilde{\phi}(B) \subset \mathcal{K}(C)$.*

DEFINITION 34. Let E_B be a Hilbert B -module, and let $\phi : B \rightarrow C$ be a $*$ -homomorphism. Define the push-forward $\phi_*(E)$ as $E \otimes_B C = E \otimes_\phi C$.

LEMMA 35.

- (1) $(\text{id}_B)_*(E) = E \otimes_B B \cong E$ canonically;
- (2) $\psi_*(\phi_*(E)) \cong (\psi \circ \phi)_*(E)$ naturally, where $\psi : C \rightarrow D$ is a $*$ -homomorphism.

LEMMA 36. $T \in \mathcal{K}(E_1, E_2)$ implies $\phi_*(T) \in \mathcal{K}(\phi_*(E_1), \phi_*(E_2))$. Moreover,

$$\phi_*(\theta_{e_2 b_2, e_1 b_1}) = \theta_{e_2 \otimes \phi(b_2), e_1 \otimes \phi(b_1)}$$

for all $b_1, b_2 \in B$, $e_1 \in E_1$ and $e_2 \in E_2$.

REMARK 37.

- (1) The push-forward has the following universal property. If $\phi : B \rightarrow C$ and if E_B is a Hilbert B -module, then there is a natural homomorphism $\Phi_\phi : E_B \cong E_B \otimes B \rightarrow E \otimes_B C = \phi_*(E)$ defined by $\Phi(e \otimes b) = e \otimes \phi(b)$. If $\Psi_\phi : E_B \rightarrow F_C$ is any homomorphism with coefficient map ϕ , there is a unique homomorphism $\Phi_{\text{id}_C} : \phi_*(E)_C \rightarrow F_C$ defined by $\tilde{\Psi}(e \otimes c) = \Psi(e)c$ such that the following diagram commutes

$$\begin{array}{ccc} E \cong E \otimes B & \xrightarrow{\Psi_\phi} & F \\ & \searrow \Phi_\phi & \nearrow \tilde{\Psi}_{\text{id}_C} \\ & \phi_*(E) & \end{array}$$

- (2) You can show that $\mathcal{K}(\cdot)$ is a functor. If $\Phi_\phi : E_B \rightarrow F_C$ is a homomorphism with coefficient map ϕ , then there is a unique $*$ -homomorphism $\Theta : \mathcal{K}(E) \rightarrow \mathcal{K}(F)$ such that $\Theta(\theta_{e,e'}) = \theta_{\phi(e),\phi(e')} \in \mathcal{K}(F)$ for all $e, e' \in E$.

DEFINITION 38. Let B, B' be C^* -algebras, and let $E_B, E'_{B'}$ be Hilberts B, B' modules respectively. Then define a bilinear map

$$\langle \cdot, \cdot \rangle : E \otimes_{alg} E' \times E \otimes_{alg} E' \rightarrow B \otimes B'$$

by

$$\langle e_1 \otimes e'_1, e_2 \otimes e'_2 \rangle = \langle e_1, e_2 \rangle \otimes \langle e'_1, e'_2 \rangle.$$

This defines an inner product on $E \otimes_{\mathbb{C}} E'$. Its completion, denoted by $E \otimes E'$, is a Hilbert $B \otimes B'$ -module, called the external tensor product of E and E' .

DEFINITION 39. A graded C^* -algebra is a C^* -algebra B equipped with an order two $*$ -homomorphism β_B , called the grading automorphism of B , i.e. $\beta_B^2 = \text{id}$. A $*$ -homomorphism ϕ from a graded algebra (B, β_B) to a graded algebra (C, β_C) is graded if $\beta_C \circ \phi = \phi \circ \beta_B$.

If (B, β_B) is graded, then $B = B_0 \oplus B_1$ with $B_0 = \{b \in B | \beta_B(b) = b\}$ and $B_1 = \{b \in B | \beta_B(b) = -b\}$. The element $b \in B_0$ is called even with $\text{deg}(b) = 0$ and the element $b \in B_1$ is called odd with $\text{deg}(b) = 1$. An element of $B_0 \cup B_1$ is called homogeneous.

REMARK 40. Note we have

$$\begin{aligned} B_0 \cdot B_1 &\subset B_1 & B_1 \cdot B_0 &\subset B_1 \\ B_0 \cdot B_0 &\subset B_0 & B_1 \cdot B_1 &\subset B_0. \end{aligned}$$

Moreover, $\phi : B \rightarrow C$ is graded if and only if $\phi(B_i) \subset C_i$ for $i = 0, 1$.

DEFINITION 41 (Definition and lemma). If B is graded, then the graded commutator of B is defined on homogeneous elements a, b, c by

$$[a, b] = ab - (-1)^{\text{deg}(a)\text{deg}(b)}ba.$$

It satisfies the following properties.

- (1) $[a, b] = -(-1)^{\text{deg}(a)\text{deg}(b)}[b, a]$;
- (2) $[a, bc] = [a, b]c + (-1)^{\text{deg}(a)\text{deg}(b)}b[a, c]$;
- (3) $(-1)^{\text{deg}(a)\text{deg}(c)}[[a, b], c] + (-1)^{\text{deg}(a)\text{deg}(b)}[[b, c], a] + (-1)^{\text{deg}(b)\text{deg}(c)}[[c, a], b] = 0$.

DEFINITION 42. Let A and B be graded C^* -algebras. Define their graded tensor product as follows. On $A \otimes_{alg} B$, define

$$(a_1 \hat{\otimes} b_1)(a_2 \hat{\otimes} b_2) = (-1)^{\text{deg}(a_1)\text{deg}(b_1)}(a_1 a_2 \hat{\otimes} b_1 b_2)$$

and

$$(a_1 \hat{\otimes} b_1)^* = (-1)^{\text{deg}(a_1)\text{deg}(b_1)}(a_1^* \hat{\otimes} b_1^*)$$

for all homogeneous element $a_1, a_2 \in A$ and $b_1, b_2 \in B$. Define a grading automorphism by $\beta_{A \hat{\otimes} B} = \beta_A \otimes \beta_B$.

Just as in the ungraded case, there are several feasible norms on $A \otimes_{alg} B$ and among them there is a maximal one. Completed for this norm the algebra $A \otimes_{alg} B$ becomes the maximal graded tensor product $A \hat{\otimes}_{max} B$. There is also a spacial graded tensor product $A \hat{\otimes} B$. In general these completions can be different from there ungraded counterparts, but in the cases we are interested in, they agree. Hence we will not make a fuss about these norms.

PROPOSITION 43. *The spatial graded tensor product $A \hat{\otimes} B$ is associative ($A \hat{\otimes} (B \hat{\otimes} C) = (A \hat{\otimes} B) \hat{\otimes} C$) and commutative ($A \hat{\otimes} B \cong B \hat{\otimes} A$ via $a \hat{\otimes} b \rightarrow (-1)^{\deg(a)\deg(b)} b \hat{\otimes} a$).*

Example 44.

- (1) If A is an ungraded C^* -algebra, then id_A is a grading automorphism on A which we call the trivial grading. With this grading, A is called trivially graded;
- (2) If A is a C^* -algebra and $u \in M(A)$ satisfies $u = u^* = u^{-1}$, then one can define a grading on A by $a \rightarrow uau$. Such a grading is called an inner grading. We will see later that inner gradings are the less interesting gradings.
- (3) On $\mathbb{C}_{(1)} = \mathbb{C} \oplus \mathbb{C}$, define the following grading automorphism:

$$(a, b) \rightarrow (b, a).$$

Then $(\mathbb{C}_{(1)})_0 = \{(a, a) | a \in \mathbb{C}\}$ and $(\mathbb{C}_{(1)})_1 = \{(a, -a) | a \in \mathbb{C}\}$. This grading is called the standard odd grading;

- (4) More generally, define the odd grading also on $A_{(1)} = A \oplus A$ for any C^* -algebra A . Note that $A_{(1)} \cong A \hat{\otimes} \mathbb{C}_{(1)}$;
- (5) Alternatively, define $\mathbb{C}_1 = \mathbb{C} \oplus \mathbb{C}$ as follows.

The multiplication is given by

$$\begin{aligned} (1, 0)(1, 0) &= (0, 1)(0, 1) = (1, 0); \\ (1, 0)(0, 1) &= (0, 1)(1, 0) = (0, 1). \end{aligned}$$

The involution is given by $(a, b)^* = (\bar{a}, \bar{b})$.

The norm is given by $\|(a, b)\| = \max\{|a + b|, |a - b|\}$.

The grading is given by $(a, b) \rightarrow (a, -b)$.

Then \mathbb{C}_1 is a graded C^* -algebra.

Also $\mathbb{C}_1 \cong \mathbb{C}_{(1)}$ as a graded C^* -algebra. Let \mathbb{C}_1 act on $\mathbb{C} \oplus \mathbb{C}$ by

$$(a, b) \rightarrow \begin{pmatrix} a & b \\ b & a \end{pmatrix}.$$

This is a faithful representation.

DEFINITION 45. Let $n \in \mathbb{N}$. Let \mathbb{C}_n be the universal unital \mathbb{C} -algebra defined in the following way, called the n -th complex Clifford algebra:

- (1) there is an \mathbb{R} -linear map $i : \mathbb{R}^n \rightarrow \mathbb{C}_n$ such that

$$i(v) \cdot i(v) = \langle v, v \rangle \cdot 1_{\mathbb{C}_n} \in \mathbb{C}_n$$

for all $v \in \mathbb{R}^n$;

- (2) if $\phi : \mathbb{R}^n \rightarrow A$ is any \mathbb{R} -linear map from \mathbb{R}^n to a unital \mathbb{C} -algebra satisfying the above condition, then there is a unique unital \mathbb{C} -linear homomorphism $\hat{\phi} : \mathbb{C}_n \rightarrow A$ such that $\phi = \hat{\phi} \circ i$.

