# Lecture Notes, weeks 1 and 2 Topology SS 2015 (Weiss)

## 1.1. Higher homotopy groups

**Definition 1.1.** Let X be a space with base point  $\star$  and let n be a nonnegative integer. Write  $\pi_n(X, \star)$  for the set  $[S^n, X]_*$  (based homotopy classes of based maps from  $S^n$  to X). It is clear that  $\pi_n$  is a covariant functor from  $\mathcal{H}o\mathcal{T}op_{\star}$  (the homotopy category of based spaces) to sets.

The case n = 1 has already been looked at in detail and we saw that  $\pi_1(X, \star)$  is a group in a natural way.

The case n = 0 is also useful. Namely,  $\pi_0(X, \star)$  is just the set of path components of X. Indeed, a based map  $f: S^0 \to X$  must send the base point -1 of  $S^0$  to the base point of X. So the only interesting feature it has is the value  $f(1) \in X$ . And if we pass to homotopy classes, only the path component of f(1) remains.

There is no point in trying to put a natural group structure on  $\pi_0(X, \star)$ . We must accept that it is in most cases just a set. (There are exceptions: if X has the structure of a topological group, then  $\pi_0(X)$  also has the structure of a group in an obvious way, and that can be useful.)

**Definition 1.2.** For  $n \ge 2$ , the set  $\pi_n(X, \star)$  has the structure of an abelian group in a natural way. In other words we can equip  $\pi_n(X, \star)$  with a structure of abelian group in such a way that, for every based map  $f: X \to Y$ , the induced map of sets

$$\pi_{n}(X,\star) \to \pi_{n}(Y,\star)$$

becomes a homomorphism of abelian groups. The neutral element of  $\pi_n(X, \star)$  is represented by the unique constant based map from  $S^n$  to X.

For the proof, we note first that

$$\pi_{n}(X,\star) \times \pi_{n}(X,\star) = [S^{n},X]_{\star} \times [S^{n},X]_{\star} \cong [S^{n} \vee S^{n},X]_{\star}$$

(where  $\cong$  is used for an obvious bijection). Therefore it is reasonable to try to construct a multiplication map

$$\mu: \pi_{n}(X, \star) \times \pi_{n}(X, \star) \to \pi_{n}(X, \star)$$

by writing this in the form  $\mu: [S^n \vee S^n, X]_* \longrightarrow [S^n, X]_*$  and defining it as pre-composition with some fixed element  $\kappa \in [S^n, S^n \vee S^n]_*$ .

Elementary description of  $\kappa$ . Think of  $S^n$  as the quotient space of  $[0, 1]^n$  obtained by collapsing the subspace consisting of all points which have some coordinate equal to 0 or 1. Think of  $S^n \vee S^n$  as the quotient space of

 $[0,2] \times [0,1]^{n-1}$  obtained by collapsing all points which have some coordinate equal to 0 or 1, or first coordinate 2. Then  $\kappa$  can be defined by  $\kappa(x_1, x_2, \ldots, x_n) := (2x_1, x_2, \ldots, x_n)$ , where  $x_1, x_2, \ldots, x_n \in [0,1]$ . It is easy to verify the following directly: the compositions

$$\mathbf{S}^{\mathfrak{n}} \xrightarrow{\kappa} \mathbf{S}^{\mathfrak{n}} \vee \mathbf{S}^{\mathfrak{n}} \xrightarrow{\mathrm{id} \vee \kappa} \mathbf{S}^{\mathfrak{n}} \vee (\mathbf{S}^{\mathfrak{n}} \vee \mathbf{S}^{\mathfrak{n}})$$

and

$$S^{\mathfrak{n}} \xrightarrow{\kappa} S^{\mathfrak{n}} \vee S^{\mathfrak{n}} \xrightarrow{\kappa \vee \mathrm{id}} (S^{\mathfrak{n}} \vee S^{\mathfrak{n}}) \vee S^{\mathfrak{n}}$$

are based homotopic. This implies that our formula for the multiplication  $\mu$  on  $[S^n, X]_{\star}$  is *associative*. Next, it is easy to verify the following directly: the composition

$$S^n \xrightarrow{\kappa} S^n \vee S^n \xrightarrow{\text{permute summands}} S^n \vee S^n$$

is based homotopic to  $\kappa$ . (Here we need n > 1.) This implies that our formula for the multiplication  $\mu$  on  $[S^n, X]_{\star}$  is *commutative*. Furthermore, it is easy to verify directly that the constant based map  $S^n \to X$  is a two-sided neutral element for the multiplication  $\mu$ . (In cubical coordinates for  $S^n$ , multiplication with the constant map has the effect of replacing a based map

$$f: \frac{[0,1]^n}{\sim} \longrightarrow X$$

by the based map g where  $g(x_1, \ldots, x_n) = f(2x_1, x_2, \ldots, x_n)$  when  $2x_1 \leq 1$ and  $g(x_1, \ldots, x_n) = \star \in X$  when  $2x_1 \geq 1$ . So the task is to show that f is based homotopic to g ... and that is easy.) Next, it is easy to verify directly that an element  $[f] \in [S^n, X]_{\star}$  has an inverse given by  $[f \circ \eta]$  where  $\eta \colon S^n \to S^n$ is given in cubical coordinates by  $(x_1, x_2, \ldots, x_n) \mapsto (1 - x_1, x_2, \ldots, x_n)$ . (In cubical coordinates for  $S^n$ , the product of [f] and  $[f \circ \eta]$  is given by g where  $g(x_1, \ldots, x_n) = f(2x_1, x_2, \ldots, x_n)$  when  $2x_1 \leq 1$  and  $g(x_1, \ldots, x_n) = f(2 - 2x_1, x_2, \ldots, x_n)$  when  $2x_1 \geq 1$ .)

