

# Wilf's conjecture by multiplicity

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Conference in memoriam Ragnar-Olaf Buchweitz

Münster, March 2019

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Ragnar-Olaf Buchweitz, *Zariski's criterion for equisingularity and non-smoothable normal curves*, Preprint 1980:

The smallest example of a semigroup not satisfying  $2g(\Gamma) \geq d_{\mathbb{D}}(C_{\Gamma})$  is the semigroup of genus 16 whose gaps are  $\{1, \dots, 12, 19, 21, 24, 25\}$ .

To terminate we would like to point out what the above results mean for the existence problem of Weierstraß-points on a compact surface. Recall that on a compact Riemann

# Wilf's question

A **numerical semigroup** is a subset  $S \subset \mathbb{N}$  such that

- $0 \in S$ ,  $S + S \subset S$ ,
- there exists  $d$  such that  $n \in S$  for all  $n \geq d$  ( $\iff \gcd(S) = 1$ )

$S$  has a unique minimal system  $A$  of generators.  $e(S) = |A|$  is the **embedding dimension of  $S$** . Usually  $S$  given by its generators:

$$S = \langle a_1, \dots, a_e \rangle = \{b_1 a_1 + \dots + b_e a_e : b_1, \dots, b_e \in \mathbb{N}\}.$$

$\Gamma(S) = \mathbb{N} \setminus S$  is the set of **gaps** of  $S$ .  $F(S) = \sup \Gamma(S)$  is the **Frobenius number**,  $c(S) = F(S) + 1$  is the **conductor**, and the **genus** is  $\gamma(S) = |\Gamma(S)|$ .

Wilf's question (1978):

$$\frac{\gamma(S)}{c(S)} \leq 1 - \frac{1}{e(S)} ?$$

# An example

$$S = \langle 6, 10, 15 \rangle, e(S) = 3$$

Gaps in red:

	0	1	2	3	4	5
$m(S) =$	6	7	8	9	10	11
	12	13	14	15	16	17
	18	19	20	21	22	23
	24	25	26	27	28	29 = $F(S)$
$c(S) =$	30	31	32	33	34	35
	...					

Wilf's inequality:

$$\frac{\gamma(S)}{c(S)} = \frac{15}{30} \leq 1 - \frac{1}{3} = 1 - \frac{1}{e(S)}$$

The blue numbers form the [Apéry set](#) to be defined later.

# Wilf's question promoted

- $\Sigma(S) = \{x \in S : x < F(S)\}$  is the set of **sporadic** elements,
- $\sigma(S) = |\Sigma(S)|$  is their number.

Wilf's question reformulated and promoted:

## Conjecture

*For any numerical semigroup  $S$  one has  $c(S) \leq e(S)\sigma(S)$ .*

Finally:

- $m(S) = \min\{x \in S : x > 0\}$  is the **multiplicity** of  $S$ ,

Our goal:

- Show that the conjecture can be decided efficiently for fixed  $m$  by polyhedral methods and
- describe an algorithm by which we have verified it for  $m \leq 17$ .

# The Apéry set

View  $S$  as a “module” over the subsemigroup  $\mathbb{N}m$ ,  $m = m(S)$ :

$$S = \bigcup_{i=0}^{m-1} \{x \in S : x \equiv i \pmod{m}\} = \bigcup_{i=0}^{m-1} b_i + \mathbb{N}m.$$

with  $b_i \in S$ ,  $b_i \equiv i \pmod{m}$ .

## Definition

The Apéry set of  $S$  is  $\text{Ap}(S) = \{b_0 = 0, \dots, b_{m-1}\}$ .

$\text{Ap}(S) \setminus \{0\}$  poset:  $b_i \prec b_j \iff b_j - b_i \in S$  ( $\iff b_j - b_i \in \text{Ap}(S)$ )

- $\text{Min}_{\prec} \text{Ap}(S) \cup \{m\}$  is the minimal system of generators.
- $\text{Max}_{\prec} \text{Ap}(S)$  is the **socle** of  $S$ . Its cardinality is the **type**  $t(S)$ .

We transfer the partial order:  $\mathcal{P}(S) = \{1, \dots, m-1\}$  with  
 $i \prec j \iff b_i \prec b_j$ .