Consider the complexified exterior algebra $\Lambda_{\mathbb{C}}^* \mathbb{R}^n$. It has a canonical Hilbert space structure. Let \mathbb{C}_n act on $\Lambda_{\mathbb{C}}^* \mathbb{R}^n$ as follows: if $v \in \mathbb{R}^n$ then define $\mu(v) = \text{ext}(v) + \text{ext}(v)^* \in \mathcal{L}(\Lambda_{\mathbb{C}}^* \mathbb{R}^n)$. From the universal property of the Clifford algebra we obtain a homomorphism from \mathbb{C}_n to $\mathcal{L}(\Lambda_{\mathbb{C}}^* \mathbb{R}^n)$.

On \mathbb{C}_n we have an involution induced by the map

$$(v_1 \cdot v_2 \cdots v_k)^* = v_k \cdot v_{k-1} \cdots v_1$$

for all $v_1, \dots, v_k \in \mathbb{R}^n$. With this involution, \mathbb{C}_n is a $*$ -algebra and $\mu : \mathbb{C}_n \rightarrow \mathcal{L}(\Lambda_{\mathbb{C}}^* \mathbb{R}^n)$ a $*$ -homomorphism. It defines a C^* -algebra structure on \mathbb{C}_n .

Example 46.

- (1) \mathbb{C}_1 is the two-dimensional algebra defined above;
- (2) \mathbb{C}_2 is the four-dimensional algebra with the basis $1, e_1, e_2, e_1e_2$ such that $e_1^2 = e_2^2 = 1$ and $e_1e_2 = -e_2e_1$.

DEFINITION 47. The unitary map $v \rightarrow -v$ in \mathbb{R}^n lifts to an isomorphism $\beta_n : \mathbb{C}_n \rightarrow \mathbb{C}_n$ such that $(\beta_n)^2 = 1$. It is a grading on \mathbb{C}_n .

Exercise 48. Show that \mathbb{C}_2 is isomorphic to $\mathbb{M}_{2 \times 2}(\mathbb{C})$ with the inner grading given by $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.

PROPOSITION 49. We have $\mathbb{C}_{m+n} \cong \mathbb{C}_m \hat{\otimes} \mathbb{C}_n$ for all $m, n \in \mathbb{N}$.

Proof. Define $V = \mathbb{R}^m$ and $W = \mathbb{R}^n$. Let $i_m : V \rightarrow \mathbb{C}_m$, $i_n : W \rightarrow \mathbb{C}_n$ and $i_{m+n} : V \oplus W \rightarrow \mathbb{C}_{m+n}$ be the canonical injections. Let $\pi_V : V \oplus W \rightarrow V$ and $\pi_W : V \oplus W \rightarrow W$ be the canonical projections. Then

$$i = (i_V \hat{\otimes} 1) \circ \pi_V \oplus (1 \hat{\otimes} i_W) \circ \pi_W : V \oplus W \rightarrow \mathbb{C}_m \hat{\otimes} \mathbb{C}_n$$

satisfies $i(x)i(x) = \langle x, x \rangle 1_{\mathbb{C}_m \hat{\otimes} \mathbb{C}_n}$, so there is a unital \mathbb{C} -linear homomorphism $\hat{i} : \mathbb{C}_{m+n} \rightarrow \mathbb{C}_m \hat{\otimes} \mathbb{C}_n$ such that $i = \hat{i} \circ i_{m+n}$. Similarly, one can construct homomorphisms $\mathbb{C}_m \rightarrow \mathbb{C}_{m+n}$ and $\mathbb{C}_n \rightarrow \mathbb{C}_{m+n}$ which gradedly commute, so there is a homomorphism $\mathbb{C}_m \hat{\otimes} \mathbb{C}_n \rightarrow \mathbb{C}_{m+n}$. It is an inverse of \hat{i} . \square

PROPOSITION 50. If $n \in \mathbb{N}$ is even, then $\mathbb{C}_n \cong \mathbb{M}_{2^m \times 2^m}(\mathbb{C})$ with an inner grading. If $n = 2m + 1$ is odd, then $\mathbb{C}_n \cong \mathbb{M}_{2^m \times 2^m}(\mathbb{C}) \oplus \mathbb{M}_{2^m \times 2^m}(\mathbb{C})$ with standard odd grading.

DEFINITION 51. Let (B, β_B) be a graded C^* -algebra and E_B be a Hilbert B -module. A grading automorphism $\sigma_E : E \rightarrow E$ is a homomorphism with coefficient map β_B such that $\sigma_E^2 = \text{id}_E$, i.e.

$$\langle \sigma_E(e), \sigma_E(f) \rangle = \beta_E(\langle e, f \rangle)$$

and $\sigma_E(eb) = \sigma_E(e)\beta_B(b)$ for all $e, f \in E$ and $b \in B$.

REMARK 52. With $E_0 = \{e \in E | \sigma_E(e) = e\}$ and $E_1 = \{e \in E | \sigma_E(e) = -e\}$, we have

$$\langle E_i, E_j \rangle \subset B_{i+j}$$

and

$$E_i B_j \subset E_{i+j}.$$

If B is trivially graded, then it still makes sense to consider graded Hilbert B -modules; they are just orthogonal direct sums of two Hilbert B -modules.

DEFINITION 53 (Definition and Lemma). If E and F are graded Hilbert modules over the graded C^* -algebra B , then define

$$\sigma_{\mathcal{L}(E, F)}(T) = \sigma_F \circ T \circ \sigma_E$$

for all $T \in \mathcal{L}(E, F)$.

This map satisfies:

- (1) $\sigma_{\mathcal{L}(E, F)}^2(T) = T$ for all $T \in \mathcal{L}(E, F)$;
- (2) $\sigma_{\mathcal{L}(F, E)}(T^*) = [\sigma_{\mathcal{L}(E, F)}(T)]^*$ for all $T \in \mathcal{L}(E, F)$;
- (3) $\sigma_{\mathcal{L}(E, G)}(T \circ S) = \sigma_{\mathcal{L}(F, G)}(T) \circ \sigma_{\mathcal{L}(E, F)}(S)$ for all $T \in \mathcal{L}(F, G)$ and $S \in \mathcal{L}(E, F)$ where G_B is another Hilbert B -module;

- (4) $\sigma_{\mathcal{L}(E,F)}(\mathcal{K}(E,F)) \subset \mathcal{K}(E,F)$ with $\sigma_{\mathcal{L}(E,F)}(\theta_{f,e}) = \theta_{\sigma_F(f),\sigma_E(e)}$ for all $e \in E$ and $f \in F$.

COROLLARY 54. *If E is a graded Hilbert B -module, then $\mathcal{L}(E)$ and $\mathcal{K}(E)$ are graded C^* -algebras.*

DEFINITION 55. The elements of $\mathcal{L}(E,F)_0$ are called even, written $\mathcal{L}(E,F)^{even}$, the elements of $\mathcal{L}(E,F)_1$ are called off, written $\mathcal{L}(E,F)^{odd}$.

REMARK 56. An even element of $\mathcal{L}(E,F)$ maps E_0 to F_0 and E_1 to F_1 , and an odd element maps E_0 to F_1 and E_1 to F_0 .

REMARK 57. The following concepts and results can easily be adapted from the trivially graded case to the general graded case.

- (1) graded homomorphism with graded coefficient maps;
- (2) Kasparov stabilization theorem: \mathbb{H}_B has to be replaced by $\hat{\mathbb{H}}_B = \mathbb{H}_B \oplus \mathbb{H}_B$ with grading $S = (\beta_B, \beta_B, \dots)$ on the first summand and $-S$ on the second summand;
- (3) the interior tensor product of graded Hilbert modules;
- (4) the exterior tensor product of graded Hilbert modules. The inner product is defined by

$$\langle e_1 \hat{\otimes} f_1, e_2 \hat{\otimes} f_2 \rangle = (-1)^{\deg(f_1)(\deg(e_1) + \deg(e_2))} \langle e_1, e_2 \rangle \hat{\otimes} \langle f_1, f_2 \rangle.$$

- (5) the push-forward along graded $*$ -homomorphisms.

2. THE DEFINITION OF KK-THEORY

All C^* -algebras A, B, C, \dots in this section will be σ -unital. Let A, B be graded C^* -algebras.

DEFINITION 58. A Kasparov A - B -module or a Kasparov A - B -cycle is a triple $\mathcal{E} = (E, \phi, T)$ where E is a countably generated graded Hilbert B -module, $\phi : A \rightarrow \mathcal{L}(E)$ is a graded $*$ -homomorphism and $T \in \mathcal{L}(E)$ is an odd operator such that

- (1) $\forall a \in A : [\phi(a), T] \in \mathcal{K}(E)$;
- (2) $\forall a \in A : \phi(a)(T^2 - \text{id}_E) \in \mathcal{K}(E)$;
- (3) $\forall a \in A : \phi(a)(T - T^*) \in \mathcal{K}(E)$.

Note that the commutator in 1) is graded. The class of all Kasparov A - B -modules will be denoted by $\mathbb{E}(A, B)$. Sometimes we denote elements of $\mathbb{E}(A, B)$ also as pairs (E, T) without making reference to the action ϕ .

REMARK 59. We are not going to discuss many examples at this point. They will occur later in the talks dedicated to applications of KK -theory.

DEFINITION 60 (Definition and Lemma).