Although the homotopy groups  $\pi_n$  have a great deal of theoretical importance, they are very hard to compute in general, especially for large n. Recently I read in an article about homotopy theory: not a single compact connected CW-space X is known for which we have a formula describing  $\pi_n(X)$  for all n > 0, except for two types:

- the totally uninteresting case where X is contractible (so that  $\pi_n(X)$  is the trivial group for all n > 0);
- the more interesting case where  $\pi_1(X)$  is nontrivial but the universal covering of X is contractible (in which case we can say that  $\pi_n(X)$  is the trivial group for all n > 1). Examples of this type are  $X = S^1$ , or X = oriented surface of any positive genus.

In particular nobody has a really convincing formula for  $\pi_n(S^2)$ , for all  $n \geq 1$  (although there are some deep results which describe these abelian groups in algebraic/combinatorial terms ... but not in such a way that we can easily read off how many elements they have). But there are many partial results, especially about  $\pi_n(S^m)$ . For example, we know that  $\pi_n(S^m)$  is always a finitely generated abelian group (m, n > 1). It is known that  $\pi_n(S^m)$  is the trivial group if n < m and that  $\pi_n(S^m) \cong \mathbb{Z}$  if n = m; see theorem 1.3 below. It is known that  $\pi_n(S^m)$  is infinite if and only if m is even and n = m or n = 2m - 1. An example of that is  $\pi_3(S^2) \cong \mathbb{Z}$ . Recall that  $\pi_3(S^2)$  is not trivial according to example 2.5.3, lecture notes WS 2014-2015. (This was conditional at the time; we needed to know that  $S^2$  is not contractible. Later we did learn that  $S^2$  is not contractible since  $H_2(S^2) \cong \mathbb{Z}$ .)

# 1.2. Homotopy groups of spheres: the easy cases

**Theorem 1.3.** For 0 < n < m, the group  $\pi_n(S^m)$  is trivial. For all n > 0, the group  $\pi_n(S^n)$  is isomorphic to  $\mathbb{Z}$ , with [id] as the generator.

*Proof.* The proof is fiddly, but it is an important result. The case n < m is an easy consequence of cellular approximation. By remark 11.5.2 in the lecture notes for WS 2014-2015, any based map from  $S^n$  to  $S^m$  is based homotopic to a cellular map. But a cellular map from  $S^n$  to  $S^m$  must be constant. (Use the CW structure on  $S^m$  which has one 0-cell and one m-cell.)

For the case  $\mathfrak{m} = \mathfrak{n}$ , it suffices to show that  $\pi_n(S^n)$  is generated by the element [id]. Indeed, this gives us an upper bound on the size of  $\pi_n(S^n)$ . A lower bound comes from the map  $\pi_n(S^n) \to H_n(S^n)$  which takes the homotopy class of a map  $\mathfrak{f}$  to the class of the mapping cycle  $\mathfrak{f}$ . It is an exercise to show that this is a homomorphism.<sup>1</sup> Since [id]  $\in \pi_n(S^n)$  maps to a generator of  $H_n(S^n)$ , this homomomorphism  $\pi_n(S^n) \to H_n(S^n)$  is onto.

With that in mind, the most important tool is Sard's theorem. (We used this earlier in connection with approximation of maps by cellular maps). This states that for a smooth map  $f: U \to \mathbb{R}^m$  where U is open in  $\mathbb{R}^n$ , the set of critical values of f is a set of Lebesgue measure zero (in  $\mathbb{R}^m$ ). An element  $y \in \mathbb{R}^m$  is a *critical value* of f if there exists  $x \in U$  such that f(x) = y and the derivative f'(x), viewed as a linear map from  $\mathbb{R}^n$  to  $\mathbb{R}^m$ , is not surjective. We can also assume n > 1 since  $\pi_1(S^1, \star)$  is well understood. We need a few observations.

(i) Any based map  $S^n \to S^n$  can be written in the form of a map

$$f: \mathbb{R}^n \cup \{\infty\} \longrightarrow \mathbb{R}^n \cup \{\infty\},\$$

<sup>&</sup>lt;sup>1</sup>Hint: you need to say what  $\kappa \colon S^n \vee S^n \to S^n$  does in homology.

and after a homotopy we can assume that f is smooth in a neighborhood U of the compact set  $f^{-1}(\mathsf{D}^n).$ 

(ii) In the situation of (i), if  $f^{-1}(0)$  contains exactly one element  $x \in \mathbb{R}^n$ and the derivative f'(x) is an invertible linear map from  $\mathbb{R}^n$  to  $\mathbb{R}^n$ , then f is based homotopic either to the identity map or to the map

$$\eta\colon (\mathbf{x}_1,\ldots,\mathbf{x}_n)\mapsto (-\mathbf{x}_1,\mathbf{x}_2,\ldots,\mathbf{x}_n)$$

from  $\mathbb{R}^n \cup \{\infty\}$  to itself.