# Known cases of Wilf's conjecture

There are many conditions that imply Wilf's inequality:

- 1  $e(S) = 2$
- 2  $m(S) = e(S)$  (maximal embedding dimension, Dobbs and Matthews)
- 3  $e(S) > t(S)$  (Fröberg, Gottlieb, and Häggkvist)
- 4  $2e(S) \geq m(S)$  (Sammartano) (Eliahou:  $3e(S) \geq m(S)$  ?)
- 5  $c(S) \leq 3m(S)$  (Eliahou, using Macaulay's theorem on Hilbert functions)
- 6  $\gamma(S) \leq 60$  (Fromentin and Hivert)

For  $\gamma(S) \rightarrow \infty$  the probability of  $c(S) \leq 3m(S)$  goes to 1 (Zhai).  
One can say: Wilf holds with probability 1.

In (1) and certain cases of (2) Wilf holds with  $=$ . It is unknown whether these are the only cases.



# The Kunz polyhedron

We fix  $m = m(S)$ .  $S$  has **Kunz coordinates**  $(x_1, \dots, x_{m-1})$  with

$$b_i = x_i m + i, \quad i = 1, \dots, m-1.$$

By the definition of  $\text{Ap}(S)$  they satisfy the inequalities

$$\begin{aligned} x_i + x_j &\geq x_{i+j} && \text{for } i + j < m, \\ x_i + x_j + 1 &\geq x_{i+j} && \text{for } i + j > m. \end{aligned}$$

These inequalities define the **Kunz polyhedron**  $P_m \subset \mathbb{R}^{m-1}$ . The **Kunz cone**  $C_m$  is defined by the associated homogeneous system.

**Theorem (Kunz 1987, Rosales et al. 2002)**

*The semigroups of multiplicity  $m$  are in 1-1 correspondence with the integer points in  $P_m$  that have coordinates  $\geq 1$ .*

Identify  $S$  with  $(x_1, \dots, x_{m-1})$ . Note:  $\gamma(S) = x_1 + \dots + x_{m-1}$ .

# Faces of the Kunz polyhedron

There exists a unique face  $\text{Face}(S)$  of  $P_m$  such that  $S$  lies in its interior  $\text{Face}(S)^\circ$ .

## Lemma

$$\text{Face}(S) = \text{Face}(S') \iff \mathcal{P}(S) = \mathcal{P}(S')$$

Among the inequalities defining  $P_m$  we pick the subset  $E(S)$  that hold in  $S$  with  $=$  and therefore define  $\text{Face}(S)$ .

Let  $p$  be the number of  $x_i$  appearing on the LHS of any inequality in  $E(S)$  and  $n$  their number on the RHS. Then:

$$e(S) = m(S) - n \quad t(S) = m(S) - 1 - p.$$

So  $\text{Face}(S) = \text{Face}(S') \implies e(S) = e(S'), t(S) = t(S')$ .

But  $\text{Face}(S) = \text{Face}(S') \not\implies c(S) = c(S')$ .

# Wilf's conjecture for fixed $m$ in finitely many steps

Strategy for (dis)proving Wilf's conjecture for fixed  $m = m(S)$ :

- Compute the face lattice of  $P_m$  (equivalently, of  $C_m$ )
- Select the “bad” faces ( $\sim 0.4 - 1\%$ ) satisfying  $e(S) \leq t(S)$  and  $2e(S) < m(S)$ : both necessary for a counterexample
- Subdivide each bad face into subpolyhedra  $Q_i$  such that  $x_i$  determines  $c(S)$  (system of linear inequalities for each  $i$ )
- Add  $x_j \geq 1$  for all  $j$
- Add the linear inequality saying that Wilf is violated
- Check the critical subpolyhedra for lattice points

For  $m \leq 17$  no lattice point was found. Even more: the critical subpolyhedra are all empty!