- (1) If $\mathcal{E}_1 = (E_1, \phi_1, T_1)$ and $\mathcal{E}_2 = (E_2, \phi_2, T_2)$ are elements of $\mathbb{E}(A, B)$, then $\mathcal{E}_1 \oplus \mathcal{E}_2 := (E_1 \oplus E_2, \phi_1 \oplus \phi_2, T_1 \oplus T_2) \in \mathbb{E}(A, B)$;
- (2) If C is another graded C^* -algebra and $\psi : B \rightarrow C$ is an even $*$ -homomorphism and $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$ then

$$\psi_*(\mathcal{E}) := (\psi_*(E), \phi \hat{\otimes} 1, \psi_*(T) = T \hat{\otimes} 1) \in \mathbb{E}(A, C).$$

- (3) If C is another graded C^* -algebra, $\varphi : A \rightarrow B$ is an even $*$ -homomorphism and $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(B, C)$, then

$$\phi^*(\mathcal{E}) := (E, \phi \circ \varphi, T) \in \mathbb{E}(A, C);$$

(4) If $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$ then

$$-\mathcal{E} := (-E, \phi_-, -T) \in \mathbb{E}(A, B),$$

where $-E$ is the same Hilbert B -module as E but with the grading $\sigma_{-E} := -\sigma_E$, and $\phi_- := \phi \circ \beta_A$ where β_A is the grading on A .

Proof. We only show parts of (2). Let $a \in A$. Then

$$\begin{aligned} (\phi \hat{\otimes} 1)(a)((T \hat{\otimes} 1)^2 - \text{id}_{E \hat{\otimes} \psi C}) &= (\phi(a) \hat{\otimes} \text{id}_C)(T^2 \hat{\otimes} \text{id}_C - \text{id}_E \hat{\otimes} \text{id}_C) \\ &= (\phi(a)(T^2 - \text{id}_E)) \otimes \text{id}_C \\ &= \psi_*(\phi(a)(T^2 - \text{id}_E)) \in \mathcal{K}(\psi_*(E)). \end{aligned}$$

Here we use that $\phi(a)(T^2 - \text{id}_E) \in \mathcal{K}(E)$. The other conditions follow similarly. \square

DEFINITION 61. Let $\varphi : A \rightarrow A'$ and $\psi : B \rightarrow B'$ be $*$ -homomorphisms and let $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$ and $\mathcal{E}' \in \mathbb{E}(A', B')$. A homomorphism from \mathcal{E} to \mathcal{E}' with coefficient maps φ and ψ is a homomorphism Φ_ψ from E_B to E'_B such that

- (1) $\forall a \in A \forall e \in E, \Phi(\phi(a)e) = \phi'(\varphi(a))\Phi(e)$ i.e. Φ has coefficient map φ on the left;
- (2) $\Phi \circ T = T' \circ \Phi$;

The most important case is the case that Φ is bijective and $\varphi = \text{id}_A, \psi = \text{id}_B$. Then \mathcal{E} and \mathcal{E}' are called isomorphic.

LEMMA 62. *We have up to isomorphism (for all $\mathcal{E}, \mathcal{E}_1, \mathcal{E}_2, \mathcal{E}_3 \in \mathbb{E}(A, B)$):*

- (1) $(\mathcal{E}_1 \oplus \mathcal{E}_2) \oplus \mathcal{E}_3 \cong \mathcal{E}_1 \oplus (\mathcal{E}_2 \oplus \mathcal{E}_3)$;
- (2) $\mathcal{E}_1 \oplus \mathcal{E}_2 \cong \mathcal{E}_2 \oplus \mathcal{E}_1$;
- (3) $\mathcal{E} \oplus (0, 0, 0) \cong \mathcal{E}$;
- (4) *If $\psi : B \rightarrow C$ and $\psi' : C \rightarrow C'$ then*

$$\psi'_*(\psi_*(\mathcal{E})) \cong (\psi' \circ \psi)_*(\mathcal{E});$$

- (5) $(\text{id}_B)_*(\mathcal{E}) \cong \mathcal{E}$;
- (6) *If $\phi : A' \rightarrow A$ and $\phi' : A'' \rightarrow A$ then*

$$\phi'^*(\phi^*(\mathcal{E})) = (\phi \circ \phi')^*(\mathcal{E}), \quad \text{id}_A^*(\mathcal{E}) = \mathcal{E};$$

- (7) $\psi_*(\mathcal{E}_1 \oplus \mathcal{E}_2) \cong \psi_*(\mathcal{E}_1) \oplus \psi_*(\mathcal{E}_2), \quad \psi_*(-\mathcal{E}) = -\psi_*(\mathcal{E});$
- (8) $\phi^*(\mathcal{E}_1 \oplus \mathcal{E}_2) \cong \phi^*(\mathcal{E}_1) \oplus \phi^*(\mathcal{E}_2), \quad \phi^*(-\mathcal{E}) = -\phi^*(\mathcal{E});$
- (9) $\phi^*(\psi_*(\mathcal{E})) = \psi_*(\phi^*(\mathcal{E})).$

DEFINITION 63. Let C be a graded C^* -algebra and $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$. We now give the definition of a cycle $\tau_C(\mathcal{E}) = \mathcal{E} \hat{\otimes} \text{id}_C \in \mathbb{E}(A \hat{\otimes} C, B \hat{\otimes} C)$: the module is $E_B \hat{\otimes} C_C$, the action of $A \hat{\otimes} C$ is $\phi \hat{\otimes} \text{id}_C$ and the operator is $T \hat{\otimes} \text{id}_C$.

Example 64. If $C = \mathcal{C}([0, 1]) = \{f : [0, 1] \rightarrow \mathbb{C}, f \text{ continuous}\}$, then $A \hat{\otimes} C \cong A[0, 1] = \{f : [0, 1] \rightarrow A, f \text{ continuous}\}$ and $B \hat{\otimes} C \cong B[0, 1]$. Similarly $E_B \hat{\otimes} C_C \cong E[0, 1]$ if $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$. Now $\tau_{C[0, 1]}(\mathcal{E}) \cong (E[0, 1], \phi[0, 1], T[0, 1]) \in \mathbb{E}(A[0, 1], B[0, 1])$ under this identifications.

DEFINITION 65 (Notions of homotopy). Let \mathcal{E}_0 and \mathcal{E}_1 be in $\mathbb{E}(A, B)$:

- (1) An operator homotopy from \mathcal{E}_0 to \mathcal{E}_1 is a norm-continuous path $(T_t)_{t \in [0, 1]}$ in $\mathcal{L}(E)$ for some graded Hilbert B -module E equipped with a graded left action $\phi : A \rightarrow \mathcal{L}(E)$ such that
 - (a) $\forall t \in [0, 1] : (E, \phi, T_t) \in \mathbb{E}(A, B)$;

- (b) $\mathcal{E}_0 \cong (E, \phi, T_0)$, $\mathcal{E}_1 \cong (E, \phi, T_1)$.
- (2) A homotopy from \mathcal{E}_0 to \mathcal{E}_1 is an element $\mathcal{E} \in \mathbb{E}(A, B[0, 1])$ such that $ev_{0,*}^B(\mathcal{E}) \cong \mathcal{E}_0$ and $ev_{1,*}^B(\mathcal{E}) \cong \mathcal{E}_1$, where $ev_t^B : B[0, 1] \rightarrow B, \beta \rightarrow \beta(t)$ for all $t \in [0, 1]$. We write $\mathcal{E}_0 \sim \mathcal{E}_1$ if such that a homotopy exists.

LEMMA 66. *Homotopy is an equivalence relation on $\mathbb{E}(A, B)$.*

Proof.

- (1) Reflexivity: let $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$. Then $i_A^*(\tau_{C[0,1]}(\mathcal{E})) \cong (E[0, 1], \phi[0, 1] \circ i_A, T[0, 1])$ is a homotopy from \mathcal{E} to \mathcal{E} , where $i_A : A \rightarrow A[0, 1]$ is the inclusion as constant functions.
- (2) Symmetry: let $\mathcal{E} \in \mathbb{E}(A, B[0, 1])$ and $\psi : B[0, 1] \rightarrow B[0, 1], \beta \rightarrow (t \rightarrow \beta(1 - t))$. Then $ev_{t,*}^B(\psi_*(\mathcal{E})) = (ev_t^B \circ \psi)(\mathcal{E}) = (ev_{1-t,*}^B)(\mathcal{E})$, where $ev_t^B \circ \psi = ev_{1-t}^B$.
- (3) Transitivity: this is a non-trivial exercise. □

DEFINITION 67. Define $KK(A, B) := \mathbb{E}(A, B) / \sim$. If $\mathcal{E} \in \mathbb{E}(A, B)$ then we denote the corresponding element of $KK(A, B)$ by $[\mathcal{E}]$.

LEMMA 68. *$KK(A, B)$ is an abelian group when equipped with the well-defined operation*

$$[\mathcal{E}_1] \oplus [\mathcal{E}_2] = [\mathcal{E}_1 \oplus \mathcal{E}_2].$$

In particular, $KK(A, B)$ is a set. We have

$$[\mathcal{E}] \oplus [-\mathcal{E}] = [0, 0, 0],$$

where $[0, 0, 0]$ is the zero element of $KK(A, B)$.

Before we come to the proof of this important lemma, we define:

DEFINITION 69. The class $\mathbb{D}(A, B) \subset \mathbb{E}(A, B)$ of degenerate Kasparov $A - B$ -modules is the class of all elements (E, ϕ, T) such that $[\phi(a), T], \phi(a)(T^2 - 1), \phi(a)(T - T^*) = 0$ for all $a \in A$.