- (iii) The inclusion of the wedge  $S^n \vee S^n$  into the product  $S^n \times S^n$  induces an isomorphism from  $\pi_n(S^n \vee S^n)$  to  $\pi_n(S^n \times S^n) \cong \pi_n(S^n) \times \pi_n(S^n)$ .
- (iv) Let  $\alpha: S^n \to S^n \lor S^n$  be any based map. Let  $\varphi: S^n \lor S^n \to S^n$  be the fold map (which is the identity on the first summand  $S^n$  and also on the second summand  $S^n$ ). Then we have

$$[\varphi \alpha] = [\varphi q_1 \alpha] + [\varphi q_2 \alpha] \in \pi_n(S^n),$$

writing + for the multiplication in  $\pi_n(S^n)$  and  $q_i: S^n \vee S^n \to S^n \vee S^n$  for the map which is the identity on summand i and takes the other summand to the base point.

Observation (iii) is a good exercise in cellular approximation; n > 1 is important. Observation (iv) follows from observation (iii). Namely, (iii) shows that  $\alpha$  is homotopic to a based map obtained by composing  $\kappa \colon S^n \to S^n \vee S^n$  with a map  $S^n \vee S^n \to S^n \vee S^n$  which agrees with  $q_1 \alpha$  on the first wedge summand  $S^n$  and with  $q_2 \alpha$  on the second.

We had observation (ii) as an exercise (sheet 5 of WS14-15) but it did not find many friends. It is easy to reduce to the situation<sup>2</sup> where  $\mathbf{x} = \mathbf{0} \in \mathbb{R}^{n}$ . Then  $f^{-1}(\mathbf{0}) = \{\mathbf{0}\}$  and  $f'(\mathbf{0})$  is an invertible linear map. The next idea is to show that f is based homotopic to the map  $\mathbf{g} \colon \mathbb{R}^{n} \cup \{\infty\} \longrightarrow \mathbb{R}^{n} \cup \{\infty\}$ where g is the linear map  $f'(\mathbf{0})$  (except for  $\mathbf{g}(\infty) = \infty$ ). A based homotopy is given by

$$(h_t: \mathbb{R}^n \cup \{\infty\} \longrightarrow \mathbb{R}^n \cup \{\infty\})$$

where  $h_t(\nu) = t^{-1}f(t\nu)$  for  $\nu \in \mathbb{R}^n$  and t runs from 1 to 0. To be more precise,  $h_1$  is of course f and  $h_0$  is of course not really defined by our formula for  $h_t$ , but if you (re)define  $h_0 = g$  then it ought to make a good homotopy, by definition of differentiability. The next idea is to note that the space of linear isomorphisms from  $\mathbb{R}^n \to \mathbb{R}^n$ , also known as  $\operatorname{GL}_n(\mathbb{R})$ , is a space with exactly two path components. One of these path components contains the identity matrix and the other one contains the diagonal matrix with -1 in row one, column one and +1 in the other diagonal positions. Therefore our (linear) map

$$g\colon \mathbb{R}^n \cup \{\infty\} \longrightarrow \mathbb{R}^n \cup \{\infty\}$$

<sup>&</sup>lt;sup>2</sup>In the lecture on 10.04. I forgot this step  $\dots$ 

is based homotopic (by a homotopy through invertible linear maps) to either id:  $\mathbb{R}^n \cup \{\infty\} \longrightarrow \mathbb{R}^n \cup \{\infty\}$  or to the map  $\eta$  from  $\mathbb{R}^n \cup \{\infty\}$  to itself. This proves observation (ii).

Now let's turn to the proof of this theorem, properly speaking. We start with f as in (i). We want to show that  $[f] \in \pi_n(S^n)$  is in the subgroup generated by [id]. By Sard, we know that f has a regular value arbitrarily close to 0 and it is easy to reduce to the case where 0 itself is regular value (by composing with a translation of  $\mathbb{R}^n$ ). The preimage  $f^{-1}(0)$  is compact and discrete with the subspace topology (since f'(x) is invertible for any  $x \in f^{-1}(0)$  ... use the inverse function theorem). Therefore  $f^{-1}(0)$  is a finite set. Assume that it has k distinct elements  $x^{(1)}, \ldots, x^{(k)}$ . We want to argue by induction on k. The case k = 1 has already been settled in observation (ii). We can therefore assume k > 1.

Choose a small open ball  $B_{\varepsilon}$  of radius  $\varepsilon$  about the origin  $0 \in \mathbb{R}^n$  such that  $f^{-1}(B_{\varepsilon})$  is a *disjoint* union of k open sets  $U_1, \ldots, U_k$  (so that  $x^{(i)} \in U_i$ ) in such a way that f restricts to a diffeomorphism from  $U_i$  to  $B_{\varepsilon}$ . (This is possible by the inverse function theorem.) Choose a map

$$e\colon \mathbb{R}^n\cup\{\infty\}\longrightarrow \mathbb{R}^n\cup\{\infty\}$$

which maps  $B_{\varepsilon}$  diffeomorphically to all of  $\mathbb{R}^n$  and maps the complement of  $B_{\varepsilon}$  to  $\infty$  and has e'(0) equal to the identity (matrix). Then we know that  $e \simeq id$  and so  $ef \simeq f$ . But ef can also be written as a composition

$$S^n \xrightarrow{\gamma} S^n \vee S^n \xrightarrow{\phi} S^n$$

where  $S^n = \mathbb{R}^n \cup \{\infty\}$ , the first map takes  $U_1$  to the first wedge summand  $S^n$  by ef and takes  $\bigcup_{i>1} U_i$  to the second wedge summand by ef, and takes all remaining points to the base point  $\infty$  of the wedge. Then by (iv) we have

$$[\mathbf{f}] = [\mathbf{e}\mathbf{f}] = [\boldsymbol{\varphi}\boldsymbol{\gamma}] = [\boldsymbol{\varphi}\mathbf{q}_1\boldsymbol{\gamma}] + [\boldsymbol{\varphi}\mathbf{q}_2\boldsymbol{\gamma}]$$

where  $\varphi q_1 \gamma$  and  $\varphi q_2 \gamma$  are maps as in (i) for which  $0 \in \mathbb{R}^n \cup \{\infty\}$  is a regular value with fewer than k preimage points. By inductive assumption,  $[\varphi q_1 \gamma]$  and  $[\varphi q_2 \gamma]$  are in the subgroup of  $\pi_n(S^n)$  generated by [id] and therefore [f] is also in that subgroup.