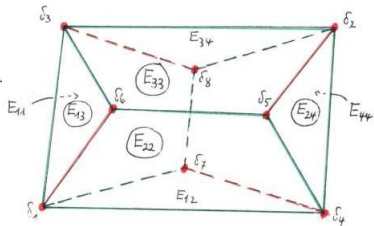
$\implies$  Wilf's conjecture holds for  $m \leq 17$

# Combinatorial data of the Kunz cones – 1987

in E. Kunz, *Über die Klassifikation numerischer Halbgruppen*,  
Regensburger Mathematische Schriften **11**, 1987

Anhang A. Einige Daten über  $P_m$  und  $P_m^*$ .

m	3	4	5	6	7	8	9	10	11
Kantenzahl von $P_m$	2	4	8	11	30	47	122	225	412
Seitenzahl von $P_m$ (ohne Spitze)	3	9	31	83	399	1347			



rot: symmetrische Halbgruppen  
grün: fastvollständige Durchschnitte

# Combinatorial data of the Kunz cones – 2019

Important observation:  $(\mathbb{Z}/(m))^*$  operates on  $C_m$  as a group of automorphisms (but **not** on  $P_m$ ). “Orbit” refers to this action:

$m$	ine	extr rays	orbits	bad orbits	faces	bad faces
7	18	30	400	0	2346	0
8	24	47	1,348	0	5,086	0
9	32	122	6,508	54	38,788	324
10	40	225	26,682	74	106,434	292
11	50	812	15,622	178	155,944	1,765
12	60	1,864	169,607	714	669,794	2,791
13	72	7,005	365,881	4,338	4,389,234	52,035
14	84	15,585	3,506,961	15,251	21,038,016	91,394
15	98	67,262	17,217,534	180,464	137,672,474	1,441,273
16	112	184,025	94,059,396	399,380	751,497,188	3,184,022
17	128	851,890	333,901,498	3,186,147	5,342,388,604	50,977,648
18	144	2,158,379	??	??	??	??
19	162	11,665,781	??	??	??	??

# The Normaliz face lattice algorithm

Every face  $F$  is the intersection of the facets  $\mathbb{H}(F) = \{H \supset F\}$ .

$\mathbb{E}(F)$  = extreme rays through  $F$ .  $C$  given by  $\mathbb{H}(C)$ .

Precomputed:  $\mathbb{E}(C)$ ,  $\mathbb{E}(H)$  for  $H \in \mathbb{H}(C)$

## Algorithm (simplified)






```
function FACELATTICE( $C$ )
   $\mathcal{F} \leftarrow \emptyset$ ,  $\mathcal{W} \leftarrow \{C\}$ ,  $\mathcal{N} \leftarrow \emptyset$ 
  while  $\mathcal{W} \neq \emptyset$  do
    for all  $F \in \mathcal{W}$  do (parallelized)
       $\mathbb{E}(F) = \bigcap_{H \in \mathbb{H}(F)} \mathbb{E}(H)$ 
      for all  $H \in \mathbb{H}(C)$  do
        compute  $G = F \cap H$  and  $\mathbb{H}(G)$ , [ $G \leftarrow \text{min orbit}(G)$ ]
        if  $G \notin \mathcal{F} \cup \mathcal{W} \cup \mathcal{N}$  then  $\mathcal{N} \leftarrow \mathcal{N} \cup \{G\}$ 
      end for
    end for
     $\mathcal{F} \leftarrow \mathcal{F} \cup \mathcal{W}$ ,  $\mathcal{W} \leftarrow \mathcal{N}$ ,  $\mathcal{N} \leftarrow \emptyset$ 
  end while
  return  $\mathcal{F}$ 
end function
```

$m$	preparation	face lattice	bad faces	total time	$\approx$ RAM
11	—	—	—	0.7 s	6 MB
12	—	—	—	2.5 s	35 MB
13	1 s	5 s	17 s	23 s	80 MB
14	3 s	37 s	39 s	1:19 m	603 MB
15	19 s	4:32 m	15 m	19:43 m	2.6 GB
16	65 s	57:43 m	37 m	1:35 h	12 GB
17	6:05 m	21:27 h	17:13 h	38:46 h	48 GB

Most time consuming operations ( $m = 14$ ):

- checking  $<_{\text{lex}}$  for subsets of  $\mathbb{H}(C)$  or  $\mathbb{E}(C)$
- checking  $\subset$

# References

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