LEMMA 70. *If $\mathcal{E} = (E, \phi, T) \in \mathbb{D}(A, B)$, then $\mathcal{E} \sim 0$.*

Proof. We construct a homotopy using a mapping cylinder, in this case for the rather trivial homomorphism $0 \xrightarrow{\sigma} E$. Consider the following diagram

$$\begin{array}{ccc} Z & \longrightarrow & E[0, 1]_{B[0,1]} \\ \downarrow & & \downarrow ev_0^E \\ 0_B & \xrightarrow{\sigma} & E_B \end{array}$$

The pull-back Z in this diagram can be identified with the Hilbert $B[0, 1]$ -module $E(0, 1] = \{\epsilon : [0, 1] \rightarrow E, \epsilon \text{ continuous and } \epsilon(0) = 0\}$. On $E(0, 1]$ define an A -action by $(a \cdot \epsilon)(t) = a(\epsilon(t))$ for all $a \in A, \epsilon \in \mathbb{E}(0, 1]$ and $t \in [0, 1]$. Define $\tilde{T} \in \mathcal{L}(E(0, 1]), \epsilon \rightarrow T \circ \epsilon$. Then $\tilde{\mathcal{E}} = (E(0, 1], \tilde{T}) \in \mathbb{E}(A, B[0, 1])$ and $ev_{0,*}^B(\tilde{\mathcal{E}}) \cong 0$ and $ev_{1,*}^B(\tilde{\mathcal{E}}) \cong \mathcal{E}$. □

Proof of the important lemma. It is obvious that $KK(A, B)$ is a set because the class of isomorphism classes of countable generated Kasparov $A - B$ -modules is small. Moreover, the direct sum is well-defined and $[0]$ is the zero element. The

addition is commutative. What is left to show is that $\mathcal{E} \oplus -\mathcal{E} \sim 0$ for $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$. Define $G_t \in \mathcal{L}(E \oplus -E)$ to be the element given by the matrix:

$$G_t = \begin{pmatrix} \cos t \cdot T & \sin t \operatorname{id}_E \\ \sin t \operatorname{id}_E & -\cos t T \end{pmatrix}.$$

Then $G_0 = \begin{pmatrix} T & 0 \\ 0 & -T \end{pmatrix} = (T \oplus (-T))$, so $(E \oplus -E, \phi \oplus \phi_-, G_0) = (E \oplus -E, \phi \oplus \phi_-, T \oplus -T)$. Also $G_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, so $(E \oplus -E, \phi \oplus \phi_-, G_1) \in \mathbb{D}(A, B)$. That G_t is odd and $(E \oplus -E, \phi \oplus \phi_-, G_t) \in \mathbb{E}(A, B)$ for all $t \in \mathbb{R}$ can be checked by direct calculations. \square

LEMMA 71. *KK(A, B) is a bifunctor from the category of graded (σ -unital) C^* -algebras and graded $*$ -homomorphism to the category of abelian groups.*

Proof. Let $\psi : B \rightarrow C$ be a graded $*$ -homomorphism. Then $\mathcal{E} \rightarrow \psi_*(\mathcal{E})$ lifts to a map $\psi_* : KK(A, B) \rightarrow KK(A, C)$. Here using the diagram

$$\begin{array}{ccc} B[0, 1] & \xrightarrow{\psi^{[0,1]}} & C[0, 1] \\ \downarrow ev_*^B & & \downarrow ev_*^C \\ B & \longrightarrow & C \end{array}$$

It is a group homomorphism and the constructoin is functorial. \square

DEFINITION 72. Define $\mathbb{M}(A, B) \subset \mathbb{E}(A, B)$ be the class of what I call Morita cycles from A to B by $(E, \phi, T) \in \mathbb{M}(A, B)$ if $T = 0$. Note that $(E, \phi, 0) \in \mathbb{E}(A, B)$ if and only if $\phi(A) \subset \mathcal{K}(E)$. If $\psi : A \rightarrow B$ is a graded $*$ -homomorphism, then we define $(\psi) = (B, \psi, 0) \in \mathbb{M}(A, B) \subset \mathbb{E}(A, B)$. We define $[\psi] = [(\psi)] \in KK(A, B)$. If ${}_A E_B$ is a graded Morita equivalence, then $A \cong \mathcal{K}(E)$, and if ϕ is the left action of A on E then $(E, \phi, 0) \in \mathbb{M}(A, B) \subset \mathbb{E}(A, B)$, we write (E) for $(E, \phi, 0) \in \mathbb{E}(A, B)$ and $[E]$ for $[(E)] \in KK(A, B)$.

DEFINITION 73 (Definition and lemma). If $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$ and $\mathcal{F} = (F, \phi', 0) \in \mathbb{M}(B, C)$ then define $\mathcal{E} \hat{\otimes}_B \mathcal{F} = (E \hat{\otimes}_B F, \phi \hat{\otimes} 1, T \hat{\otimes} 1)$. Then $\mathcal{E} \hat{\otimes}_B \mathcal{F} \in \mathbb{E}(A, C)$. This defines a group homomorphism

$$\hat{\otimes}_B \mathcal{F} : KK(A, B) \rightarrow KK(A, C)$$

such that

- (1) $\mathcal{E} \hat{\otimes}_B (\psi) = \psi_*(\mathcal{E})$ for all $\psi : B \rightarrow C$;
- (2) $(\mathcal{E} \hat{\otimes}_B \mathcal{F}) \hat{\otimes}_C \mathcal{F}' \cong \mathcal{E} \hat{\otimes}_B (\mathcal{F} \hat{\otimes}_C \mathcal{F}')$ for all $\mathcal{F}' \in \mathbb{M}(C, D)$;
- (3) $\mathcal{E} \hat{\otimes}_B (\psi)_C \hat{\otimes} \mathcal{F}' \cong \psi_*(\mathcal{E}) \hat{\otimes}_C \mathcal{F}' \cong \mathcal{E} \hat{\otimes}_B \psi_*(\mathcal{F}')$.

Proof. (1) $\hat{\otimes}_B \mathcal{F}$ is well-defined on the level of KK . If $\tilde{\mathcal{E}} \in \mathbb{E}(A, B[0, 1])$ then, because $\mathcal{F}[0, 1] \in \mathbb{M}(B[0, 1], C[0, 1])$,

$$ev_{t,*}^C(\tilde{\mathcal{E}} \hat{\otimes}_{B[0,1]} \mathcal{F}[0, 1]) \cong ev_{t,*}^B(\tilde{\mathcal{E}}) \hat{\otimes} \mathcal{F}.$$

- (2) $\hat{\otimes}_B \mathcal{F}$ is a group homomorphism. If $\mathcal{E}_1, \mathcal{E}_2 \in \mathbb{E}(A, B)$, then

$$(\mathcal{E}_1 \oplus \mathcal{E}_2) \hat{\otimes}_B \mathcal{F} \cong \mathcal{E}_1 \hat{\otimes}_B \mathcal{F} \oplus \mathcal{E}_2 \hat{\otimes}_B \mathcal{F}.$$

\square

COROLLARY 74. *If B and B' are (gradedly) Morita equivalent with Morita equivalence ${}_B E_{B'}$, then $\otimes_B E$ is an isomorphism.*

$$KK(A, B) \cong KK(A, B').$$

Proof. Let ${}_{B'} \bar{E}_B$ denote the flipped equivalence. Then

$${}_B E \hat{\otimes}_{B'} \bar{E}_B \cong {}_B B_B \quad \text{and} \quad {}_{B'} \bar{E} \hat{\otimes}_B E_{B'} \cong {}_{B'} B'_{B'},$$

so

$$(\mathcal{E} \hat{\otimes}_B E) \hat{\otimes}_{B'} \bar{E} \cong \mathcal{E} \hat{\otimes}_B (E \hat{\otimes}_{B'} \bar{E}) \cong \mathcal{E} \hat{\otimes}_B B = \text{id}_{B,*}(\mathcal{E}) \cong \mathcal{E}$$

and likewise

$$\mathcal{E}' \hat{\otimes}_{B'} \bar{E} \hat{\otimes}_B E \cong \mathcal{E}'$$

for all $\mathcal{E} \in \mathbb{E}(A, B)$ and $\mathcal{E}' \in \mathbb{E}(A, B')$. \square

LEMMA 75 (Stability of KK -theory). *Let \mathbb{K} carry the grading given by $(1, -1)$ under an identification $\mathbb{K} \cong M_2(\mathbb{K})$.*

- (1) $\tau_{\mathbb{K}}$ is an isomorphism $KK(A, B) \cong KK(A \hat{\otimes} \mathbb{K}, B \hat{\otimes} \mathbb{K})$.
- (2) We have $KK(A, B) \cong KK(A \hat{\otimes} \mathbb{K}, B) \cong KK(A, B \hat{\otimes} \mathbb{K})$.

LEMMA 76 (Homotopy invariance). *Let $\psi_0, \psi_1 : B \rightarrow C$ be graded $*$ -homomorphisms and $\psi : B \rightarrow C[0, 1]$ such that $\psi_t = \text{ev}_t^C \circ \psi$ for $t = 0, 1$. Then $[\psi_0] = [\psi_1] \in KK(B, C)$ and (ψ) is a homotopy from (ψ_0) to (ψ_1) . It follows that $\psi_{0,*}(\mathcal{E}) \sim \psi_{1,*}(\mathcal{E})$ for all $\mathcal{E} \in \mathbb{E}(A, B)$.*

COROLLARY 77. *If $A \sim 0$ is contractible, then $KK(A, A) \cong KK(A, 0) \cong 0$.*

PROPOSITION 78. *If B is σ -unital, then it suffices in the definition of $KK(A, B)$ to consider only those triples (E, ϕ, T) where $E = \hat{\mathbb{H}}_B$.*

Proof. $(\hat{\mathbb{H}}_B, 0, 0) \in \mathbb{D}(A, B)$ and hence $(E, \phi, T) \sim (E \oplus \hat{\mathbb{H}}_B, \phi \oplus 0, T \oplus 0)$. (and $\text{ev}_{t,*}^B(\hat{\mathbb{H}}_{B[0,1]}) \cong \hat{\mathbb{H}}_B$ for all $t \in [0, 1]$.) \square

DEFINITION 79. Let $\mathcal{E} = (E, \phi, T) \in \mathbb{E}(A, B)$. Then a ‘‘compact perturbation’’ of T (or of \mathcal{E}) is an operator T' (or the cycle (E, ϕ, T')) such that

$$\forall a \in A : \quad \phi(a)(T - T') \in \mathcal{K}_B(E).$$

LEMMA 80. *In this case: $\mathcal{E}' = (E, \phi, T') \in \mathbb{E}(A, B)$ and $\mathcal{E} \sim \mathcal{E}'$.*