## 1.2. Change of base point

**Proposition 1.4.** Let X be a space,  $x_0, x_1 \in X$  and  $n \geq 2$ . If  $x_0, x_1$  are in the same path component of X, then  $\pi_n(X, x_0)$  and  $\pi_n(X, x_1)$  are isomorphic as abelian groups.

More precisely, any path  $\gamma$  in X from  $x_0$  to  $x_1$  determines a group isomorphism  $\iota_{\gamma}$  from  $\pi_n(X, x_0)$  to  $\pi_n(X, x_1)$ . The isomorphism  $\iota_{\gamma}$  depends only on the homotopy class of  $\gamma$  with start- and endpoints fixed.

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*Proof.* The definition of  $\iota_{\gamma} \colon \pi_n(X, x_0) \longrightarrow \pi_n(X, x_1)$  is as follows. Suppose that  $\gamma \colon [0, 1] \to X$  has  $\gamma(0) = x_0$  and  $\gamma(1) = x_1$ . Let  $\alpha \colon S^n \to X$  be a map such that  $\alpha(\star) = x_0$  where  $\star \in S^n$  is the base point. Choose a homotopy

$$(\mathfrak{h}_t\colon S^n\to X)_{t\in[0,1]}$$

such that  $h_0 = \alpha$  and  $h_t(\star) = \gamma(t)$ . This is possible because the inclusion  $\star \to S^n$  is a cofibration. Let  $\iota_{\gamma}[\alpha] \in \pi_n(X, x_1)$  be the based homotopy class of  $h_1$  (a map from  $S^n$  to X taking  $\star$  to  $x_1$ ).

We need to show that  $\iota_{\gamma}$  is well defined. Suppose that  $\alpha' \colon S^n \to X$  is another map such that  $\alpha'(\star) = x_0$  and  $[\alpha] = [\alpha'] \in \pi_n(X, x_0)$ . Suppose that

$$(h'_t: S^n \to X)_{t \in [0,1]}$$

is a homotopy such that  $h'_0 = \alpha'$  and  $h'_t(\star) = \gamma(t)$ . We need to show that  $[h_1] = [h'_1] \in \pi_n(X, x_1)$ . Choose a based homotopy  $(g_t)_{t \in [0,1]}$  from  $\alpha$  to  $\alpha'$ . Since the inclusion of  $S^n \times \{0, 1\}$  union  $\star \times [0, 1]$  in  $S^n \times [0, 1]$  is a cofibration, we can construct a homotopy

$$\left(\mathsf{H}_{\mathsf{t}}\colon\mathsf{S}^{\mathsf{n}}\times[0,1]\to\mathsf{X}\right)_{\mathsf{t}\in[0,1]}$$

in such a way that  $H_0(x,s) = g_s(x)$  for all  $x \in S^n$  and

$$H_t(x,0) = h_t(x), \quad H_t(x,1) = h'_t(x)$$

for all  $x \in S^n$  and  $t \in [0, 1]$ , and  $H_t(\star, s) = \gamma(t)$  for all  $s, t \in [0, 1]$ . Then  $H_1$  is the required homotopy showing that  $[h_1] = [h'_1] \in \pi_n(X, x_1)$ .

Next we need to ask whether  $\iota_{\gamma}$  is a homomorphism. In fact this is true by inspection. In slightly more detail, if we have  $\alpha, \beta \colon S^n \to X$  such that  $\alpha(\star) = \kappa_0 = \beta(\star)$  and homotopies

$$(\mathfrak{h}_{t}^{\alpha} \colon S^{\mathfrak{n}} \to X)_{t \in [0,1]}, \qquad (\mathfrak{h}_{t}^{\beta} \colon S^{\mathfrak{n}} \to X)_{t \in [0,1]}$$

as above, satisfying  $h_0^{\alpha} = \alpha$  and  $h_0^{\beta} = \beta$  and  $h_t^{\alpha}(\star) = \gamma(t) = h_t^{\beta}(\star)$ , then the homotopy

$$\left((h_t^{\alpha} \vee h_t^{\beta}) \circ \kappa\right)_{t \in [0,1]}$$

demonstrates that  $\iota_{\gamma}(\alpha + \beta) = \iota_{\gamma}(\alpha) + \iota_{\gamma}(\beta)$ , where we use "+" for the group operation in  $\pi_n$ . (Recall that  $\kappa$  is a based map from  $S^n$  to  $S^n \vee S^n$  which we have used to define the group structure in  $\pi_n$ .)

Next we need to show that  $\iota_{\gamma}$  is bijective. From the definition of  $\iota_{\gamma}$ , it is clear that an inverse is given by  $\iota_{\bar{\gamma}}$  where  $\bar{\gamma}(t) = \gamma(1-t)$  as usual.

