# EXPONENTIATIONS OVER THE QUANTUM ALGEBRA $U_q(sl_2(\mathbb{C}))$ Sonia L'Innocente<sup>1</sup> AND Françoise Point<sup>2</sup>

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# **Abstract:**

We define and compare, by model-theoretical methods, some exponentiations over the quantum algebra  $U_q(sl_2(\mathbb{C}))$ , for any parameter q. We discuss two cases, according to whether the parameter q is a root of unity.

# **MOTIVATIONS, SETTING and AIMS**

# Our setting

Quantum algebras are very interesting objects which are beginning to be investigated from a model theoretic point of view. This is witnessed, for instance, by [Zi] and [HL].

#### **Motivations**

This work is inspired by [LMP] where some possible exponentiations are defined over the universal enveloping U of the Lie algebra  $sl_2(\mathbb{C})$  of 2x2 traceless matrices with entries in the field of complex numbers  $\mathbb{C}$ , via its finite-dimensional representations.

# **Our strategy**

We will discuss two cases, according to whether the parameter q is a root of unity. To define some exponentiations over  $U_q$ , we use:

• its simple representation maps,

• the natural matrix exponential map exp in  $M_{\ell}(\mathbb{C})$ , where

#### Aims

Our present aim is to define in a similar way some exponentiations over the quantum algebras  $U_q := U_q(sl_2(\mathbb{C}))$ , which can be regarded as the quantized version of U, for any parameter  $q \in \mathbb{C} - \{0\}, q^2 \neq 1$ .

#### Quantum algebra $U_a$

Consider any element  $q \in \mathbb{C} - \{0\}$  such that  $q^2 \neq 1$ , the quantum algebra  $U_q$  described (see [J], [K]) as the associative  $\mathbb{C}$ -algebra with generators  $K, K^{-1}, E, F$  and relations:

$$KK^{-1} = K^{-1}K = 1, \ KEK^{-1} = q^2E, \ KFK^{-1} = q^{-2}F, \ \ [E, F] = \frac{K - K^{-1}}{q - q^{-1}}.$$
 (1)

The relations (1) imply by induction for every integers s and t, s,  $t \ge 2$ , that:

$$[E, F^{t}] = [t]F^{t-1}\frac{Kq^{1-t} - K^{-1}q^{t-1}}{q - q^{-1}}, \qquad [E^{s}, F] = [s]E^{s-1}\frac{Kq^{s-1} - K^{-1}q^{1-s}}{q - q^{-1}},$$

where, for every  $a \in \mathbb{Z}$ ,  $[a] := \frac{q^a - q^{-a}}{q - q^{-1}}$  denotes the *q*-number of *a*.

exp:  $M_{\ell}(\mathbb{C}) \to GL_{\ell}(\mathbb{C}), \ell \in \omega - \{0\}$  is defined for any  $A \in M_{\ell}(\mathbb{C})$  as the power series

$$\exp(A) = \sum_{n=0}^{\infty} \frac{A^n}{n!}$$

## **Properties of** $U_a$

Some properties of  $U_q$ , used for our results are the following (see [J], [K]).

•  $U_q$ , as graded  $\mathbb{C}$ -algebra over the set of integers  $\mathbb{Z}$ , decomposes as

 $U_q = \bigoplus_{m \in \mathbb{Z}} U_{q,m},$ 

where  $U_{q,m} = \langle E^i . K^z . F^j : i - j = m, i, j \in \omega, z \in \mathbb{Z} \rangle$  denotes the *m*-homogenous component of  $U_q$ .

•  $U_{q,0}$  is isomorphic to the polynomial ring  $k[C_q, K, K^{-1}]$ , where  $C_q := \frac{q^{-1}K + qK^{-1}}{(q-q^{-1})^2} + EF$ denotes the (quantum) Casimir element of  $U_q$ .

• Any element of  $U_{q,m}$ , for any q, can be written as  $E^m u$ , for  $m \ge 0$ , and  $uF^{-m}$ , for m < 0, with  $u \in U_{q,0}$ .

## MAIN RESULTS

## q is not a root of unity

## q is a root of unity

In this case, all simple finite dimensional representations of  $U_q$  are classified in terms of highest weight. So, various exponentiations over  $U_q$  can be defined just by strategies similar to the ones used in [LMP] for the classical case.