Proof. Consider the straight line segment. \square

PROPOSITION 81. *If $(E, \phi, T) \in \mathbb{E}(A, B)$, then there is a compact perturbation (E, ϕ, S) of (E, ϕ, T) such that $S^* = S$, so in the definition of $KK(A, B)$ it suffices to consider only those triples with self-adjoint operator; and compact perturbations, homotopies and operator homotopies may be taken within this class.*

Proof. Replace T with $\frac{T-T^*}{2}$. \square

PROPOSITION 82. *If $(E, \phi, T) \in \mathbb{E}(A, B)$, then there is a compact perturbation $(E, \phi, S) \in \mathbb{E}(A, B)$ of (E, ϕ, T) with $S = S^*$ and $\|S\| \leq 1$. If A is unital we may in addition obtain an S with $S^2 - 1 \in \mathcal{K}(E)$, compact perturbations, homotopies and operator homotopies may be taken within this class.*

Proof. WLOG, $T^* = T$, use functional calculus for

$$f(x) = \begin{cases} 1, & x > 1 \\ x, & -1 \leq x \leq 1 \\ -1, & x < -1. \end{cases}$$

□

REMARK 83 (The Fredholm picture of $KK(A, B)$). If A is unital: $P = \phi(1)$. Replace S with $PSP + (1 - P)S(1 - P)$. Let A be unital (the σ -unital case is more complicated). In the definition of KK -theory it suffices to consider only those triples (E, ϕ, T) with ϕ unital (replace E with PE and T with PTP). If there exists a unital graded $*$ -homomorphism from A to $\mathcal{L}_B(\hat{\mathbb{H}}_B)$, then WLOG $E = \hat{\mathbb{H}}_B$. If A and B are trivially graded: Identity $\mathcal{L}(\hat{\mathbb{H}}_B)$ with $M_2(\mathcal{L}(\mathbb{H}_B))$ with grading given by $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$. $\phi = \begin{pmatrix} \phi_0 & 0 \\ 0 & \phi_1 \end{pmatrix}$ with $\phi_i : A \rightarrow \mathcal{L}_B(\mathbb{H}_B)$ unital. $T = \begin{pmatrix} 0 & S^* \\ S & 0 \end{pmatrix}$ for some $S \in \mathcal{L}_B(\mathbb{H}_B)$ with $\|S\| \leq 1$. The intertwining conditions become $S^*S - 1, SS^* - 1 \in \mathcal{K}_B(\mathbb{H}_B)$, $S\phi_1(a) - \phi_0(a)S \in \mathcal{K}_B(\mathbb{H}_B)$ for all $a \in A$. Homotopy becomes homotopy of triples (ϕ_0, ϕ_1, S) (with strong continuity).¹ In this picture modules are denoted by

$$(E_0 \oplus E_1, \phi_0 \oplus \phi_1, S) \quad \text{where } S \in \mathcal{L}_B(E_0, E_1).$$

In particular, if $A = \mathbb{C}$, then

$$KK(\mathbb{C}, B) \cong \{[T] : T \in \mathcal{L}_B(\mathbb{H}_B), T^*T - 1, TT^* - 1 \in \mathcal{K}_B(\mathbb{H}_B)\}.$$

THEOREM 84. $KK(\mathbb{C}, B) \cong K_0(B)$ for B trivially graded and σ -unital.

Proof. Three methods of proof:

- (1) Assuming $KK(\mathbb{C}, B)$ can be described as the set of all triples $(\hat{\mathbb{H}}_B, \phi, T)$ where ϕ is unital, $T = T^*$, $\|T\| \leq 1$ and $T^2 - 1 \in \mathcal{K}(\hat{\mathbb{H}}_B)$ modulo the equivalence relations generated by
 - (a) operator homotopy and
 - (b) addition of degenerate cycles with unital \mathbb{C} -action,

i.e. we assume that $KK(\mathbb{C}, B) = \widehat{KK}(\mathbb{C}, B)$. Then for all such triples T

has the form $T = \begin{pmatrix} 0 & S^* \\ S & 0 \end{pmatrix}$. The condition on T is equivalent to $\pi(S)$

being unitary in $Q = \mathcal{L}_B(\mathbb{H}_B)/\mathcal{K}_B(\mathbb{H}_B) = \mathcal{L}_B/\mathcal{K}_B$, where $\pi : \mathcal{L}_B(\mathbb{H}_B) \rightarrow Q$ is the canonical projection. So every cycle \mathcal{E} for $KK(\mathbb{C}, B)$ gives an element in $K_1(Q)$. The exact sequence $0 \rightarrow \mathcal{K}_B \rightarrow \mathcal{L}_B \rightarrow Q \rightarrow 0$ gives a long exact sequence in K -theory:

$$\begin{array}{ccccc} K_0(\mathcal{K}_B) & \longrightarrow & K_0(\mathcal{L}_B)(=0) & \longrightarrow & K_0(Q) \\ & & \uparrow \text{index} & & \downarrow \\ K_1(Q) & \longleftarrow & K_1(\mathcal{L}_B)(=0) & \longleftarrow & K_1(\mathcal{K}_B) \end{array}$$

¹This is not very precise and actually hardly correct. One should instead consider strictly continuous functions if we regard $\mathcal{L}(\mathbb{H}_B)$ as the multiplier algebra $M(\mathcal{K} \otimes B)$; moreover, Michael Joachim has pointed out to me that it is necessary to require the additional condition that for all $a \in A$ the function $t \mapsto S\phi_{1,t}(a) - \phi_{0,t}(a)S$ is not only strictly/strongly continuous but norm-continuous; here $t \mapsto \phi_{i,t}$ denotes the homotopies of representations of A on $\mathcal{L}(\mathbb{H}_B)$.

So $K_1(Q) \cong K_0(\mathcal{K}_B) = K_0(\mathcal{K} \otimes B) \cong K_0(B)$. So we obtain a map from $KK(\mathbb{C}, B)$ to $K_0(B)$ after observing that the K_1 elements are invariant under the elementary moves (operator homotopy and degenerate element addition). By a general lifting argument you can lift homotopies from Q to \mathcal{L}_B , so Φ is injective. It is clearly surjective and a homomorphism.

- (2) Let B be unital. Let $(\hat{\mathbb{H}}_B, \phi, T)$ be a cycle as above, so $T = \begin{pmatrix} 0 & S^* \\ S & 0 \end{pmatrix}$.

We try to define an index of $S : \mathbb{H}_B \rightarrow \mathbb{H}_B$ as an element of $K_0(B)$.

Problem: The image of S does not have to be closed and $\ker S, \text{coker } S$ do not have to be finitely generated and projective.

Solution: One can show that there is an $S' \in \mathcal{L}_B(\mathbb{H}_B)$ such that

$$S - S' \in \mathcal{K}_B(\mathbb{H}_B)$$

and $\ker S', \text{coker } S'^*$ are finitely generated and projective.

Definition: $\text{index}(S) = [\ker S'] - [\text{coker } S'^*] \in K_0(B)$.

Exercise:

- (a) Is this well-defined and a homomorphism?
 (b) Is this invariant under homotopy?
 (c) Is it bijective on the level of $KK(\mathbb{C}, B)$?
- (3) (after Vincent Lafforgue) We define a map from $K_0(B) \rightarrow KK(\mathbb{C}, B)$ for B unital. Start with a finitely generated projective B -module E . Find a B -valued inner product on E (one can show that there is an essentially unique one). Define $\Phi([E]) = (E \rightrightarrows_0 0) \in \mathbb{E}(\mathbb{C}, B)$. Moreover, define $\Phi([-E]) = (0 \rightrightarrows_0 E)$. Then $\Phi([E] \oplus [-E]) = (E \rightrightarrows_0 E) \sim (E \rightrightarrows_{\text{id}} E) \sim 0$ because $\text{id}_E \in \mathcal{K}_B(E)$ (which one has to show). So Φ is well-defined as a map from $K_0(B)$ to $KK(\mathbb{C}, B)$. We indicate how to show that it is surjective.

Let $\mathcal{E} = (E_0 \rightrightarrows_g^f E_1) \in \mathbb{E}(\mathbb{C}, B)$. Find an $n \in \mathbb{N}$, $R \in \mathcal{K}_B(B^n, E_1), S \in \mathcal{K}_B(E_1, B^n)$ such that

$$\|1 - fg - RS\| < \frac{1}{2}$$

which means that every compact operator almost factors through some B^n . Then $fg + RS$ is invertible in $\mathcal{L}_B(E_1)$. Define $w = (fg + RS)^{-1}$. Note that $w \in 1 + \mathcal{K}_B(E_1)$. Now

$$\begin{aligned} (E_0 \xrightarrow[g]{f} E_1) \oplus (B^n \xrightarrow[0]{0} 0) &= (E_0 \oplus B^n \xrightarrow[(g,0)]{(f,0)} E_1) \\ &\sim (E_0 \oplus B^n \xrightarrow[\check{g}=(g,S)w]{\check{f}=(f,R)} E_1) = (*). \end{aligned}$$

Observe that

$$\check{f}\check{g} = fgw + RS w = (fg + RS)w = \text{id}_E.$$

Hence $\check{p} = \check{g}\check{f} \in \mathcal{L}_B(E_0 \oplus B^n)$ is an idempotent. Let us assume that $\check{p} = \check{p}^*$. Then $E_0 \oplus B^n \cong \text{Im } \check{p} \oplus \text{Im}(1 - \check{p})$. This implies

$$(*) = (\text{Im } \check{p} \xrightarrow[\check{g}]{\check{f}} E_1) \oplus (\text{Im}(1 - \check{p}) \xrightarrow[0]{0} 0),$$

where $(\text{Im } \check{p} \xrightarrow[\check{g}]{\check{f}} E_1) \sim 0$ in $KK(\mathbb{C}, B)$. Observe $\check{f}\check{p} = \check{f}$ and $\check{p}\check{g} = \check{g}$. Note

$$1 - \check{p} \in \mathcal{K}_B(E_0 \oplus B^n).$$

Then $\text{Im}(1 - \check{p})$ has a compact identity. This implies $\text{Im}(1 - \check{p})$ is finitely generated and projective. Hence

$$[\mathcal{E}] = [\text{Im}(1 - \check{p})] - [B^n] \in \Phi(K_0(B)).$$

Injectivity is similar. □

3. THE KASPAROV PRODUCT

THEOREM 85. *Let A, B, C, D be graded σ -unital C^* -algebras. Let A be separable. Then there exists a map*

$$\hat{\otimes}_B : KK(A, B) \times KK(B, C) \rightarrow KK(A, C),$$

called the Kasparov product, that has the following properties:

(1) *biadditivity:*

$$(x_1 \oplus x_2) \hat{\otimes}_B y = x_1 \hat{\otimes}_B y \oplus x_2 \hat{\otimes}_B y$$

and

$$x \hat{\otimes}_B (y_1 \oplus y_2) = x \hat{\otimes}_B y_1 \oplus x \hat{\otimes}_B y_2.$$

(2) *associativity, if B is separable as well, then*

$$x \hat{\otimes}_B (y \hat{\otimes}_C z) = (x \hat{\otimes}_B y) \hat{\otimes}_C z,$$

for all $x \in KK(A, B), y \in KK(B, C)$ and $z \in KK(C, D)$.