Next we need to show that  $\iota_{\gamma}$  depends only on the homotopy class (startand endpoints fixed) of  $\gamma$ . So let  $\Gamma: [0,1] \times [0,1] \to X$  be a map such that  $\Gamma(s,0) = x_0$  for all s and  $\Gamma(s,1) = x_1$  for all s. Let  $\alpha \colon S^n \to X$  be a map such that  $\alpha(\star) = x_0$ . We need to show that

$$\iota_{\Gamma_0} = \iota_{\Gamma_1}$$

where  $\Gamma_0(t) := \Gamma(0, t)$  and  $\Gamma_1(t) := \Gamma(1, t)$ . Since the inclusion of  $\star \times [0, 1]$ in  $S^n \times [0, 1]$  is a cofibration, we can construct a homotopy

$$\left(\mathsf{H}_{\mathsf{t}}\colon\mathsf{S}^{\mathsf{n}}\times[0,1]\to\mathsf{X}\right)_{\mathsf{t}\in[0,1]}$$

in such a way that  $H_0(x,s) = \alpha(x)$  for all  $x \in S^n$  and  $H_t(\star,s) = \Gamma(s,t)$  for all  $s, t \in [0,1]$ . Then  $H_1$  is the required homotopy showing that  $\iota_{\Gamma_0}$  and  $\iota_{\Gamma_1}$  take the same value on  $[\alpha]$ .

**Remark 1.5.** Suppose that  $\beta, \gamma: [0, 1] \to X$  are paths such that  $\beta(1) = \gamma(0)$ . Then the concatenated path  $\gamma \circ \beta$  is defined. (It is parameterized by the interval [0, 2]; you can re-parameterize if you wish.) We have

$$\iota_{\gamma\circ\beta} = \iota_{\gamma}\circ\iota_{\beta}$$

where both sides of the equation describe isomorphisms from  $\pi_n(X, \beta(0))$  to  $\pi_n(X, \gamma(1))$ . This should be clear from the construction.

**Corollary 1.6.** For a space X with base point  $x_0$  and  $n \ge 2$ , the abelian group  $\pi_n(X, x_0)$  is a module over the fundamental group  $\pi_1(X, x_0)$ ; that is to say, the group  $\pi_1(X, x_0)$  acts on  $\pi_n(X, x_0)$  by group automorphisms.<sup>3</sup>

*Proof.* A formula for the action is  $[\gamma] \cdot [\alpha] = \iota_{\gamma}[\alpha]$ , where  $[\gamma] \in \pi_1(X, x_0)$  and  $[\alpha] \in \pi_n(X, x_0)$ . Note that since  $\gamma(0) = \gamma(1) = x_0$ , the isomorphism  $\iota_{\gamma}$  is an automorphism of  $\pi_n(X, x_0)$ .

In many cases this action of  $\pi_1$  on  $\pi_n$  also has another neat description using universal covering spaces. To set this up we start with a proposition about higher homotopy groups of covering spaces.

Let  $q: E \to X$  be a covering space, alias fiber bundle with discrete fibers. Suppose also E and X are based spaces, with base points  $\star_E$  and  $\star_X = q(\star_E)$ , so that q is a based map.

**Proposition 1.7.** Then  $q_*: \pi_n(E, \star_E) \to \pi_n(X, \star_X)$  is an isomorphism for all  $n \ge 2$ .

<sup>&</sup>lt;sup>3</sup>For a group G, a G-module is understood to be an abelian group A with a homomorphism from G to the group of automorphisms of the abelian group A. This terminology is not completely absurd because the group G determines a group ring  $\mathbb{Z}[G]$  whose elements are finite formal linear combinations  $\Sigma_{g\in G}n_g \cdot g$  where the coefficients  $n_g$  are integers. It is easy to see that a G-module A is the same thing as a module over the ring  $\mathbb{Z}[G]$ .

Proof. This is a consequence of the lifting lemma, section A.10 in the cumulative lecture notes WS2013-14, WS2014-15. According to that, for any based map  $f: S^n \to X$ , there exists a unique based map  $g: S^n \to E$  such that f = qg (assuming  $n \ge 2$  to ensure that  $\pi_1(S^n, \star)$  is trivial). This argument applies also with  $S^n \times [0, 1]$  instead of  $S^n$ , so that q induces a bijection  $[S^n, E]_* \to [S^n, X]_*$ .

Now suppose that X is path connected and locally path connected, with base point  $\star$ , and that it has a universal covering space

$$q: X \longrightarrow X$$
.

In other words, the action of  $\pi_1(X, \star)$  on the set  $q^{-1}(\star)$  (given by path lifting) is free and transitive. We can make this q unique up to unique isomorphism (of covering spaces of X) by specifying a base point  $\star_1 \in q^{-1}(\star)$  for  $\tilde{X}$ . (That is to say, if two universal coverings of X are given, both with a base point in the fiber over  $\star \in X$ , then there exists a unique based homeomorphism between them which respects the maps to X.) Now we make a few observations.

- Proposition 1.7 is applicable to this covering space q (set E := X).
- Since  $\tilde{X}$  is path connected and  $\pi_1(\tilde{X}, \star_1)$  is trivial, proposition 1.4 tells us that  $\pi_n(\tilde{X}, y)$  is totally independent of the choice of base point y, and we can therefore write  $\pi_n(\tilde{X})$ . Little exercise: the forgetful map from  $\pi_n(\tilde{X}, y)$  to  $[S^n, X]$  is a bijection ... where  $[S^n, X]$  is the set of unbased homotopy classes of maps from  $S^n$  to X.
- The translation action of  $\pi_1(X, \star)$  on  $\tilde{X}$  therefore induces an action of  $\pi_1(X, \star)$  on  $\pi_n(\tilde{X})$ .