 $\forall \lambda \in \omega - \{0\}$ , there exist (up to  $\cong$ ) exactly two simple representations of dimension  $\lambda + 1$ , denoted by  $V_{\epsilon,\lambda}$ , where  $\epsilon \in \{-1, 1\}$ . Let  $\Theta_{\epsilon,\lambda}$  the representation map:  $\Theta_{\varepsilon,\lambda}: U_q \to End(V_{\varepsilon,\lambda}), \text{ where } End(V_{\varepsilon,\lambda}) = M_{\lambda+1}(\mathbb{C}).$ 

#### **New exponentiations**

• We define a new exponential map over  $U_q$ :  $\mathrm{EXP}_{\varepsilon,\lambda} : U_q \xrightarrow{\Theta_{\varepsilon,\lambda}} M_{\lambda+1}(\mathbb{C}) \xrightarrow{\exp} GL_{\lambda+1}(\mathbb{C})$ , defined  $\forall u \in U_q$  as:

$$\mathbf{EXP}_{\epsilon,\lambda}(u) := \exp(\Theta_{\epsilon,\lambda}(u)), \quad \text{(for } \epsilon \pm 1).$$

• Let  $\mathcal{U}$  be a non principal ultrafilter on  $\omega$ .

**References:** 

We define another exponential map: EXP :  $U_q \xrightarrow{[\Theta_{\varepsilon,\lambda}]} \prod_{\mathcal{U}} M_{\lambda+1}(\mathbb{C}) \xrightarrow{\exp} \prod_{\mathcal{U}} GL_{\lambda+1}(\mathbb{C})$ , defined  $\forall u \in U_q$  as:

 $\text{EXP}(u) := [\text{EXP}_{\epsilon,\lambda}(u)], \text{ (for } \epsilon \pm 1).$ 

### **Our results**

• For any  $\lambda \in \omega$ ,  $(U_q, \text{EXP}_{\epsilon,\lambda}, GL_{\lambda+1}(\mathbb{C}))$  is a (non commutative) exponential ring. •  $(U_q, \text{EXP}, \prod_{\mathcal{U}} GL_{\lambda+1}(\mathbb{C}))$  is a (non commutative) exponential ring.

We use the transfer the following properties of the classical matrix exponential to  $\text{EXP}_{\epsilon,\lambda}$ . If  $u, v \in U_q$ , then  $\forall \lambda \in \omega - \{0\}$ : (i)  $\text{EXP}_{\epsilon,\lambda}(0_{U_q}) = I_{\lambda}$ , where  $0_{U_q}$  denotes the identity element in  $U_q$ . (ii)  $\operatorname{EXP}_{\epsilon,\lambda}(u)\operatorname{EXP}_{\epsilon,\lambda}(-u) = I_{\lambda};$ (iii) for u and v commuting,  $\text{EXP}_{\epsilon,\lambda}(u+v) = \text{EXP}_{\epsilon,\lambda}(u)\text{EXP}_{\epsilon,\lambda}(v)$ ; (iv) for an invertible element v in  $U_q$ ,  $\text{EXP}_{\epsilon,\lambda}(vuv^{-1}) = \Theta_{\epsilon,\lambda}(v)\text{EXP}_{\epsilon,\lambda}(u)\Theta_{\epsilon,\lambda}(v)^{-1}$ ; •  $\forall \lambda \in \omega - \{0\}$ , the map  $\text{EXP}_{\epsilon,\lambda}$  is surjective. • For every non-principal ultrafilter  $\mathcal{U}$  on  $\omega$ , the map  $[\Theta_{\varepsilon,\lambda}]$  is injective.