(3) *unit elements: if we define $1_A = [\text{id}_A] \in KK(A, A)$ and $1_B = [\text{id}_B] \in KK(B, B)$, then for all $x \in KK(A, B)$:*

$$1_A \hat{\otimes}_A x = x = x \hat{\otimes}_B 1_B.$$

(4) *functoriality: if $\phi : A \rightarrow B$ and $\psi : B \rightarrow C$ are graded $*$ -homomorphisms, then*

$$x \hat{\otimes}_B [\psi] = \psi_*(x) \quad \text{and} \quad [\phi] \hat{\otimes}_B y = \phi^*(y)$$

for all $x \in KK(A, B)$ and $y \in KK(B, C)$.

(5) *it generalizes the product of Morita cycles defines before.*

REMARK 86.

- (1) The separable graded C^* -algebras form an additive category when equipped with the KK -groups as morphism sets and the flipped Kasparov product as compositions. The $\psi \rightarrow [\psi]$ is a functor from the category of separable graded C^* -algebras with graded $*$ -homomorphism in this category.
- (2) isomorphisms in this category are also called KK -equivalences. Consequently we know that Morita equivalences give KK -equivalences. In particular, KK -theory is also Morita invariant in the first component.

Idea of proof. Let $(E_1, \phi_1, T_1) \in \mathbb{E}(A, B)$ and $(E_2, \phi_2, T_2) \in \mathbb{E}(B, C)$. As module for the product we can take $E_{12} = E_1 \hat{\otimes} E_2$ and as module action we can take $\phi_{12} = \phi_1 \hat{\otimes} 1$. The problem is to find the operator.

A very naive approach is to define $T_{12} = T_1 \hat{\otimes} 1 + 1 \hat{\otimes} T_2$. $T_1 \hat{\otimes} 1$ is okay, but $1 \hat{\otimes} T_2$ does not make any sense as long as T_2 is not B -linear on the left. If we neglect this problem, then we calculate

$$T_{12}^2 = T_1^2 \hat{\otimes} 1 + 1 \hat{\otimes} T_2^2,$$

so we end up with something which is rather 2 than 1 up to compact operators. So the idea is to find suitable “coefficient” operators $M, N \in \mathcal{L}_C(E_{12})$ such that $M^2 + N^2 = 1$ and $M, N \geq 0$. Define

$$T_{12} = MT_1 \hat{\otimes} 1 + N1 \hat{\otimes} T_2.$$

Then

$$T_{12}^2 \approx M^2 T_1^2 \hat{\otimes} 1 + N^2 1 \hat{\otimes} T_2^2 + \text{rest} \approx 1 + \text{rest}.$$

The critical point is that we need a lemma which ensures the existence of such coefficients such that the calculations are justified and $\text{rest}=0$ up to compact operators. This is the subject of “Kasparov’s Technical Lemma”.

To give a sense to an expression like $1 \hat{\otimes} T_2$ is subject of the theory of connections. Such connections will only be unique up to “compact perturbation” and also the technical lemma involves some choices, so there is need for a condition when two operators are homotopic so that they give the same element in KK . These are the three tools which we introduce before we come to the proof of the existence of the product. \square

PROPOSITION 87 (A sufficient condition for operator homotopy). *Let A, B be graded C^* -algebras, $\mathcal{E} = (E, \phi, T), \mathcal{E}' = (E, \phi, T') \in \mathbb{E}(A, B)$. If*

$$\forall a \in A : \quad \phi(a)[T, T']\phi(a^*) \geq 0 \quad \text{mod } \mathcal{K}_B(E),$$

where *mod* means that $\phi(a)[T, T']\phi(a^*) + k \geq 0$ for some $k \in \mathcal{K}_B(E)$, then \mathcal{E} and \mathcal{E}' are operator homotopic.

DEFINITION 88. If (B, β) is a graded C^* -algebra and $A \subset B$ is a sub- C^* -algebra then A is called graded if $\beta(A) \subset A$. [All subalgebras of graded algebras will be assumed graded.]

DEFINITION 89. Let B be a C^* -algebra and $A \subset B$ a subalgebra. Let $\mathcal{F} \subset B$ be a subset. We say that \mathcal{F} derives A if $\forall a \in A, f \in \mathcal{F}, [f, a] \in A$, where it is a graded commutator.

THEOREM 90. *Let B be a graded σ -unital C^* -algebra. Let A_1, A_2 be σ -unital sub- C^* -algebras of $M(B)$ and let \mathcal{F} be a separable, closed linear subspace of $M(B)$ such that $\beta_B(\mathcal{F}) = \mathcal{F}$. Assume that*

- (1) $A_1 \cdot A_2 \subset B$ $[A_1 \perp A_2 \text{ mod } B]$;
- (2) $[\mathcal{F}, A_1] \subset A_1$ $[\mathcal{F} \text{ derives } A_1]$.

Then there exist elements $M, N \in M(B)$ of degree 0 such that $M + N = 1$, $M, N \geq 0$, $MA_1 \subset B$, $NA_2 \subset B$, $[N, \mathcal{F}] \subset B$.

REMARK 91.

- (1) The larger A_1, A_2 and \mathcal{F}_1 , the stronger the lemma;
- (2) we can always assume WLOG: $B \subset A_1, A_2$.

Proof. We can replace A_i with $A_i + B = A'_i$. A'_i is a graded sub- C^* -algebra that is σ -unital. If b is strictly positive in B and a_i is strictly positive in A_i then $b + a_i$ is strictly positive in A'_i because $b + a_i \geq 0$ and $(a_i + b)(A_i + B) \supset a_i A + bB$ (dense in A'_i .) \square

- (3) we will use the lemma in the case $B = \mathcal{K}(E), M(B) = \mathcal{L}(E)$ for a countably generated Hilbert module E .

Exercise 92. Let X be a locally compact, σ -compact Hausdorff space and $\delta X = \beta X \setminus X$ its “corona space”. Then δX is stonean, i.e. the closure of open sets are open or $\forall U, V \subset \delta X$ open, $U \cap V = \emptyset$ then $\exists f : \delta X \rightarrow [0, 1]$ continuous such that $f|_U = 0$, $f|_V = 1$.

Next we will define connections. In this part let B, C be graded C^* -algebras, E_1 a Hilbert B -module, E_2 a Hilbert C -module, $\phi : B \rightarrow \mathcal{L}_C(E_2)$ a graded $*$ -homomorphism, $E_{12} = E_1 \hat{\otimes}_B E_2$.

REMARK 93. Let $T_2 \in \mathcal{L}_C(E_2)$ and assume that

$$(*) \quad \forall b \in B : [\phi(b), T_2] = 0.$$

Define $1 \hat{\otimes} T_2 \in \mathcal{L}_C(E_{12})$ on elementary tensors by

$$(1 \hat{\otimes} T_2)(e_1 \hat{\otimes} e_2) = (-1)^{\delta T_2 \delta e_1} e_1 \hat{\otimes} T_2(e_2).$$

in the sense that you first split T_2 into odd and even parts.....

If T_2 is just B -linear up to compact operators, i.e. if

$$(**) \quad \forall b \in B [\phi(b), T_2] \in \mathcal{K}_C(E_2),$$

then this construction no longer works. We can however construct a substitute for $1 \hat{\otimes} T_2$ “up to compact operators”.

DEFINITION 94. For any $x \in E_1$ define

$$T_x : E_2 \rightarrow E_{12}, \quad e_2 \rightarrow x \hat{\otimes} e_2.$$

LEMMA 95. If $T_2 \in \mathcal{L}_C(E_2)$ satisfies $(*)$, then

$$(***)1 \quad \begin{array}{ccc} E_2 & \xrightarrow{T_2} & E_2 \\ T_x \downarrow & & \downarrow T_x \\ E_{12} & \longrightarrow & E_{12} \end{array}$$

gradedly commutes for all $x \in E_1$ (i.e. $T_x \circ T_2 = (1 \hat{\otimes} T_2) \circ T_x \cdot (-1)^{\delta x \delta T_2}$). Similarly

$$(***)2 \quad \begin{array}{ccc} E_2 & \xrightarrow{T_2} & E_2 \\ T_x^* \uparrow & & \uparrow T_x^* \\ E_{12} & \xrightarrow{1 \hat{\otimes} T_2} & E_{12} \end{array}$$

gradedly commutes.

LEMMA 96. For all $x \in E$, we have $T_x \in \mathcal{L}_C(E_2, E_{12})$ with $T_x^* : E_{12} \rightarrow E_2$, $e_1 \otimes e_2 \rightarrow \phi(\langle x, e_1 \rangle) e_2$.