(This translation action on  $\tilde{X}$  is a confusing theme. Let  $G = \pi_1(X, \star)$ . We know already that an automorphism of the covering space q is determined by the induced permutation of the set  $q^{-1}(\star)$ . This permutation is a G-map and as such it can be any G-map we like. We constructed q in such a way that  $q^{-1}(\star)$  is a free G-orbit  $G \cdot \star_1$ . What are the automorphisms of  $G \cdot \star_1$  as a G-set? They are given by multiplication with elements of G on the *right*; i.e., for fixed  $h \in G$  the map  $\rho_h: G \cdot \star_1 \to G \cdot \star_1$  given by  $g \star_1 \mapsto gh \star_1$  is a G-map. Indeed  $\rho_h(fg \star_1) = fgh \star_1 = f\rho_h(g \star_1)$  for  $f, g \in G$ . Unfortunately  $h \mapsto \rho_h$  is not a homomorphism, but an antihomomorphism:

$$\rho_{h_1h_2}=\rho_{h_2}\rho_{h_1}\ .$$

Therefore the translation action mentioned above is best defined as follows: an element  $h \in G$  determines a G-set automorphism  $\rho_{h^{-1}} = (\rho_h)^{-1} \dots$  which extends uniquely to an automorphism of the covering space q.)

Showing that the two descriptions of the action of  $\pi_1(X, \star)$  on  $\pi_n(X, \star)$  agree:

let  $h \in \pi_1(X, \star)$  be represented by a path  $\gamma \colon [0, 1] \to X$  from  $\star$  to  $\star$ . Let

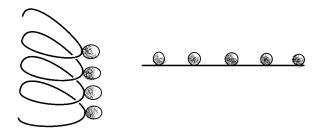
 $\beta \colon [0,1] \to \tilde{X}$ 

be a path in  $\tilde{X}$  which covers  $\gamma$ , begins at  $h^{-1}\star_1$  and so ends at  $\star_1$ .

$$\begin{array}{ccc} \pi_{n}(X,\star) & \longrightarrow & \pi_{n}(X,\star) \\ & \mathfrak{q}_{*} \stackrel{\uparrow}{=} & \mathfrak{q}_{*} \stackrel{\uparrow}{=} \\ & \pi_{n}(\tilde{X},\star_{1}) & \xrightarrow{(\operatorname{action of } h^{-1} \operatorname{ on } \tilde{X})_{*}} & \to & \pi_{n}(\tilde{X},h^{-1}\cdot\star_{1}) \xrightarrow{\iota_{\beta}} & \pi_{n}(\tilde{X},\star_{1}) \end{array}$$

In the lower row, if we identify  $\pi_n(\tilde{X}, \star_1)$  and  $\pi_n(\tilde{X}, h^{-1} \cdot \star_1)$  forgetfully with  $[S^n, \tilde{X}]$ , then the left-hand arrow is the interesting one; the other one, labeled  $\iota_\beta$ , is the identity! To make the diagram commutative, the dotted arrow has to be  $\iota_\gamma$ .

**Example 1.8.** Let's look at  $\pi_2(X, \star)$  where X is  $S^2 \vee S^1$  with the standard base point. The following picture gives two ways of drawing  $\tilde{X}$ :



From the picture or otherwise, we get that

$$\tilde{X}\simeq \bigvee_{k\in\mathbb{Z}}S^2\;,$$

a wedge of spheres  $S^2$  indexed by the integers. In this description the action of  $\ell \in \mathbb{Z} \cong \pi_1(X, \star)$  takes the summand  $S^2$  with label k to the summand  $S^2$  with label  $k - \ell$  in the obvious way. An argument like observation (iii) in the proof of theorem 1.3 then shows that

$$\pi_2(X,\star)\cong\pi_2( ilde X,\star_1)\congigoplus_{k\in\mathbb{Z}}\mathbb{Z}$$
 .

The action of  $\ell \in \mathbb{Z} \cong \pi_1(X, \star)$  takes the summand  $\mathbb{Z} \subset \pi_2(X, \star)$  with label k to the summand  $\mathbb{Z}$  with label  $k - \ell$  in the obvious way. As an abelian group,  $\pi_2(X, \star)$  is obviously not finitely generated. But as a module over

the group ring  $\mathbb{Z}[\pi_1(X, \star)] = \mathbb{Z}[\mathbb{Z}]$  it is free on one generator, and therefore certainly finitely generated.

This raises the question: if X is a compact CW-space with base point  $\star$ , and  $n \geq 2$ , is  $\pi_n(X, \star)$  always finitely generated as a module over  $\mathbb{Z}[\pi_1(X, \star)]$ ? See exercises.

#### 1.3. Cup product in cohomology and homotopy groups

Let X be a based path connected space and  $f: S^n \to X$  a based map, where  $n \ge 1$ . We form Y = cone(f), the mapping cone of f. Often by taking a hard look at Y, we can show that [f] is not the trivial element of  $\pi_n(X, \star)$ . This is based on the following observation.

**Lemma 1.9.** Let  $\mathbf{u}, \mathbf{v}: \mathbf{A} \to \mathbf{B}$  be any maps. If  $\mathbf{u}$  is homotopic to  $\mathbf{v}$ , then  $\operatorname{cone}(\mathbf{u})$  is homotopy equivalent to  $\operatorname{cone}(\mathbf{v})$ .