Assume that q is a primitive  $\ell^{th}$  root of unity for  $\ell \geq 3$ . There exists two families of simple representations of dimension  $\ell$ ,  $V_{a,bc}$  depending on  $a, b, c \in \mathbb{C}$  and  $V_{d, f}$  depending on  $f, d \in \mathbb{C}$ . We denote the related representation maps by  $\Theta_{a,b,c} : U_q \to M_\ell(\mathbb{C})$  and  $\Theta_{d,f} : U_q \to$  $M_{\ell}(\mathbb{C}).$ 

## **New exponentiations**

• We define two new exponential maps over  $U_q$ :

 $\operatorname{EXP}_{a,b,c} : U_a \xrightarrow{\Theta_{a,b,c}} M_{\ell}(\mathbb{C}) \xrightarrow{\operatorname{exp}} GL_{\ell}(\mathbb{C}) \text{ and } \widetilde{\operatorname{EXP}}_{d,f} : U_a \xrightarrow{\Theta_{d,f}} M_{\ell}(\mathbb{C}) \xrightarrow{\operatorname{exp}} GL_{\ell}(\mathbb{C}),$ defined respectively  $\forall u \in U_q$  as:

 $\mathbf{EXP}_{a,b,c}(u) := \exp(\Theta_{a,b,c}(u)), \qquad \widetilde{\mathbf{EXP}}_{d,f}(u) := \exp(\widetilde{\Theta}_{d,f}(u)).$ 

• Then we will vary these maps along certain non principal ultrafilters  $\mathcal{W}$  on  $\omega^2$ . We define other exponential maps, EXP :  $U_q \xrightarrow{[\Theta_{a,b,c}]} \prod_{\mathcal{W}} M_\ell(\mathbb{C}) \xrightarrow{\exp} \prod_{\mathcal{W}} GL_\ell(\mathbb{C})$  and  $\widetilde{\mathrm{EXP}}: U_a \xrightarrow{[\Theta,f]} \prod_{\mathcal{W}} M_{\ell}(\mathbb{C}) \xrightarrow{\mathrm{exp}} \prod_{\mathcal{W}} GL_{\ell}(\mathbb{C}), \text{ defined } \forall u \in U_q \text{ as:}$  $\mathbf{EXP}(u) := [\mathbf{EXP}_{a,b,c}(u)], \qquad \widetilde{\mathbf{EXP}}_{d,f}(u) := \exp(\widetilde{\Theta}_{d,f}(u)).$ 

#### **Our results**

Let  $\mathcal{W}$  be a non-principal ultrafilter on  $\omega^2$  which will index subsets of complex numbers of the form  $(d_n, f_m)$  with  $|f_m| > 1$ , or  $(b_n, c_m)$  with  $a_n b_n$  a real constant and  $|c_m| > 1$ . For  $u \in U_q$ , set  $\Theta_{n,m}(u) := \Theta_{d_n,f_m}(u_z)$  and  $\Theta_{n,m}(u) := \Theta_{a_n,b_n,c_m}(u_z)$ . We prove: • Let D, I be two countable bounded subsets of complex numbers of modulus strictly bigger than 1. For any  $u \in \sum_{m>0} U_{q,m} - \{0\}, \exists W_u \in \mathcal{W}$  such that for all  $(n,m) \in W_u$ we have  $\Theta_{n,m}(u) \neq 0$ . • For any  $u \in U_q - \{0\}$ ,  $\exists W_u \in \mathcal{W}$  such that for all  $n \in W_u$  we have  $\Theta_{n,m}(u) \neq 0$ . •  $(U_a, \text{EXP}, \prod_{\mathcal{W}} GL_{\ell}(\mathbb{C}))$  and  $(U_a, \text{EXP}, \prod_{\mathcal{W}} GL_{\ell}(\mathbb{C}))$  are exponential rings. Recall that the classical enveloping algebra U is generated by X, Y, H and defining relations [H, X] = 2X, [H, Y] = -2Y, [X, Y] = H. Consider  $q_{\ell} = e^{\theta_{\ell}}$ , where  $\theta_{\ell} = \frac{2\pi i}{\ell}$  and a non-principal ultraproduct of  $U_{q_{\ell}}, \ell \in \omega$ , over a non principal ultrafilter  $\mathcal{U}$  over  $\omega$ . • The map  $\tau: U \to \prod_{\mathcal{U}} U_{q_{\ell}}$  sending X to  $[E]_{\mathcal{U}}$ , Y to  $[F]_U$  and H to  $[\frac{K-K^{-1}}{q-q^{-1}}]_U$  is injective.

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