DEFINITION 97. Let $T_2 \in \mathcal{L}_C(E_2)$. Then an operator $F_{12} \in \mathcal{L}_C(E_{12})$ is called a T_2 -connection for E_1 (on E_{12}) if for all $x \in E_1$ the diagrams $(***)1$ and $(***)2$ commute up to compact operators.

PROPOSITION 98. Let $T_2, T'_2 \in \mathcal{L}_C(E_2)$, let T_{12} be a T_2 -connection and T'_{12} be a T'_2 -connection.

- (1) T_{12}^* is a T_2^* -connection;
- (2) $T_{12}^{(i)}$ is a $T_2^{(i)}$ -connection for $i = 0, 1$;
- (3) $T_{12} + T'_{12}$ is a $(T_2 + T'_2)$ -connection;

- (4) $T_{12} \cdot T'_{12}$ is a $(T_2 T'_2)$ -connection;
- (5) if T_2 and T_{12} are normal, then $f(T_{12})$ is an $f(T_2)$ -connection for every continuous function f such that the spectra of T_2 and T_{12} are contained in its domain of definition.
- (6) if E_3 is a Hilbert D -module, $\psi : C \rightarrow \mathcal{L}_D(E_3)$ is a graded $*$ -homomorphism and $T_3 \in \mathcal{L}_D(E_3)$ with $[T_3, \psi(C)] \subset \mathcal{K}_D(E_3)$, and if T_{23} is a T_3 -connection on $E_2 \hat{\otimes}_C E_3$ and if T is a T_{23} -connection on $E = E_1 \hat{\otimes}_B (E_2 \hat{\otimes}_C E_3)$, then T is a T_3 -connection on $E \cong (E_1 \hat{\otimes}_B E_2) \hat{\otimes}_C E_3$.
- (7) if $E_1 = E'_1 \oplus E''_1$ and if we identify $E_1 \hat{\otimes}_B E_2$ with $E'_1 \hat{\otimes}_B E_2 \oplus E''_1 \hat{\otimes}_B E_2$, then T_2 has the form $\begin{pmatrix} A_2 & B_2 \\ C_2 & D_2 \end{pmatrix}$ and T_{12} has the form $\begin{pmatrix} A_{12} & B_{12} \\ C_{12} & D_{12} \end{pmatrix}$ and A_{12} is an A_2 -connection on $E'_1 \hat{\otimes}_B E_2$ and D_{12} is a D_2 -connection on $E''_1 \hat{\otimes}_B E_2$. Conversely if $T_2 = \begin{pmatrix} A_2 & 0 \\ 0 & D_2 \end{pmatrix}$ and A_{12}/D_{12} is an A_2/D_2 -connection, then $\begin{pmatrix} A_{12} & 0 \\ 0 & D_{12} \end{pmatrix}$ is a T_2 -connection.

PROPOSITION 99. Let $T_2 \in \mathcal{L}_C(E_2)$ and let T_{12} be a T_2 -connection.

- (1) $\forall k \in \mathcal{K}_B(E_1) : [T_{12}, k \otimes 1] \in \mathcal{K}_C(E_{12})$.
- (2) T_{12} is a zero-connection on E_{12} if and only if

$$\forall k \in \mathcal{K}_B(E_1) : T_{12}(k \hat{\otimes} 1), (k \hat{\otimes} 1)T_{12} \in \mathcal{K}_C(E_{12}).$$

Proof. (1) Let $k \in \mathcal{K}_B(E_1)$. WLOG $k = \theta_{y,x}$ for $x, y \in E_1$. WLOG x, y, T_2, T_{12} are homogeneous with $\delta T_2 = \delta T_{12}$. Then

$$\theta_{y,x} \hat{\otimes} 1 = T_y T_x^*$$

by definition of T_x, T_y . Hence

$$\begin{aligned} & (\theta_{y,x} \hat{\otimes} 1) \circ T_{12} = T_y \circ T_x^* \circ T_{12} = T_y \circ (-1)^{\delta x \delta T_2} T_2 \circ T_x^* \\ = & (-1)^{\delta x \delta T_2} (-1)^{\delta y \delta T_2} T_{12} \circ T_y \circ T_x^* = (-1)^{\delta \theta_{y,x} \delta T_2} T_{12} \circ (\theta_{y,x} \hat{\otimes} 1) \pmod{\mathcal{K}_C(E_{12})} \\ \text{i.e. } & [k, T_{12}] \in \mathcal{K}_C(E_{12}). \end{aligned}$$

- (2) T_{12} is a 0-connection if and only if $\forall z \in E_1 : T_z^* T_{12}, T_{12} T_z$ are compact. Let $k \in \mathcal{K}_B(E_1)$. As above, WLOG $k = \theta_{y,x}$ for $x, y \in E_1$, we hence have $T_{12}(k \hat{\otimes} 1) = T_{12}(T_y T_x^*) = (T_{12} T_y) T_x^*$ is compact if and only if T_{12} is a 0-connection. This shows \Rightarrow .

Conversely, if $T_{12}(k \hat{\otimes} 1)$ is compact for all k , then $T_{12}(\theta_{z,z} \hat{\otimes} 1) T_{12}^* = T_{12} T_z T_z^* T_{12}^*$ is compact for all $z \in E_1$. So $(T_{12} T_z)(T_{12} T_z)^* \in \mathcal{K}_C(E_{12})$, hence by a lemma from the first section: $T_{12} T_z \in \mathcal{K}_C(E_1, E_{12})$. Similarly for $T_z^* T_{12}$. So T_{12} is a 0-connection. \square

LEMMA 100. Let $T_2, T'_2 \in \mathcal{L}_C(E_2)$ such that $\forall b \in B : \phi(b)(T_2 - T'_2), (T_2 - T'_2)\phi(b) \in \mathcal{K}_C(E_2)$. Then T_{12} is a T_2 -connection if and only if T_{12} is a T'_2 -connection.

Proof. Let T_{12} be a T_2 -connection. Let $x \in E_1$. Find $\tilde{x} \in E_1, b \in B$ such that $x = \tilde{x}b$. Then $T_x = T_{\tilde{x}} \circ \phi(b)$.

$$\begin{aligned} T_{12} \circ T_x &= (-1)^{\delta x \delta T_{12}} T_x \circ T_2 = (-1)^{\delta x \delta T_{12}} T_{\tilde{x}} \circ \phi(b) \circ T_2 \\ (-1)^{\delta x \delta T_{12}} T_{\tilde{x}} \circ \phi(b) \circ T'_2 &= (-1)^{\delta x \delta T_{12}} T_x \circ T'_2 \pmod{\mathcal{K}_C(E_2, E_{12})} \end{aligned}$$

and similarly for $T_x^* \circ T_{12}$. \square

THEOREM 101 (Existence of connections). *Let E be a countably generated Hilbert B -module, E_2 a Hilbert C -module, $\phi : B \rightarrow \mathcal{L}_C(E_2)$ a graded $*$ -homomorphism. If $T_2 \in \mathcal{L}_C(E_2)$ satisfies $\forall b \in B : [T_2, \phi(b)] \in \mathcal{K}_C(E_2)$, then there exists an T_2 -connection on $E_1 \hat{\otimes}_B E_2$.*

Proof.

- (1) Assume $\forall b \in B, [T_2, \phi(b)] = 0$. Then $1 \hat{\otimes}_B T_2$ is a T_2 -connection. In particular, 0 is a 0-connection, and if $B = \mathbb{C}$ and ϕ is unital, then the above result always applies.
- (2) Assume $\phi : B \rightarrow \mathcal{L}_C(E_2)$ non-degenerate and $E_1 = B$. Then $\Phi : B \hat{\otimes}_B E_2 \rightarrow E_2$ via $b \otimes e_2 \rightarrow be_2$ is an isomorphism. This implies $T_{12} = \Phi^* T_2 \Phi \in \mathcal{L}_C(B \hat{\otimes}_B E_2)$ is a T_2 -connection because $\phi(b) = \Phi \circ T_b$ for all $b \in B$ and hence

$$\begin{aligned} T_{12} T_b &= \Phi^* T_2 \Phi T_b = \Phi^* T_2 \phi(b) \\ &= (-1)^{\delta b \delta T_2} \Phi^* \phi(b) T_2 = (-1)^{\delta b \delta T_2} T_b T_2 \pmod{\mathcal{K}_C(E_2, E_{12})} \end{aligned}$$

and similarly for T_{12}^* .

- (3) Assume that B is unital, ϕ is unital and $E_1 = \hat{\mathbb{H}}_B$. Note that

$$\hat{\mathbb{H}}_B \hat{\otimes}_B E_2 \cong (\hat{\mathbb{H}} \hat{\otimes}_C B) \otimes_B E_2 \cong \hat{\mathbb{H}} \hat{\otimes}_C (B \hat{\otimes}_B E_2).$$

From (2), we know that there is a T_2 -connection T_{23} on $B \hat{\otimes}_B E_2$. From (1) we know that there is a T_{23} -connection T on $\hat{\mathbb{H}}_B \hat{\otimes}_B E_2$. It follows that T is a T_2 -connection on $\hat{\mathbb{H}}_B \hat{\otimes}_B E_2$.

- (4) B is unital, ϕ is unital and E_1 is arbitrary. We have $E_1 \hat{\otimes}_B \hat{\mathbb{H}}_B \cong \hat{\mathbb{H}}_B$. By case (3) there is a T_2 -connection on $\hat{\mathbb{H}}_B \hat{\otimes}_B E_2$. Hence there is also a T_2 -connection on $E_1 \hat{\otimes}_B E_2$.
- (5) general case: Let B^+ be the unital algebra $B \oplus \mathbb{C}$ and $\phi^+ : B^+ \rightarrow \mathcal{L}_C(E_2)$ be the unital extension of ϕ . Then E_1 is also a graded B^+ -Hilbert module. The notion of a T_2 -connection does not depend on this change of coefficients and $E_1 \hat{\otimes}_{B^+} E_2 = E_1 \hat{\otimes}_B E_2$. Also $[T_2, \phi^+(b + \lambda 1)] \in \mathcal{K}_C(E_2)$ for all $b + \lambda 1 \in B^+$. So there is a T_2 -connection on $E_1 \hat{\otimes}_B E_2$ by case (4). \square

Exercise 102. Show: For every $(E, \phi, T) \in \mathbb{E}(A, B)$ there is some $(E', \phi', T') \in \mathbb{E}(A, B)$ homotopic to (E, ϕ, T) with ϕ' non-degenerate (actually, you can take $E' = A \cdot E$).