*Proof.* Exercise. (As an exercise in WS2014-15, this did not find many friends, but the formulation was more complicated at the time. I hope that it will find more friends this time.) But we can make a stronger statement. There exists a homotopy commutative diagram of the shape

where the horizontal maps are the usual ones.

Now let's return to the based map  $f: S^n \to X$  and  $Y = \operatorname{cone}(f)$  and the quotient map from Y to  $Y/X = S^{n+1}$ .

**Corollary 1.10.** If f is nullhomotopic, then there exists a graded ring homomorphism  $H^*(Y) \to H^*(S^{n+1})$  such that the composition

$$H^*(S^{n+1}) \xrightarrow{\text{induced by quot. map}} H^*(Y) \longrightarrow H^*(S^{n+1})$$

is the identity.

*Proof.* If f is nullhomotopic, then we can assume (by the lemma) that it is the map which sends every point of  $S^n$  to the base point of X. Then Y is  $X \vee S^{n+1}$ . The inclusion  $S^{n+1} \to Y$  of the wedge summand induces a homomorphism in cohomology which has the stated property.

**Example 1.11.** Let  $f: S^3 \to S^2$  be the Hopf map. (Write  $S^2 = \mathbb{C}P^1 = S^3 / \sim$  where  $S^3 \subset \mathbb{C}^2$ ; the equivalence relation is  $(z_1, z_2) \sim (uz_1, uz_2)$  for  $u \in S^1 \subset \mathbb{C}$  and  $z_1, z_2 \in \mathbb{C}$  with  $|z_1|^2 + |z_2|^2 = 1$ . Let f be the quotient map.) Here  $X = S^2$  and Y can be identified with  $\mathbb{C}P^2$ . (To put it differently:  $\mathbb{C}P^2$ 

has a well-known CW structure with one 0-cell, one 2-cell and one 4-cell; the attaching map for the 4-cell happens to be the Hopf map  $S^3 \to S^2$ .) The cohomology ring  $H^*(Y) = H^*(\mathbb{C}P^2)$  is well known: it is the graded ring  $\mathbb{Z}[x]/(x^3)$  where x lives in degree 2. It follows that a graded ring homomorphism from  $H^*(\mathbb{C}P^2)$  to  $H^*(S^4)$  can never be surjective (because it must take x to 0). Therefore f is not nullhomotopic. (We have already seen other proofs of this fact.)

More generally, let  $f: S^{2n-1} \to \mathbb{C}P^{n-1}$  be the usual quotient map (where  $S^{2n-1}$  is viewed as the unit sphere in  $\mathbb{C}^n$ ). Then  $X = \mathbb{C}P^{n-1}$  and Y can be identified with  $\mathbb{C}P^n$ . The cohomology ring  $H^*(Y) = H^*(\mathbb{C}P^n)$  is well known:<sup>4</sup> it is the graded ring  $\mathbb{Z}[x]/(x^{n+1})$  where x lives in degree 2. It follows that a graded ring homomorphism from  $H^*(\mathbb{C}P^n)$  to  $H^*(S^{2n})$  can never be surjective. Therefore f is not nullhomotopic.

**Definition 1.12.** The *Hopf* invariant of a based map  $f: S^{4n-1} \to S^{2n}$ , where  $n \ge 1$ , is defined as follows. Form Y = cone(f), a CW-space with three cells: a 0-cell, a 2n-cell and a 4n-cell. (The 0-cell and the 2n-cell together make up  $S^{2n}$ .) The cohomology  $H^*(Y)$  as a graded group is then given by

$$H^{r}(Y) = \begin{cases} \mathbb{Z} & \text{if } r = 0, 2n, 4n \\ 0 & \text{otherwise.} \end{cases}$$

Let  $x_{2n} \in H^{2n}(Y)$  and  $x_{4n} \in H^{4n}(Y)$  be the preferred generators of these infinite cyclic groups. We have

$$(\mathbf{x}_{2n})^2 = \mathbf{a} \cdot \mathbf{x}_{4n}$$

for some  $a \in \mathbb{Z}$ , inevitably. This integer a determines the ring structure in  $H^*(Y)$ . It is the Hopf invariant of f. (By corollary 1.10, if the Hopf invariant of f is  $\neq 0$ , then f is not nullhomotopic.)

**Example 1.13.** The Hopf invariant of the Hopf map  $S^3 \to S^2$  is 1, as we have seen. There are similar maps  $S^7 \to S^4$  (constructed using the Hamilton Quaternions instead of  $\mathbb{C}$ ) and  $S^{15} \to S^8$  (constructed using the Cayley Octonions). These, too, have Hopf invariant 1. It is a theorem (J.F. Adams 1961) that there is no map  $S^{4n-1} \to S^{2n}$  of odd Hopf invariant except in the cases n = 1, 2, 4. The original proof by Adams was very difficult, but an easier proof using K-theory (a *generalized* form of cohomology) became available a few years later. — But there are maps  $S^{4n-1} \to S^{2n}$  of Hopf invariant 2 for any  $n \geq 1$ . We shall return to this in a little while.

**Example 1.14.** To see more applications of corollary 1.10 it is a good idea to work backwards, i.e., to begin with Y. So take  $Y = S^m \times S^n$  where  $m, n \ge 1$ .

<sup>&</sup>lt;sup>4</sup>Although well known, this is not easy. We came very close to it in WS 2014/15 with problems 3,4,5 on exercise sheet 11.