DEFINITION 103 (Kasparov product). $\mathcal{E}_{12} = (E_{12}, \phi_{12}, T_{12})$ is called a Kasparov product for (E_1, ϕ_1, T_1) and (E_2, ϕ_2, T_2) if

- (1) $(E_{12}, \phi_{12}, T_{12}) \in \mathbb{E}(A, C)$;
- (2) T_{12} is a T_2 -connection on E_{12} ;
- (3) $\forall a \in A : \phi_{12}(a) [T_1 \hat{\otimes} 1, T_{12}] \phi_{12}(a)^* \geq 0 \pmod{\mathcal{K}_C(T_{12})}$.

The set of all operators T_{12} on E_{12} such that \mathcal{E}_{12} is a Kasparov product is denoted by $T_1 \# T_2$.

THEOREM 104. *Assume that A is separable. Then there exists a Kasparov product \mathcal{E}_{12} of \mathcal{E}_1 and \mathcal{E}_2 . It is unique up to operator homotopy and T_{12} can be chosen self-adjoint if T_1 and T_2 are self-adjoint. [It remains to show that the product is well-defined on the level of KK-theory.]*

Example 105.

- (1) Assume $T_2 = 0$, i.e. $(E_2, \phi_2, 0) \in \mathbb{M}(B, C)$. Then $T_{12} = T_1 \hat{\otimes} 1$ is a Kasparov product of T_1 and 0.
 - (a) $(E_{12}, \phi_{12}, T_1 \hat{\otimes} 1) \in \mathbb{E}(A, C)$ as stated above.
 - (b) $T_1 \hat{\otimes} 1$ is a 0-connection because $(k \hat{\otimes} 1)(T_1 \hat{\otimes} 1) = (kT_1) \hat{\otimes} 1 \in \mathcal{K}_C(E_{12})$ because $\phi_2(B) \subset \mathcal{K}_C(E_2)$. (Also $T_1 k \hat{\otimes} 1 \in \mathcal{K}_C(E_{12})$) for all $k \in \mathcal{K}_B(E_1)$.
 - (c) let $a \in A$. Then $\phi_{12}(a)[T_1 \hat{\otimes} 1, T_1 \hat{\otimes} 1]\phi_{12}(a)^* = \phi_{12}(a)2T_1^2 \hat{\otimes} 1\phi_{12}(a)^* = 2\phi_{12}(a)\phi_{12}(a)^* \geq 0 \text{ mod compact}$.

So the multiplication between $\mathbb{E}(A, B)$ and $\mathbb{M}(B, C)$ defined earlier agrees with the Kasparov product.
- (2) In particular, the push-forward along a *-homomorphism is a Kasparov product.
- (3) Also the pull-back is a special case of the Kasparov product. Assume that we have shown that the product is well-defined on the level of homotopy classes.

Let $\phi : A \rightarrow B$ be a *-homomorphism. Then one can assume WLOG that $\phi_2 : B \rightarrow \mathcal{L}_C(E_2)$ is non-degenerate. Then $B \hat{\otimes}_B E_2 \cong E_2$ and we can regard T_2 as a T_2 -connection. The action of A on E_2 under this identification is $\phi_2 \circ \varphi$. It is easy to see that we obtain an element in $0 \# T_2$ which is isomorphic to $\varphi^*(\mathcal{E}_2)$.
- (4) In particular, $1_A \hat{\otimes}_A x = x = x \hat{\otimes}_B 1_B$ for all $x \in KK(A, B)$.

Proof of the main theorem. □

Also the product lifts to a biadditive, associative map on the level of KK .

LEMMA 106. *Let A, B, C be as above. $\mathcal{E}_1 = (E_1, \phi_1, T_1) \in \mathbb{E}(A, B)$ with $T_1^* = T_1$ and $\|T_1\| \leq 1$ and $\mathcal{E}_2 = (E_2, \phi_2, T_2) \in \mathbb{E}(B, C)$. Let G be any T_2 -connection of degree 1 on $E_{12} = E_1 \hat{\otimes}_B E_2$. Define*

$$T_{12} = T_1 \hat{\otimes} 1 + [(1 - T_1^2)^{\frac{1}{2}} \hat{\otimes} 1]G.$$

Then $\phi_{12}(a)(T_{12}^2 - 1)$ and $\phi_{12}(a)(T_{12} - T_{12}^)$ are in $\mathcal{K}_C(E_{12})$ and $\phi_{12}(a)[T_{12}, T_1 \hat{\otimes} 1]\phi_{12}(a)^* \geq 0 \text{ mod } \mathcal{K}_C(E_{12})$ for all $a \in A$. Suppose $[T_{12}, \phi_{12}(a)] \in \mathcal{K}(E_{12})$ for all $a \in A$, then $\mathcal{E}_{12} = (E_{12}, \phi_{12}, T_{12}) \in \mathbb{E}(A, C)$ and \mathcal{E}_{12} is operator homotopic to an element of $\mathcal{E}_1 \# \mathcal{E}_2$.*

Proof. Let $L = (1 - T_1^2)^{\frac{1}{2}} \hat{\otimes} 1$. $\phi_{12}(a)(T_{12}^2 - 1) = \phi_{12}(a)[T_1^2 \hat{\otimes} 1 + (T_1 \hat{\otimes} 1)LG + LG(T_1 \hat{\otimes} 1) + LGLG - 1]$. Now $\phi_{12}(a)(T_1 \hat{\otimes} 1)LG = \phi_{12}(a)L(T_1 \hat{\otimes} 1)G$ and $\phi_{12}(a)L \in \mathcal{K}_B(E_1) \hat{\otimes} 1$, so $\phi_{12}L(T_1 \hat{\otimes} 1) \in \mathcal{K}_B(E_1) \hat{\otimes} 1$, so $[\phi_{12}(a)L(T_1 \hat{\otimes} 1), G] \in \mathcal{K}_C(E_{12})$ and hence

$$\phi_{12}(a)L(T_1 \hat{\otimes} 1)G \stackrel{\text{mod } K}{=} -(-1)^{\delta a} G \phi_{12}(a)L(T_1 \hat{\otimes} 1) \stackrel{\text{mod } K}{=} -\phi_{12}(a)LG(T_1 \hat{\otimes} 1).$$

Similarly $\phi_{12}(a)LGLG = (-1)^{\delta a} G \phi_{12}(a)L^2G = (-1)^{\delta a + \delta a} \phi_{12}(a)L^2G^2$. So $\phi_{12}(a)(T_{12}^2 - 1) = \phi_{12}(a)((T_1^2 - 1) \hat{\otimes} 1 + ((1 - T_1^2) \hat{\otimes} 1)G^2) = [\phi_{12}(a)(T_1^2 - 1)] \hat{\otimes} 1(1 - G^2) \in \mathcal{K}_C(E_{12})$. Similarly for $\phi_{12}(a)(T_{12} - T_{12}^*) \in \mathcal{K}_C(E_{12})$ and $\phi_{12}(a)[T_{12}, T_1 \hat{\otimes} 1]\phi_{12}(a)^* \geq 0 \text{ mod } \mathcal{K}_C(E_{12})$.

Now find M and N as in the existence proof of the product such that

$$\tilde{T}_{12} = M^{\frac{1}{2}}(F_1 \hat{\otimes} 1) + N^{\frac{1}{2}}G$$

defines a Kasparov product $\tilde{\mathcal{E}}_{12} = (E_{12}, \phi_{12}, \tilde{T}_{12}) \in \mathbb{E}(A, C)$ of \mathcal{E}_1 and \mathcal{E}_2 . \mathcal{E}_{12} is operator homotopy to $\tilde{\mathcal{E}}_{12}$ via:

$$T_t = [tM + (1-t)]^{\frac{1}{2}}(T_1 \hat{\otimes} 1) + [tN + (1-t)((1-T_1^2)^{\frac{1}{2}} \hat{\otimes} 1)]^{\frac{1}{2}}G.$$

□

The general form of the product. Let A_1, A_2, B_1, B_2 and D be graded σ -unital C^* -algebras and $x \in KK(A_1, B_1 \hat{\otimes} D)$, $y \in KK(D \hat{\otimes} A_2, B_2)$. If A_1 and A_2 are separable, then we define

$$x \otimes_D y = (x \hat{\otimes} 1_{A_1}) \hat{\otimes}_{B_1 \hat{\otimes} D \hat{\otimes} A_2} (1_{B_1} \hat{\otimes} y) \in KK(A_1 \hat{\otimes} A_2, B_1 \hat{\otimes} B_2).$$

If $\mathbb{C} = D$, then we obtain a product

$$\otimes_{\mathbb{C}} : KK(A_1, B_1) \otimes KK(A_2, B_2) \rightarrow KK(A_1 \hat{\otimes} A_2, B_1 \hat{\otimes} B_2).$$

It is commutative in the following sense. Let

$$\Sigma_{A_1, A_2} : A_1 \hat{\otimes} A_2 \rightarrow A_2 \hat{\otimes} A_1, \quad a_1 \hat{\otimes} a_2 \rightarrow (-1)^{\delta a_1 \delta a_2} a_2 \hat{\otimes} a_1$$

and define Σ_{B_1, B_2} analogously. Then

$$x \otimes_{\mathbb{C}} y = \Sigma_{B_1, B_2}^{-1} \circ y \otimes_{\mathbb{C}} x \circ \Sigma_{A_1, A_2}.$$