This has a standard CW-structure with 4 cells: a 0-cell, an m-cell, an n-cell and an (m + n)-cell. We allow m = n. The graded cohomology *ring*  $H^*(Y)$  can be described as  $\mathbb{Z}[x, y]/(x^2, y^2)$  where x is in degree m and y is in degree n. (This notation indicates that xy is in degree m + n, not zero, and  $H^{m+n}(Y)$  is the infinite cyclic group generated by xy. There is also an understanding that  $xy = (-1)^{mn}yx$ .) In any case we see that any graded ring homomorphism  $H^*(Y) \to H^*(S^{m+n})$  must take xy to zero because it will take x and y to zero. So there cannot be a surjective ring homomorphism from  $H^*(Y)$  to  $H^*(S^{m+n})$ . Therefore, if we take  $X = S^m \vee S^n$  to be the (m + n - 1)-skeleton of Y, then the attaching map for the unique (m + n)-cell of Y is a map  $w: S^{m+n-1} \to S^m \vee S^n$  and it is not nullhomotopic. This is called the *Whitehead* map (in honor of JHC Whitehead again).

For an explicit description of w it is best to think of  $S^{m+n-1}$  as the boundary of  $D^m \times D^n$ :

$$S^{m+n-1} \cong \{(y,z) \in D^m \times D^n \mid ||y|| = 1 \text{ or } ||z|| = 1\}.$$

The right-hand expression can be written as  $K \cup L$  where  $K = D^m \times S^{n-1}$  and  $L = S^{m-1} \times D^n$ , so that  $K \cap L = S^{m-1} \times S^{n-1}$ . In these coordinates, w is the map which takes  $(y, z) \in K$  to the class of  $y \in D^m/S^{m-1} \cong S^m \subset S^m \vee S^n$  and which takes  $(y, z) \in L$  to the class of  $z \in D^n/S^{n-1} \cong S^n \subset S^m \vee S^n$ . Note that this takes  $K \cap L$  to the base point. We want to think of w as a based map, so it is probably best to choose the base point of  $S^{m+n-1}$  as (y, z) in the above coordinates, where  $y = (-1, 0, 0, \dots) \in D^m$  and  $z = (-1, 0, 0, \dots) \in D^n$ .

**Definition 1.15.** Let X be a based space and  $a \in \pi_m(X, \star)$ ,  $b \in \pi_n(X, \star)$ , where  $m, n \geq 2$ . The Whitehead product [a, b] of a and b is the element of  $\pi_{m+n-1}(X, \star)$  obtained as follows. Choose representatives  $\alpha: S^m \to X$  and  $\beta: S^n \to X$  for a and b and let [a, b] be the based homotopy class of the composition of  $\alpha \lor \beta$  with the Whitehead map w:

$$S^{m+n-1} \xrightarrow{w} S^m \vee S^n \xrightarrow{\alpha \vee \beta} X$$

(Official notation for the Whitehead product of  $\mathbf{a}$  and  $\mathbf{b}$  is  $[\mathbf{a}, \mathbf{b}]$ , but since we use the square brackets in so many ways for homotopy classes and sets of homotopy classes, I prefer to write  $[\mathbf{a}, \mathbf{b}]$  instead.)

**Example 1.16.** Let  $\iota = [id] \in \pi_{2m}(S^{2m}, \star)$ , where  $m \ge 1$ . Then the Whitehead product  $[\iota, \iota] \in \pi_{4m-1}(S^{2m}, \star)$  is  $\ne 0$ . In fact it is an element of Hopf invariant 2. — To see this let  $X = S^{2m} \times S^{2m}$  and  $A = S^{2m} \vee S^{2m}$  and let Y be the pushout of

$$X \stackrel{\text{incl.}}{\longleftarrow} A \stackrel{\varphi}{\longrightarrow} S^{2\mathfrak{m}}$$

where  $\varphi$  is the fold map. In other words Y is obtained from X by gluing together the two cells of dimension 2m in X using the fold map. The ring

 $H^*(X)$  is isomorphic to  $\mathbb{Z}[s,t]/(s^2,t^2)$  where s and t are in degree 2m. We view X and Y as CW-spaces with 4 and 3 cells, respectively. The quotient map  $X \to Y$  is cellular. Comparing cellular chain complexes, it is therefore easy to see that the graded ring homomorphism  $H^*(Y) \to H^*(X)$  determined by the quotient map  $X \to Y$  is injective and its image is the graded subring of  $H^*(X)$  generated by u = s + t and v = st. Since  $u^2 = s^2 + 2st + t^2 = 2st = 2v$  in  $H^*(X)$ , we have  $H^*(Y) \cong \mathbb{Z}[u,v]/(u^2 - 2v,uv,v^2)$ , where u is in degree 2m and v is in degree 4m. This proves that the attaching map  $S^{4m-1} \to S^{2m} = Y^{2m}$  for the 4m-dimensional cell of Y has Hopf invariant 2. But that attaching map can also be written as the attaching map

$$w \colon S^{4m-1} \to S^{2m} \vee S^{2m} = X^{2m}$$

for the 4m-dimensional cell of X, followed by the fold map

$$\phi \colon S^{2\mathfrak{m}} \vee S^{2\mathfrak{m}} \longrightarrow S^{2\mathfrak{m}}$$

Its homotopy class is therefore  $\lceil \iota, \iota \rceil$  by the definition of the Whitehead product in terms of the Whitehead map w.